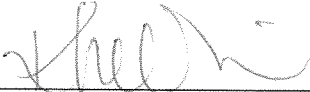


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

8/15/13  
\_\_\_\_\_  
Date

LAKE

\_\_\_\_\_  
Court

2012 - QTR 4

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

LAKE

Court

2012 – QTR 4

Fiscal Year and Ending Quarter

Court Employee Positions (FTEs)	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
					29

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended June							2011/12 Total Funds (Info. Purposes Only)	2011/12 Total Funds (Info. Purposes Only)
	Fiscal Year 2012/13								
	Governmental Funds						Proprietary Funds		
General	Special Revenue		Capital Project	Debt Service					
	Non-Grant	Grant							
<b>ASSETS</b>									
Operations	\$ 67,745	\$ 18,082	\$ 0				\$ 17,889	\$ 103,718	\$ 164,725
Payroll	\$ (121,033)						\$ 80,753	\$ (40,280)	\$ (60,976)
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees									
Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (341)	\$ (341)	\$ (8,258)
Cash on Hand	\$ 1,000							\$ 1,000	\$ 1,000
Cash with County									
Cash Outside of the AOC									
<b>Total Cash</b>	<b>\$ (52,288)</b>	<b>\$ 18,082</b>	<b>\$ 0</b>				<b>\$ 103,131</b>	<b>\$ 103,131</b>	<b>\$ 117,485</b>
Short Term Investment	\$ 586,932							\$ 66,601	\$ 752,848
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 586,932</b>						<b>\$ 66,601</b>	<b>\$ 66,601</b>	<b>\$ 752,848</b>
Accrued Revenue	\$ 354	\$ 7						\$ 361	\$ 3,408
Accounts Receivable - General	\$ 3,233	\$ 434	\$ 107,923				\$ 0	\$ 111,590	\$ 139,778
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees	\$ 156							\$ 156	\$ 0
Trust									
Due From Other Funds	\$ 96,861							\$ 96,861	\$ 55,242
Due From Other Governments	\$ 3,103							\$ 3,103	\$ 115
Due From Other Courts	\$ 0							\$ 0	\$ 0
Due From State	\$ 49,300	\$ 3,388	\$ 515				\$ 0	\$ 53,202	\$ 29,713
Trust Due To/From									\$ 0
Distribution Due To/From									\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 1,950
General Due To/From	\$ 39							\$ 39	\$ 75
<b>Total Receivables</b>	<b>\$ 153,045</b>	<b>\$ 3,829</b>	<b>\$ 108,438</b>				<b>\$ 0</b>	<b>\$ 265,312</b>	<b>\$ 230,281</b>
Prepaid Expenses - General	\$ 235		\$ 5					\$ 240	\$ 951
Salary and Travel Advances	\$ 0							\$ 0	\$ 0
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 235</b>		<b>\$ 5</b>					<b>\$ 240</b>	<b>\$ 951</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 687,925</b>	<b>\$ 21,911</b>	<b>\$ 108,442</b>				<b>\$ 268,033</b>	<b>\$ 1,086,311</b>	<b>\$ 1,198,057</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 107,853		\$ 1,679					\$ 109,533	\$ 82,023
Accounts Payable - General	\$ 24,459		\$ 0				\$ 0	\$ 24,459	\$ 42,831
Due to Other Funds	\$ 0	\$ 0	\$ 96,861				\$ 39	\$ 96,900	\$ 57,266
Due to Other Courts									
Due to State									\$ 0
TC145 Liability							\$ 68,097	\$ 68,097	\$ 77,272
Due to Other Governments	\$ 2,955		\$ 9,902					\$ 12,857	\$ 32,719
AB145 Due to Other Government Agency									
Due to Other Public Agencies									\$ 0
Sales and Use Tax	\$ 35							\$ 35	\$ 81
Interest								\$ 2	\$ 11
Miscellaneous Accts. Pay. and Accrued Liab.							\$ 2	\$ 2	\$ 11
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 135,301</b>	<b>\$ 0</b>	<b>\$ 108,442</b>				<b>\$ 68,139</b>	<b>\$ 311,882</b>	<b>\$ 292,203</b>
Civil							\$ 46,139	\$ 46,139	\$ 110,759
Criminal							\$ 37,279	\$ 37,279	\$ 83,713
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC									
Trust Interest Payable							\$ 103,131	\$ 103,131	\$ 117,485
Miscellaneous Trust							\$ 6,172	\$ 6,172	\$ 6,339
<b>Total Trust Deposits</b>							<b>\$ 192,721</b>	<b>\$ 192,721</b>	<b>\$ 318,295</b>
Accrued Payroll	\$ 36,547							\$ 36,547	\$ 42,413
Benefits Payable	\$ 2,050							\$ 2,050	\$ (2,077)
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
<b>Total Payroll Liabilities</b>	<b>\$ 38,598</b>							<b>\$ 38,598</b>	<b>\$ 40,336</b>
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 6,568							\$ 2,712	\$ 8,914
Jury Fees - Non-Interest							\$ 3,750	\$ 3,750	\$ 1,500
Fees - Partial Payment & Overpayment							\$ 712	\$ 712	\$ 1,071
Uncleared Collections									
Other Miscellaneous Liabilities									
<b>Total Other Liabilities</b>	<b>\$ 6,568</b>						<b>\$ 7,174</b>	<b>\$ 13,741</b>	<b>\$ 11,485</b>
<b>Total Liabilities</b>	<b>\$ 180,467</b>	<b>\$ 0</b>	<b>\$ 108,442</b>				<b>\$ 268,033</b>	<b>\$ 556,943</b>	<b>\$ 662,319</b>
<b>Total Fund Balance</b>	<b>\$ 507,458</b>	<b>\$ 21,911</b>	<b>\$ 0</b>					<b>\$ 629,368</b>	<b>\$ 535,737</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 687,925</b>	<b>\$ 21,911</b>	<b>\$ 108,442</b>				<b>\$ 268,033</b>	<b>\$ 1,086,311</b>	<b>\$ 1,198,057</b>

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended June									
	Fiscal Year 2012/13							2011/12		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 449,921	\$ 184,557				\$ 0	\$ 634,478	\$ 259,049	\$ 1,373,089	\$ 2,350,184
Traffic & Other Infractions	\$ 47,541						\$ 47,541		\$ 147,680	
Other Criminal Cases	\$ 308,692	\$ 28,075					\$ 336,767	\$ 34,000	\$ 377,188	
Civil	\$ 5,545	\$ 78,051					\$ 83,596	\$ 85,850	\$ 116,042	\$ 116,278
Family & Children Services	\$ 160,933	\$ 197,169			\$ 26,433		\$ 384,535	\$ 385,597	\$ 392,901	\$ 367,215
Probate, Guardianship & Mental Health Services		\$ 43,900					\$ 43,900	\$ 49,000	\$ 47,436	\$ 75,000
Juvenile Dependency Services		\$ 3,681					\$ 3,681	\$ 10,300	\$ 3,762	\$ 4,300
Juvenile Delinquency Services	\$ 2,189	\$ 11,065					\$ 13,253	\$ 12,000	\$ 16,189	\$ 12,000
Other Court Operations	\$ 702,531						\$ 702,531	\$ 1,520,992	\$ 334,040	\$ 42,940
Court Interpreters	\$ 7,544	\$ 67,991				\$ (893)	\$ 74,642	\$ 126,000	\$ 91,579	\$ 127,500
Jury Services		\$ 2,800	\$ 48,031				\$ 50,831	\$ 48,000	\$ 52,272	\$ 48,000
Security	\$ 0	\$ 194,615					\$ 194,615	\$ 223,890	\$ 192,838	\$ 210,880
<b>Trial Court Operations Program</b>	<b>\$ 1,684,894</b>	<b>\$ 811,905</b>	<b>\$ 48,031</b>		<b>\$ 26,433</b>	<b>\$ (893)</b>	<b>\$ 2,570,369</b>	<b>\$ 2,724,678</b>	<b>\$ 3,144,996</b>	<b>\$ 3,354,297</b>
Enhanced Collections		\$ (852)					\$ (852)		\$ 31,222	
Other Non-Court Operations	\$ 811	\$ 1,723					\$ 2,533	\$ 3,000	\$ 6,766	\$ 2,550
<b>Non-Court Operations Program</b>	<b>\$ 811</b>	<b>\$ 870</b>					<b>\$ 1,681</b>	<b>\$ 3,000</b>	<b>\$ 37,988</b>	<b>\$ 2,550</b>
Executive Office	\$ 231,618	\$ 63			\$ (6,628)		\$ 225,054	\$ 337,437	\$ 306,602	\$ 326,260
Fiscal Services	\$ 135,842	\$ 3,927			\$ (2,724)		\$ 137,046	\$ 131,023	\$ 126,038	\$ 124,520
Human Resources	\$ 65,867	\$ 3,738			\$ (1,614)		\$ 67,992	\$ 87,966	\$ 74,679	\$ 11,050
Business & Facilities Services		\$ 233,396			\$ (5,189)	\$ 116	\$ 228,322	\$ 291,536	\$ 239,990	\$ 316,884
Information Technology	\$ 102,443	\$ 369,862			\$ (10,278)	\$ 9,140	\$ 471,166	\$ 524,734	\$ 475,418	\$ 481,484
<b>Court Administration Program</b>	<b>\$ 535,771</b>	<b>\$ 610,987</b>			<b>\$ (26,433)</b>	<b>\$ 9,256</b>	<b>\$ 1,129,580</b>	<b>\$ 1,372,698</b>	<b>\$ 1,222,727</b>	<b>\$ 1,260,198</b>
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	<b>\$ 2,221,475</b>	<b>\$ 1,423,762</b>	<b>\$ 48,031</b>		<b>\$ 0</b>	<b>\$ 8,962</b>	<b>\$ 3,701,630</b>	<b>\$ 4,100,374</b>	<b>\$ 4,405,711</b>	<b>\$ 4,617,045</b>

Superior Court of California, County of Lake  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended June											
Fiscal Year 2012/13											
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	2011/12		
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds			Fiduciary Funds	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 3,104,785	\$ 20,328					\$ 3,125,113	\$ 3,073,526	\$ 3,715,808	\$ 3,646,088	
Trial Court Improvement Fund	\$ 8,622						\$ 8,622	\$ 8,623	\$ 8,623	\$ 8,623	
Judicial Administration Efficiency & Mod Fund	\$ 0						\$ 0	\$ 65,776	\$ 65,922	\$ 65,922	
Judges' Compensation (45.25)	\$ 30,000						\$ 30,000	\$ 30,000	\$ 30,000	\$ 30,000	
Court Interpreter (45.45)	\$ 64,691						\$ 64,691	\$ 124,800	\$ 90,085	\$ 128,000	
Civil Coordination Reimbursement (45.55)	\$ 159,838						\$ 159,838	\$ 94,791	\$ 146,354	\$ 119,514	
MOU Reimbursements (45.10 and General)	\$ 26,942						\$ 26,942	\$ 406	\$ 406		
Other Miscellaneous											
	\$ 3,394,878	\$ 20,328					\$ 3,415,206	\$ 3,332,346	\$ 4,057,063	\$ 3,996,127	
Grants											
AB 1058 Commissioner/Facilitator			\$ 215,695				\$ 215,695	\$ 203,262	\$ 229,713	\$ 222,001	
Other AOC Grants			\$ 11,275				\$ 11,275	\$ 13,000	\$ 12,000	\$ 12,000	
Non-AOC Grants											
			\$ 226,970				\$ 226,970	\$ 216,262	\$ 241,713	\$ 234,001	
Other Financing Sources											
Interest Income	\$ 2,217	\$ 17					\$ 2,234	\$ 1,500	\$ 11,561	\$ 1,500	
Investment Income											
Donations	\$ 1,380						\$ 1,380		\$ 4,967		
Local Fees											
Non-Fee Revenues	\$ 13,461						\$ 13,461	\$ 22,226	\$ 33,721	\$ 9,500	
Enhanced Collections											
Escheatment	\$ 1,928						\$ 1,928				
Prior Year Revenue	\$ (4,144)						\$ (4,144)		\$ 93,085		
County Program - Restricted		\$ 2,206					\$ 2,206	\$ 2,000	\$ 1,957	\$ 2,000	
Reimbursement Other	\$ 35,899						\$ 35,899	\$ 19,296	\$ 15,273	\$ 18,298	
Sale of Fixed Assets											
Other Miscellaneous	\$ 121						\$ 121		\$ 17		
	\$ 50,862	\$ 2,223					\$ 53,085	\$ 45,022	\$ 160,581	\$ 32,298	
<b>Total Revenues</b>	<b>\$ 3,445,740</b>	<b>\$ 22,651</b>	<b>\$ 226,970</b>				<b>\$ 3,695,261</b>	<b>\$ 3,599,430</b>	<b>\$ 4,459,358</b>	<b>\$ 4,262,424</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 1,416,655	\$ 575	\$ 78,608				\$ 1,495,838	\$ 1,660,774	\$ 2,013,029	\$ 2,025,036	
Temp Help	\$ 50,813						\$ 50,813	\$ 54,486	\$ 45,166	\$ 54,558	
Overtime	\$ 16,808		\$ 462				\$ 17,270	\$ 5,000	\$ 1,688	\$ 5,000	
Staff Benefits	\$ 606,806	\$ 236	\$ 50,512				\$ 657,553	\$ 718,707	\$ 797,047	\$ 870,440	
	\$ 2,091,082	\$ 811	\$ 129,583				\$ 2,221,475	\$ 2,438,967	\$ 2,856,927	\$ 2,955,034	
Operating Expenses and Equipment											
General Expense	\$ 159,107		\$ 5,334				\$ 164,441	\$ 207,727	\$ 161,548	\$ 175,727	
Printing	\$ 12,887		\$ 96				\$ 12,983	\$ 12,500	\$ 8,960	\$ 17,000	
Telecommunications	\$ 16,478		\$ 1,068				\$ 17,546	\$ 24,000	\$ 21,343	\$ 23,000	
Postage	\$ 22,247		\$ 987				\$ 23,234	\$ 31,000	\$ 26,312	\$ 31,000	
Insurance	\$ 1,978						\$ 1,978	\$ 2,750	\$ 2,029	\$ 2,600	
In-State Travel	\$ 4,821		\$ 3,529				\$ 8,350	\$ 20,500	\$ 14,338	\$ 20,500	
Out-of-State Travel	\$ 663						\$ 663				
Training	\$ 2,509		\$ 750				\$ 3,259	\$ 6,000	\$ 11,103	\$ 14,000	
Security Services	\$ 171,999		\$ 8,412				\$ 180,410	\$ 190,800	\$ 187,300	\$ 205,800	
Facility Operations	\$ 85,259		\$ 1,859				\$ 87,118	\$ 100,780	\$ 107,798	\$ 109,200	
Utilities	\$ 2,370		\$ 9				\$ 2,379	\$ 2,100	\$ 1,546	\$ 2,000	
Contracted Services	\$ 744,790		\$ 46,761				\$ 791,551	\$ 880,193	\$ 817,940	\$ 875,831	
Consulting and Professional Services	\$ 24,555		\$ 346				\$ 24,901	\$ 35,000	\$ 31,007	\$ 30,000	
Information Technology	\$ 79,970		\$ 1,968				\$ 81,938	\$ 97,557	\$ 107,210	\$ 104,853	
Major Equipment	\$ 21,000						\$ 21,000				
Other Items of Expense	\$ 2,010						\$ 2,010	\$ 2,500	\$ 2,041	\$ 2,500	
	\$ 1,352,642		\$ 71,119				\$ 1,423,762	\$ 1,613,407	\$ 1,500,475	\$ 1,614,011	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 48,031						\$ 48,031	\$ 48,000	\$ 48,879	\$ 48,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (26,433)		\$ 26,433				\$ 0		\$ 0		
Prior Year Expense Adjustment	\$ 8,362						\$ 8,362		\$ (1,571)		
	\$ 29,960		\$ 26,433				\$ 56,393	\$ 48,000	\$ 48,308	\$ 48,000	
<b>Total Expenditures</b>	<b>\$ 3,473,584</b>	<b>\$ 811</b>	<b>\$ 227,135</b>				<b>\$ 3,701,630</b>	<b>\$ 4,100,374</b>	<b>\$ 4,405,711</b>	<b>\$ 4,617,045</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ (27,944)	\$ 21,740	\$ (165)				\$ (6,369)	\$ (506,944)	\$ 53,645	\$ (354,621)	
Operating Transfers In (Out)	\$ (165)		\$ 165				\$ 0	\$ 0	\$ 0		
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 535,567	\$ 170	\$ 0				\$ 535,737	\$ 535,737	\$ 482,092	\$ 482,092	
Ending Balance (Deficit)	\$ 507,468	\$ 21,914	\$ 0				\$ 529,368	\$ 28,793	\$ 535,737	\$ 127,471	

