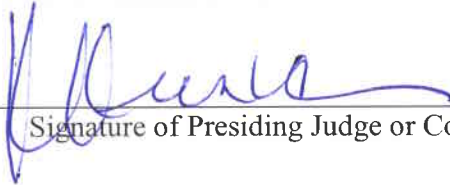


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



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Signature of Presiding Judge or Court Executive

08/15/2012

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Date

Humboldt

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Court

FY2011-12 4th Qtr.

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Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Humboldt

Court

FY2011-12 4th Qtr.

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT**  
**Filled Court Employee Positions (FTEs)**

Humboldt

Court

FY2011-12 4th Qtr.

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	95.38	82.88	83	84	82.25

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Humboldt Superior Court  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

For the month ended Jun									
Fiscal Year 2011/12									2010/11
	Governmental Funds						Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	General	Special Revenue		Capital Project	Debt Service	Proprietary Funds			Fiduciary Funds
Non-Grant		Grant							
<b>ASSETS</b>									
Operations	\$ (61,111)	\$ 0	\$ 0				\$ 1,643,789	\$ 1,582,677	\$ (185,011)
Payroll									
Jury									
Revolving	\$ 10,000							\$ 10,000	\$ 6,238
Other									
Distribution	\$ 0						\$ 11,861	\$ 11,861	\$ 48,105
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (3,665)	\$ (3,665)	\$ 1,660,738
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 2,750
Cash with County									
Cash Outside of the AOC							\$ 1,355	\$ 1,355	\$ 5,930
<b>Total Cash</b>	<b>\$ (48,111)</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 1,653,339</b>	<b>\$ 1,605,228</b>	<b>\$ 1,538,750</b>
Short Term Investment	\$ 1,597,569						\$ 501,313	\$ 2,098,882	\$ 1,506,498
Investment in Financial Institution									
<b>Total Investments</b>	<b>\$ 1,597,569</b>						<b>\$ 501,313</b>	<b>\$ 2,098,882</b>	<b>\$ 1,506,498</b>
Accrued Revenue	\$ 1,424	\$ 0					\$ 0	\$ 1,424	\$ 945
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ (108)							\$ (108)	\$ (42)
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust	\$ 0						\$ 0	\$ 0	\$ 0
Due From Other Funds	\$ 55,340		\$ 0				\$ 0	\$ 55,340	\$ 89,871
Due From Other Governments	\$ 119,462	\$ 751	\$ 71,253				\$ 0	\$ 191,466	\$ 146,039
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 219,776		\$ 0					\$ 219,776	\$ 206,178
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From							\$ 9	\$ 9	\$ 0
Civil Filing Fee Due To/From							\$ 71,215	\$ 71,215	\$ 0
General Due To/From	\$ 56							\$ 56	\$ 239
<b>Total Receivables</b>	<b>\$ 395,950</b>	<b>\$ 751</b>	<b>\$ 71,253</b>				<b>\$ 71,224</b>	<b>\$ 538,178</b>	<b>\$ 443,229</b>
Prepaid Expenses - General	\$ 25,546							\$ 25,546	\$ 8,534
Salary and Travel Advances									\$ 0
Counties									
<b>Total Prepaid Expenses</b>	<b>\$ 25,546</b>							<b>\$ 25,546</b>	<b>\$ 8,534</b>
Other Assets									
<b>Total Other Assets</b>									
<b>Total Assets</b>	<b>\$ 1,970,954</b>	<b>\$ 751</b>	<b>\$ 71,253</b>				<b>\$ 2,225,876</b>	<b>\$ 4,268,834</b>	<b>\$ 3,497,011</b>
<b>LIABILITIES AND FUND BALANCES</b>									
Accrued Liabilities	\$ 113,606	\$ 68	\$ 1,120					\$ 114,794	\$ 240,134
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 2,905	\$ 2,905	\$ 0
Due to Other Funds	\$ 71,224	\$ 683	\$ 54,657				\$ 56	\$ 126,620	\$ 90,110
Due to Other Courts									\$ 0
Due to State									
TC145 Liability							\$ 255,542	\$ 255,542	\$ 125,019
Due to Other Governments	\$ 0						\$ 0	\$ 0	\$ 53,410
AB145 Due to Other Government Agency							\$ 108,068	\$ 108,068	\$ 113,060
Due to Other Public Agencies	\$ 22,190		\$ 15,476				\$ 2,039	\$ 39,705	\$ 31
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 29
Interest							\$ 24	\$ 24	\$ 9
Miscellaneous Accts, Pay, and Accrued Liab.									\$ 0
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 207,020</b>	<b>\$ 751</b>	<b>\$ 71,253</b>				<b>\$ 368,634</b>	<b>\$ 647,658</b>	<b>\$ 621,803</b>
Civil									
Criminal							\$ 1,394,660	\$ 1,394,660	\$ 1,377,775
Unreconciled - Civil and Criminal							\$ 418,138	\$ 418,138	\$ 539,403
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 0	\$ 0	\$ 0
Miscellaneous Trust							\$ 6,479	\$ 6,479	\$ 4,616
<b>Total Trust Deposits</b>							<b>\$ 1,819,277</b>	<b>\$ 1,819,277</b>	<b>\$ 1,921,794</b>
Accrued Payroll									\$ 0
Benefits Payable	\$ 31,307							\$ 31,307	\$ 30,422
Deferred Compensation Payable	\$ 1,375							\$ 1,375	\$ 2,775
Deductions Payable	\$ 64,052							\$ 64,052	\$ 37,897
Payroll Clearing	\$ 125,107							\$ 125,107	\$ 123,909
<b>Total Payroll Liabilities</b>	<b>\$ 221,841</b>							<b>\$ 221,841</b>	<b>\$ 195,003</b>
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 23,496						\$ 4,824	\$ 28,320	\$ 28,269
Jury Fees - Non-Interest							\$ 33,140	\$ 33,140	\$ 30,238
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ (161)						\$ 0	\$ (161)	\$ 0
Other Miscellaneous Liabilities									\$ 0
<b>Total Other Liabilities</b>	<b>\$ 23,335</b>						<b>\$ 37,964</b>	<b>\$ 61,300</b>	<b>\$ 58,507</b>
<b>Total Liabilities</b>	<b>\$ 452,196</b>	<b>\$ 751</b>	<b>\$ 71,253</b>				<b>\$ 2,225,876</b>	<b>\$ 2,750,076</b>	<b>\$ 2,797,107</b>
<b>Total Fund Balance</b>	<b>\$ 1,518,758</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 0</b>	<b>\$ 1,518,758</b>	<b>\$ 699,905</b>
<b>Total Liabilities and Fund Balance</b>	<b>\$ 1,970,954</b>	<b>\$ 751</b>	<b>\$ 71,253</b>				<b>\$ 2,225,876</b>	<b>\$ 4,268,834</b>	<b>\$ 3,497,011</b>

Humboldt Superior Court  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended Jun											
Fiscal Year 2011/12										2010/11	
	Governmental Funds						Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds					Fiduciary Funds
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 6,924,275							\$ 6,924,275	\$ 6,481,038	\$ 8,073,949	\$ 8,018,635
Trial Court Improvement Fund	\$ 17,825							\$ 17,825	\$ 17,826	\$ 17,826	\$ 17,826
Judicial Administration Efficiency & Mod Fund										\$ 5,098	\$ 5,098
Judges' Compensation (45.25)	\$ 52,500							\$ 52,500	\$ 52,500	\$ 52,500	\$ 52,500
Court Interpreter (45.45)	\$ 114,919							\$ 114,919	\$ 91,300	\$ 131,312	\$ 102,171
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 963,435							\$ 963,435	\$ 801,507	\$ 944,759	\$ 994,052
Other Miscellaneous	\$ 34,633							\$ 34,633			
	\$ 8,107,587							\$ 8,107,587	\$ 7,444,171	\$ 9,225,444	\$ 9,190,282
Grants											
AB 1058 Commissioner/Facilitator	\$ 0		\$ 199,207					\$ 199,207	\$ 254,379	\$ 226,015	\$ 275,200
Other AOC Grants	\$ 1,549		\$ 15,476					\$ 17,025	\$ 17,120	\$ 19,809	\$ 17,120
Non-AOC Grants											
	\$ 1,549		\$ 214,683					\$ 216,232	\$ 271,499	\$ 245,824	\$ 292,320
Other Financing Sources											
Interest Income	\$ 7,050	\$ 2						\$ 7,052	\$ 10,000	\$ 6,851	\$ 10,000
Investment Income											
Donations											
Local Fees	\$ 143,274							\$ 143,274	\$ 84,149	\$ 90,459	\$ 74,149
Non-Fee Revenues	\$ 51,579							\$ 51,579	\$ 52,000	\$ 53,782	\$ 52,000
Enhanced Collections	\$ 7,583	\$ 12,298						\$ 19,882			
Escheatment											
Prior Year Revenue	\$ 24,929		\$ 3,699					\$ 28,628		\$ (821)	
County Program - Restricted		\$ 4,063						\$ 4,063	\$ 3,500	\$ 3,360	\$ 3,500
Reimbursement Other	\$ 3,644							\$ 3,644	\$ 35,000	\$ 10,796	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,899							\$ 1,899		\$ 280	
	\$ 239,959	\$ 16,364	\$ 3,699					\$ 260,022	\$ 184,649	\$ 164,708	\$ 139,646
<b>Total Revenues</b>	<b>\$ 8,349,095</b>	<b>\$ 16,364</b>	<b>\$ 218,382</b>					<b>\$ 8,683,841</b>	<b>\$ 7,900,319</b>	<b>\$ 9,635,975</b>	<b>\$ 9,622,251</b>
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 3,555,568	\$ 8,675	\$ 107,808					\$ 3,672,051	\$ 3,630,398	\$ 3,914,570	\$ 3,706,433
Temp Help										\$ 3,395	
Overtime	\$ 7,298		\$ 32					\$ 7,331	\$ 10,000	\$ 10,166	\$ 10,000
Staff Benefits	\$ 1,660,962	\$ 2,054	\$ 42,079					\$ 1,705,095	\$ 2,035,914	\$ 1,737,556	\$ 1,961,794
	\$ 5,223,828	\$ 10,729	\$ 149,919					\$ 5,385,477	\$ 5,676,312	\$ 5,665,687	\$ 5,678,227
Operating Expenses and Equipment											
General Expense	\$ 283,606		\$ 19,575					\$ 303,181	\$ 261,997	\$ 299,116	\$ 264,997
Printing	\$ 46,277		\$ 36					\$ 46,314	\$ 56,500	\$ 41,862	\$ 71,001
Telecommunications	\$ 47,091		\$ 189					\$ 47,279	\$ 60,000	\$ 55,794	\$ 61,000
Postage	\$ 56,176	\$ 2,358						\$ 58,534	\$ 86,500	\$ 75,501	\$ 115,500
Insurance											
In-State Travel	\$ 17,867		\$ 3,049					\$ 20,916	\$ 19,354	\$ 15,494	\$ 18,352
Out-of-State Travel										\$ 0	
Training	\$ 25		\$ 475					\$ 500	\$ 1,000	\$ 4,615	\$ 6,998
Security Services	\$ 116,878		\$ 7,644					\$ 124,522	\$ 167,701	\$ 1,059,779	\$ 1,206,208
Facility Operations	\$ 42,966		\$ 2,911					\$ 45,876	\$ 36,000	\$ 40,111	\$ 51,520
Utilities											
Contracted Services	\$ 1,398,301	\$ 2,003	\$ 13,380					\$ 1,413,683	\$ 1,403,287	\$ 1,439,980	\$ 1,552,391
Consulting and Professional Services	\$ 22,879							\$ 22,879	\$ 21,320	\$ 21,329	\$ 25,600
Information Technology	\$ 181,398							\$ 181,398	\$ 197,306	\$ 198,189	\$ 211,106
Major Equipment									\$ 5,000	\$ 0	\$ 5,000
Other Items of Expense	\$ 141							\$ 141		\$ (1,444)	
	\$ 2,213,604	\$ 4,361	\$ 47,260					\$ 2,265,225	\$ 2,319,965	\$ 3,250,326	\$ 3,589,673
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 97,626							\$ 97,626	\$ 132,000	\$ 98,354	\$ 132,000
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (30,281)	\$ 1,286	\$ 28,994					\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Expense Adjustment	\$ 13,960		\$ 3,699					\$ 17,659		\$ (1,454)	\$ 0
	\$ 81,306	\$ 1,286	\$ 32,693					\$ 115,285	\$ 132,000	\$ 96,900	\$ 132,000
<b>Total Expenditures</b>	<b>\$ 7,518,738</b>	<b>\$ 16,377</b>	<b>\$ 229,873</b>					<b>\$ 7,764,987</b>	<b>\$ 8,124,277</b>	<b>\$ 9,012,914</b>	<b>\$ 9,399,900</b>
Excess (Deficit) of Revenues Over Expenditures	\$ 830,357	\$ (13)	\$ (11,491)					\$ 818,853	\$ (223,958)	\$ 623,062	\$ 222,351
Operating Transfers In (Out)	\$ (11,491)	\$ 0	\$ 11,491					\$ 0	\$ 0	\$ 0	\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 699,892	\$ 13	\$ 0					\$ 699,905	\$ 699,905	\$ 76,843	\$ 76,843
Ending Balance (Deficit)	\$ 1,518,758	\$ 0	\$ 0					\$ 1,518,758	\$ 475,947	\$ 699,905	\$ 299,194



Humboldt Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

	For the month ended Jun								2010/11		
	Fiscal Year 2011/12							Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense				
<b>PROGRAM EXPENDITURES:</b>											
Judges & Courtroom Support	\$ 2,146,399	\$ 374,059			\$ 0	\$ 366	\$ 2,520,824	\$ 2,875,532	\$ 2,573,411	\$ 2,480,188	
Traffic & Other Infractions	\$ 434,213	\$ 36,106			\$ 0	\$ 235	\$ 470,554	\$ 530,929	\$ 466,957	\$ 520,297	
Other Criminal Cases	\$ 420,507	\$ 28,780			\$ 0	\$ 411	\$ 449,698	\$ 450,981	\$ 417,842	\$ 521,186	
Civil	\$ 604,267	\$ 41,619			\$ 0	\$ (1)	\$ 645,885	\$ 430,854	\$ 605,371	\$ 435,699	
Family & Children Services	\$ 505,923	\$ 109,476			\$ 0	\$ (335)	\$ 615,064	\$ 503,432	\$ 838,254	\$ 860,064	
Probate, Guardianship & Mental Health Services		\$ 134,122				\$ 12,999	\$ 147,121	\$ 168,801	\$ 48,240	\$ 110,836	
Juvenile Dependency Services	\$ 50,883	\$ 651,683			\$ 0	\$ 0	\$ 702,566	\$ 703,699	\$ 731,889	\$ 841,451	
Juvenile Delinquency Services	\$ 50,881	\$ 1,931			\$ 0	\$ 0	\$ 52,812	\$ 65,456	\$ 72,264	\$ 113,896	
Other Court Operations	\$ 7,200	\$ 56,354				\$ 1,335	\$ 64,889	\$ 31,893	\$ 125,413	\$ 29,975	
Court Interpreters	\$ 24,305	\$ 118,453			\$ 0	\$ 0	\$ 142,757	\$ 91,300	\$ 134,918	\$ 103,449	
Jury Services	\$ 128,732	\$ 114,542	\$ 97,626		\$ 0	\$ 62	\$ 340,962	\$ 381,318	\$ 309,786	\$ 389,214	
Security		\$ 138,620				\$ 0	\$ 138,620	\$ 168,941	\$ 1,073,959	\$ 1,207,377	
Trial Court Operations Program	\$ 4,373,310	\$ 1,805,745	\$ 97,626		\$ 0	\$ 15,071	\$ 6,291,752	\$ 6,403,134	\$ 7,398,303	\$ 7,613,632	
Enhanced Collections											
Other Non-Court Operations											
Non-Court Operations Program											
Executive Office	\$ 172,239	\$ 12,048			\$ 0	\$ 0	\$ 184,286	\$ 172,307	\$ 180,388	\$ 173,848	
Fiscal Services	\$ 276,551	\$ 47,044			\$ 0	\$ 4,499	\$ 328,094	\$ 311,779	\$ 299,302	\$ 301,385	
Human Resources	\$ 81,350	\$ 50,254			\$ 0	\$ (250)	\$ 131,354	\$ 154,348	\$ 176,441	\$ 174,856	
Business & Facilities Services	\$ 153,036	\$ 272,286			\$ 0	\$ (1,660)	\$ 423,662	\$ 462,247	\$ 545,617	\$ 505,019	
Information Technology	\$ 327,991	\$ 77,849			\$ 0	\$ (1)	\$ 405,839	\$ 620,462	\$ 412,861	\$ 631,160	
Court Administration Program	\$ 1,011,167	\$ 459,480			\$ 0	\$ 2,588	\$ 1,473,235	\$ 1,721,143	\$ 1,614,610	\$ 1,786,268	
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0	\$ 0	\$ 0		\$ 0		
Prior Year Adjustments Not Posted to a Program											
<b>Total</b>	<b>\$ 5,384,477</b>	<b>\$ 2,265,225</b>	<b>\$ 97,626</b>		<b>\$ 0</b>	<b>\$ 17,659</b>	<b>\$ 7,764,987</b>	<b>\$ 8,124,277</b>	<b>\$ 9,012,914</b>	<b>\$ 9,399,900</b>	

