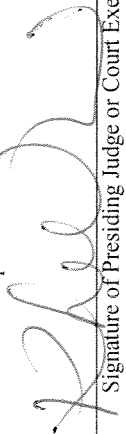
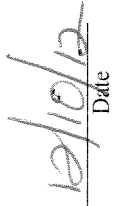


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive



Date

LAKE

Court
FY12 - QTR1

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

LAKE
 Court

FY12 – QTR1
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	29.8	29.4			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

	For the month ended Sep							2011/12	
	Fiscal Year 2012/13							Total Funds	
	Governmental Funds			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
General	Special Revenue								
		Non-Grant	Grant						
ASSETS									
Operations	\$ 108,347	\$ 282	\$ (85,897)				\$ 220,394	\$ 243,127	\$ (52,670)
Payroll	\$ (1,680)						\$ 0	\$ (1,680)	\$ (584)
Jury									
Revolving									
Other									
Distribution									
Civil Filing Fees									
Trust							\$ 0	\$ 0	\$ 0
Credit Card							\$ (25,000)	\$ (25,000)	\$ 148,626
Cash on Hand	\$ 1,000							\$ 1,000	\$ 1,200
Cash with County									
Cash Outside of the AOC							\$ 140,477	\$ 140,477	\$ 129,977
Total Cash	\$ 107,667	\$ 282	\$ (85,897)				\$ 335,871	\$ 307,924	\$ 228,440
Short Term Investment	\$ 582,537						\$ 81,039	\$ 663,576	\$ 732,147
Investment in Financial Institution									
Total Investments	\$ 582,537						\$ 81,039	\$ 663,576	\$ 732,147
Accrued Revenue	\$ 0						\$ 0	\$ 0	\$ 0
Accounts Receivable - General	\$ 24,230	\$ 0	\$ 70,985					\$ 95,215	\$ 90,784
Dishonored Checks									
Due From Employee	\$ 0							\$ 0	\$ 0
Civil Jury Fees									
Trust									
Due From Other Funds	\$ 0							\$ 0	\$ 0
Due From Other Governments	\$ 0							\$ 0	\$ 115
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 0					\$ 0	\$ 8,633
Trust Due To/From									\$ 0
Distribution Due To/From									
Civil Filing Fee Due To/From	\$ 69						\$ 0	\$ 0	\$ 78
General Due To/From									
Total Receivables	\$ 24,299	\$ 0	\$ 70,985				\$ 0	\$ 95,283	\$ 97,822
Prepaid Expenses - General	\$ 951							\$ 951	\$ 0
Salary and Travel Advances	\$ 197							\$ 197	\$ 812
Combes									
Total Prepaid Expenses	\$ 1,148							\$ 1,148	\$ 912
Other Assets									
Total Other Assets									
Total Assets	\$ 715,651	\$ 282	\$ (14,912)				\$ 418,911	\$ 1,117,932	\$ 1,017,201
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 35,377		\$ 0				\$ 0	\$ 35,377	\$ 38,880
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 69	\$ 69	\$ 78
Due to Other Courts									
Due to State									\$ 0
TC145 Liability							\$ 82,693	\$ 82,693	\$ 78,887
Due to Other Governments	\$ 0		\$ 0					\$ 0	\$ 0
AB145 Due to Other Government Agency									\$ 102
Due to Other Public Agencies								\$ 165	
Sales and Use Tax	\$ 185							\$ 185	\$ 23
Interest							\$ 31	\$ 31	\$ 23
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 35,542	\$ 0	\$ 0				\$ 82,793	\$ 118,236	\$ 117,482
Civil							\$ 104,169	\$ 104,169	\$ 115,229
Criminal							\$ 71,543	\$ 71,543	\$ 19,585
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 140,477	\$ 140,477	\$ 129,977
Trust Interest Payable							\$ 6,361	\$ 6,361	\$ 6,433
Miscellaneous Trust									
Total Trust Deposits							\$ 322,549	\$ 322,549	\$ 271,203
Accrued Payroll	\$ 0							\$ 0	\$ 0
Benefits Payable	\$ 1,637							\$ 1,637	\$ 2,795
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 0							\$ 0	\$ 0
Payroll Clearing	\$ 0							\$ 0	\$ 0
Total Payroll Liabilities	\$ 1,637							\$ 1,637	\$ 2,795
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 6,272						\$ 2,642	\$ 8,914	\$ 7,723
Jury Fees - Non-Interest							\$ 6,447	\$ 6,447	\$ 3,000
Fees - Partial Payment & Overpayment							\$ 2,479	\$ 2,479	\$ 650
Uncleared Collections									
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 6,272						\$ 11,568	\$ 17,840	\$ 11,373
Total Liabilities	\$ 43,452	\$ 0	\$ 0				\$ 416,911	\$ 460,000	\$ 402,854
Total Fund Balance	\$ 672,199	\$ 282	\$ (14,912)					\$ 657,932	\$ 614,447
Total Liabilities and Fund Balance	\$ 715,651	\$ 282	\$ (14,912)				\$ 418,911	\$ 1,117,932	\$ 1,017,201

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

	For the month ended Sep									
	Fiscal Year 2012/13							2011/12		
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 120,473	\$ 31,919					\$ 152,393	\$ 259,049	\$ 304,704	\$ 2,350,184
Traffic & Other Infractions	\$ 18,736						\$ 18,736		\$ 36,522	
Other Criminal Cases	\$ 73,887	\$ 5,300					\$ 79,187	\$ 34,000	\$ 106,124	
Civil	\$ 667	\$ 5,383					\$ 6,050	\$ 85,850	\$ 19,333	\$ 116,278
Family & Children Services	\$ 43,075	\$ 38,504			\$ 4,863		\$ 86,441	\$ 355,597	\$ 74,555	\$ 367,215
Probate, Guardianship & Mental Health Services		\$ 8,121					\$ 8,121	\$ 49,000	\$ 9,803	\$ 75,000
Juvenile Dependency Services		\$ 317					\$ 317	\$ 10,300	\$ 1,513	\$ 4,300
Juvenile Delinquency Services	\$ 348	\$ 0					\$ 348	\$ 12,000	\$ 2,901	\$ 12,000
Other Court Operations	\$ 150,037						\$ 150,037	\$ 1,520,992	\$ 53,745	\$ 42,940
Court Interpreters	\$ 1,392	\$ 20,620					\$ 22,011	\$ 126,000	\$ 21,770	\$ 127,500
Jury Services			\$ 11,512				\$ 11,512	\$ 48,000	\$ 6,528	\$ 48,000
Security		\$ 37,229					\$ 37,229	\$ 223,890	\$ 36,560	\$ 210,880
Trial Court Operations Program	\$ 408,614	\$ 147,393	\$ 11,512		\$ 4,863		\$ 572,381	\$ 2,724,678	\$ 674,059	\$ 3,354,297
Enhanced Collections		\$ (23,950)					\$ (23,950)			
Other Non-Court Operations		\$ 412					\$ 412	\$ 3,000	\$ 4,034	\$ 2,550
Non-Court Operations Program		\$ (23,538)					\$ (23,538)	\$ 3,000	\$ 4,034	\$ 2,550
Executive Office	\$ 78,977				\$ (1,219)		\$ 77,758	\$ 337,437	\$ 70,211	\$ 326,260
Fiscal Services	\$ 27,611	\$ 816			\$ (501)		\$ 27,926	\$ 131,023	\$ 27,486	\$ 124,520
Human Resources	\$ 15,029	\$ 395			\$ (297)		\$ 15,127	\$ 87,966	\$ 23,979	\$ 11,050
Business & Facilities Services		\$ 3,317			\$ (954)	\$ 116	\$ 2,478	\$ 291,536	\$ 34,270	\$ 316,884
Information Technology	\$ 25,383	\$ 12,085			\$ (1,891)	\$ 9,140	\$ 44,717	\$ 524,734	\$ 45,111	\$ 481,484
Court Administration Program	\$ 147,000	\$ 16,613			\$ (4,863)	\$ 9,256	\$ 168,006	\$ 1,372,696	\$ 201,058	\$ 1,260,198
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 555,614	\$ 140,467	\$ 11,512		\$ 0	\$ 9,256	\$ 716,849	\$ 4,100,374	\$ 879,150	\$ 4,617,045

Superior Court of California, County of Lake
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
	Fiscal Year 2012/13							2011/12			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 769,087							\$ 769,087	\$ 3,073,526	\$ 920,271	\$ 3,646,068
Trial Court Improvement Fund	\$ (1)							\$ (1)	\$ 8,623	\$ 0	\$ 8,623
Judicial Administration Efficiency & Mod Fund	\$ (4,500)							\$ (4,500)		\$ 4,500	\$ 65,922
Judges' Compensation (45.25)	\$ 7,500							\$ 7,500	\$ 30,000	\$ 7,500	\$ 30,000
Court Interpreter (45.45)	\$ 8,427							\$ 8,427	\$ 124,800	\$ 19,789	\$ 126,000
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 12,287							\$ 12,287	\$ 94,791	\$ 6,992	\$ 119,514
Other Miscellaneous									\$ 406		
	\$ 792,800							\$ 792,800	\$ 3,332,146	\$ 959,052	\$ 3,996,127
Grants											
AB 1058 Commissioner/Facilitator						\$ 38,349		\$ 38,349	\$ 203,262	\$ 35,043	\$ 222,001
Other AOC Grants									\$ 13,000	\$ 0	\$ 12,000
Non-AOC Grants											
						\$ 38,349		\$ 38,349	\$ 216,262	\$ 35,043	\$ 234,001
Other Financing Sources											
Interest Income	\$ 138	\$ 0						\$ 138	\$ 1,500	\$ 8,744	\$ 1,500
Investment Income											
Donations	\$ 345							\$ 345			
Local Fees											
Non-Fee Revenues	\$ 2,753							\$ 2,753	\$ 22,226	\$ 1,617	\$ 9,500
Enhanced Collections											
Escheatment	\$ 1,928							\$ 1,928			
Prior Year Revenue	\$ 357							\$ 357		\$ 2,839	
County Program - Restricted						\$ 112		\$ 112	\$ 2,000	\$ 372	\$ 2,000
Reimbursement Other	\$ 1,895							\$ 1,895	\$ 19,296	\$ 3,838	\$ 19,296
Sale of Fixed Assets											
Other Miscellaneous	\$ 3							\$ 3			
	\$ 7,419	\$ 112						\$ 7,531	\$ 45,022	\$ 17,410	\$ 32,296
Total Revenues	\$ 800,220	\$ 112	\$ 38,349					\$ 838,681	\$ 3,593,430	\$ 1,011,505	\$ 4,262,424
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 363,260					\$ 19,456		\$ 382,716	\$ 1,660,774	\$ 458,935	\$ 2,025,036
Temp Help	\$ 9,694							\$ 9,694	\$ 54,486	\$ 13,076	\$ 54,558
Overtime	\$ 2,107					\$ 173		\$ 2,280	\$ 5,000	\$ 209	\$ 5,000
Staff Benefits	\$ 146,974					\$ 13,949		\$ 160,923	\$ 718,707	\$ 184,749	\$ 870,440
	\$ 522,036					\$ 33,578		\$ 555,614	\$ 2,438,967	\$ 656,969	\$ 2,955,034
Operating Expenses and Equipment											
General Expense	\$ (7,238)					\$ 554		\$ (6,684)	\$ 207,727	\$ 18,869	\$ 175,727
Printing	\$ 4,658							\$ 4,658	\$ 12,500	\$ 1,607	\$ 17,000
Telecommunications	\$ 2,205					\$ 99		\$ 2,304	\$ 24,000	\$ 4,709	\$ 23,000
Postage	\$ 4,651					\$ 268		\$ 4,918	\$ 31,000	\$ 4,779	\$ 31,000
Insurance									\$ 2,750		\$ 2,600
In-State Travel	\$ 934					\$ 40		\$ 974	\$ 20,500	\$ 2,278	\$ 20,500
Out-of-State Travel											

Training	\$ 461		\$ 425			\$ 886	\$ 6,000	\$ 1,525	\$ 14,000
Security Services	\$ 34,435		\$ 2,459			\$ 36,894	\$ 190,800	\$ 37,182	\$ 205,800
Facility Operations	\$ 11,637		\$ 427			\$ 12,065	\$ 100,780	\$ 4,566	\$ 109,200
Utilities	\$ 39		\$ 2			\$ 41	\$ 2,100	\$ (23)	\$ 2,000
Contracted Services	\$ 61,363		\$ 10,547			\$ 71,910	\$ 880,193	\$ 122,371	\$ 875,831
Consulting and Professional Services	\$ 0					\$ 0	\$ 35,000	\$ 140	\$ 30,000
Information Technology	\$ 12,085					\$ 12,085	\$ 97,557	\$ 20,758	\$ 104,853
Major Equipment									
Other Items of Expense	\$ 416					\$ 416	\$ 2,500	\$ 855	\$ 2,500
	\$ 125,646		\$ 14,821			\$ 140,467	\$ 1,613,407	\$ 219,617	\$ 1,614,011
Special Items of Expense									
Grand Jury									
Jury Costs	\$ 11,512					\$ 11,512	\$ 48,000	\$ 4,135	\$ 48,000
Judgements, Settlements and Claims									
Debt Service									
Other									
Capital Costs									
Internal Cost Recovery	\$ (4,863)		\$ 4,863			\$ 0			
Prior Year Expense Adjustment	\$ 9,256					\$ 9,256		\$ (1,571)	
	\$ 15,905		\$ 4,863			\$ 20,768	\$ 48,000	\$ 2,564	\$ 48,000
Total Expenditures	\$ 663,687		\$ 53,262			\$ 716,849	\$ 4,100,374	\$ 879,150	\$ 4,617,045
Excess (Deficit) of Revenues Over Expenditures	\$ 136,632	\$ 112	\$ (14,912)			\$ 121,832	\$ (506,944)	\$ 132,354	\$ (354,621)
Operating Transfers In (Out)							\$ 0		
Fund Balance (Deficit)									
Beginning Balance (Deficit)	\$ 535,567	\$ 170	\$ 0			\$ 535,737	\$ 535,737	\$ 482,092	\$ 482,092
Ending Balance (Deficit)	\$ 672,199	\$ 282	\$ (14,912)			\$ 657,569	\$ 28,793	\$ 614,447	\$ 127,471

✓
 (535,737)

 12,831.80 Current year
 fund balance