

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

05/10/2013

Date

Humboldt

Court

FY 2012-13 3rd Qtr.

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Humboldt

Court

FY 2012-13 3rd Qtr.

Fiscal Year and Ending Quarter

FOOTNOTES

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**QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)**

Humboldt

 Court

 FY 2012-13 3rd Qtr.

 Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	84.25	86.25	86.25	
Court Employee Positions (FTEs)	96.75	86.25	86.25	

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended March									
Fiscal Year 2012/13								2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
Non-Grant		Grant							
ASSETS									
Operations	\$ 159,736	\$ 28,374	\$ (116,188)				\$ 1,562,950	\$ 1,634,672	\$ (3,085)
Payroll									
Jury									
Revolving	\$ 9,985							\$ 9,985	\$ 4,028
Other									
Distribution	\$ 0						\$ 20,221	\$ 20,221	\$ 34,739
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust	\$ 0						\$ (178,568)	\$ (178,568)	\$ 1,583,677
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 3,000
Cash with County									
Cash Outside of the AOC							\$ 2,690	\$ 2,690	\$ 12,074
Total Cash	\$ 172,701	\$ 28,374	\$ (116,188)				\$ 1,407,292	\$ 1,492,179	\$ 1,634,454
Short Term Investment									
Investment in Financial Institution	\$ 1,188,380						\$ 471,641	\$ 1,660,020	\$ 1,819,442
Total Investments	\$ 1,188,380						\$ 471,641	\$ 1,660,020	\$ 1,819,442
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ 25							\$ 25	\$ (33)
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 9,891	\$ 0	\$ 116,188					\$ 126,078	\$ 125,308
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 180							\$ 180	\$ 459
Total Receivables	\$ 10,096	\$ 0	\$ 116,188				\$ 0	\$ 126,284	\$ 125,734
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,371,176	\$ 28,374	\$ 0				\$ 1,878,933	\$ 3,278,483	\$ 3,578,630
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 1,052	\$ 0	\$ 0				\$ 0	\$ 1,052	\$ 3,051
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 1,743	\$ 1,743	\$ 1,642
Due to Other Courts									
Due to State									
TC145 Liability							\$ 184,956	\$ 184,956	\$ 198,501
Due to Other Governments							\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 121,679	\$ 121,679	\$ 107,670
Due to Other Public Agencies	\$ 26,667		\$ 0				\$ (3,673)	\$ 22,993	\$ 2,162
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 29
Interest							\$ 194	\$ 194	\$ 186
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 27,719	\$ 0	\$ 0				\$ 304,899	\$ 332,618	\$ 313,241
Civil							\$ 1,218,457	\$ 1,218,457	\$ 1,401,809
Criminal							\$ 315,499	\$ 315,499	\$ 411,510
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 6,649	\$ 6,649	\$ 5,959
Miscellaneous Trust									
Total Trust Deposits							\$ 1,540,605	\$ 1,540,605	\$ 1,819,278
Accrued Payroll									
Benefits Payable	\$ 28,871							\$ 28,871	\$ 24,295
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 25,304							\$ 25,304	\$ 1,215
Payroll Clearing	\$ (923)							\$ (923)	\$ (5,972)
Total Payroll Liabilities	\$ 53,252							\$ 53,252	\$ 19,538
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 24,258						\$ 6,588	\$ 30,846	\$ 28,320
Jury Fees - Non-Interest							\$ 26,840	\$ 26,840	\$ 32,690
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities	\$ 35,000							\$ 35,000	\$ 0
Total Other Liabilities	\$ 59,258						\$ 33,429	\$ 92,687	\$ 61,011
Total Liabilities	\$ 140,229	\$ 0	\$ 0				\$ 1,878,933	\$ 2,019,162	\$ 2,213,067
Total Fund Balance	\$ 1,230,947	\$ 28,374	\$ 0				\$ 0	\$ 1,259,321	\$ 1,368,563
Total Liabilities and Fund Balance	\$ 1,371,176	\$ 28,374	\$ 0				\$ 1,878,933	\$ 3,278,483	\$ 3,578,630

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended March											
Fiscal Year 2012/13											
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 4,125,752	\$ 28,091					\$ 4,153,843	\$ 5,265,768	\$ 4,901,281	\$ 6,481,038	
Trial Court Improvement Fund								\$ 17,826	\$ 624	\$ 17,826	
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)	\$ 39,375						\$ 39,375	\$ 52,500	\$ 39,375	\$ 52,500	
Court Interpreter (45.45)	\$ 41,036						\$ 41,036	\$ 82,272	\$ 85,214	\$ 91,300	
Civil Coordination Reimbursement (45.55)	\$ 597,572						\$ 597,572	\$ 847,144	\$ 718,792	\$ 801,507	
MOU Reimbursements (45.10 and General)	\$ 73,084						\$ 73,084	\$ 34,633			
Other Miscellaneous											
	\$ 4,876,810	\$ 28,091					\$ 4,904,910	\$ 6,310,143	\$ 5,743,288	\$ 7,444,171	
Grants											
AB 1056 Commissioner/Facilitator			\$ 118,992				\$ 118,992	\$ 182,982	\$ 124,072	\$ 254,379	
Other AOC Grants								\$ 15,476		\$ 17,120	
Non-AOC Grants											
			\$ 118,992				\$ 118,992	\$ 198,458	\$ 124,072	\$ 271,499	
Other Financing Sources											
Interest Income	\$ 4,126	\$ 8					\$ 4,134	\$ 7,000	\$ 3,842	\$ 10,000	
Investment Income											
Donations											
Local Fees	\$ 89,541						\$ 89,541	\$ 142,800	\$ 95,152	\$ 84,149	
Non-Fee Revenues	\$ 53,238						\$ 53,238	\$ 47,789	\$ 50,034	\$ 52,000	
Enhanced Collections	\$ 0	\$ 8,319					\$ 8,319	\$ 20,000	\$ 5,440		
Escalation											
Pror Year Revenue	\$ 2,772		\$ (264)				\$ 2,508		\$ 28,628		
County Program - Restricted		\$ 2,642					\$ 2,642	\$ 4,000	\$ 2,717	\$ 3,500	
Reimbursement Other	\$ 2,426						\$ 2,426	\$ 9,000	\$ 3,494	\$ 35,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 1,361						\$ 1,361		\$ 791		
	\$ 153,463	\$ 10,968	\$ (264)				\$ 164,167	\$ 230,899	\$ 190,097	\$ 184,849	
Total Revenues	\$ 5,030,282	\$ 39,059	\$ 118,728				\$ 5,168,069	\$ 6,719,170	\$ 6,057,455	\$ 7,900,319	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 2,563,578	\$ 4,496	\$ 61,175				\$ 2,629,250	\$ 3,758,272	\$ 2,569,018	\$ 3,630,398	
Temp Help											
Overtime	\$ 4,627		\$ 38				\$ 4,666	\$ 10,000	\$ 5,204	\$ 10,000	
Staff Benefits	\$ 1,253,783	\$ 1,586	\$ 26,181				\$ 1,281,549	\$ 2,118,993	\$ 1,225,685	\$ 2,035,914	
	\$ 3,821,988	\$ 6,082	\$ 87,394				\$ 3,915,465	\$ 5,883,265	\$ 3,800,107	\$ 5,678,312	
Operating Expenses and Equipment											
General Expense	\$ 157,764		\$ 1,874				\$ 159,638	\$ 276,772	\$ 165,127	\$ 261,997	
Printing	\$ 26,028						\$ 26,028	\$ 47,498	\$ 21,742	\$ 56,500	
Telecommunications	\$ 29,731		\$ 65				\$ 29,796	\$ 47,200	\$ 33,831	\$ 60,000	
Postage	\$ 55,923	\$ 1,604					\$ 57,527	\$ 60,500	\$ 50,182	\$ 86,500	
Insurance											
In-State Travel	\$ 13,612		\$ 3,541				\$ 17,154	\$ 21,249	\$ 12,998	\$ 19,354	
Out-of-State Travel											
Training	\$ 992		\$ 850				\$ 1,842	\$ 950	\$ 500	\$ 1,000	
Security Services	\$ 76,422		\$ 4,994				\$ 81,417	\$ 125,250	\$ 81,351	\$ 167,701	
Facility Operations	\$ 25,410		\$ 1,793				\$ 27,203	\$ 45,650	\$ 34,200	\$ 36,000	
Utilities											
Contracted Services	\$ 880,230	\$ 1,662	\$ 1,578				\$ 883,471	\$ 1,377,517	\$ 969,182	\$ 1,403,287	
Consulting and Professional Services	\$ 12,612						\$ 12,612	\$ 22,879	\$ 644	\$ 21,320	
Information Technology	\$ 170,701	\$ 375					\$ 171,076	\$ 183,833	\$ 139,559	\$ 197,306	
Major Equipment								\$ 5,000		\$ 5,000	
Other Items of Expense	\$ 66						\$ 66		\$ 121		
	\$ 1,449,691	\$ 3,641	\$ 14,696				\$ 1,468,028	\$ 2,214,298	\$ 1,509,434	\$ 2,315,966	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 54,049						\$ 54,049	\$ 97,600	\$ 64,957	\$ 132,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (17,812)	\$ 910	\$ 16,902				\$ 0	\$ 0	\$ 0	\$ 0	
Pror Year Expense Adjustment	\$ 10,177	\$ 51	\$ (264)				\$ 9,965		\$ 16,299		
	\$ 48,414	\$ 962	\$ 16,638				\$ 64,013	\$ 97,600	\$ 81,255	\$ 132,000	
Total Expenditures	\$ 5,318,093	\$ 10,685	\$ 118,728				\$ 5,447,506	\$ 8,195,163	\$ 5,390,797	\$ 8,124,277	
Excess (Deficit) of Revenues Over Expenditures	\$ (287,811)	\$ 28,374	\$ 0				\$ (259,437)	\$ (1,455,993)	\$ 666,658	\$ (223,958)	
Operating Transfers In (Out)	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,518,758	\$ 0	\$ 0				\$ 1,518,758	\$ 1,518,758	\$ 699,905	\$ 699,905	
Ending Balance (Deficit)	\$ 1,230,947	\$ 28,374	\$ 0				\$ 1,259,321	\$ 82,765	\$ 1,366,563	\$ 475,947	

Superior Court of California, County of Humboldt
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

Fiscal Year 2012/13										2011/12	
For the month ended March											
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:											
Judges & Courtroom Support	\$ 1,563,261	\$ 269,453			\$ 0	\$ 6,605	\$ 1,839,320	\$ 2,765,342	\$ 1,762,262	\$ 2,875,532	
Traffic & Other Infractions	\$ 313,559	\$ 45,632			\$ 0	\$ 184	\$ 359,374	\$ 582,619	\$ 339,001	\$ 530,929	
Other Criminal Cases	\$ 308,441	\$ 25,040			\$ 0		\$ 333,481	\$ 419,432	\$ 318,283	\$ 450,981	
Civil	\$ 380,302	\$ 32,370			\$ 0		\$ 412,671	\$ 468,008	\$ 457,838	\$ 430,854	
Family & Children Services	\$ 365,040	\$ 62,259			\$ 0	\$ 2,058	\$ 429,357	\$ 473,468	\$ 451,177	\$ 503,432	
Probate, Guardianship & Mental Health Services	\$ 35,979	\$ 81,061			\$ 0	\$ 987	\$ 82,048	\$ 252,106	\$ 91,196	\$ 168,801	
Juvenile Dependency Services	\$ 35,978	\$ 461,273			\$ 0		\$ 497,252	\$ 657,153	\$ 477,475	\$ 703,699	
Juvenile Delinquency Services		\$ 1,869			\$ 0		\$ 37,846	\$ 55,566	\$ 37,803	\$ 65,456	
Other Court Operations		\$ 948			\$ 0		\$ 948	\$ 27,665	\$ 47,833	\$ 31,893	
Court Interpreters		\$ 51,336			\$ 0	\$ 3	\$ 51,339	\$ 115,600	\$ 86,747	\$ 91,300	
Jury Services	\$ 96,057	\$ 58,297	\$ 54,049		\$ 0		\$ 208,402	\$ 342,009	\$ 207,875	\$ 381,318	
Security		\$ 83,270			\$ 0		\$ 83,270	\$ 140,046	\$ 81,479	\$ 168,941	
Trial Court Operations Program	\$ 3,098,616	\$ 1,172,808	\$ 54,049		\$ 0	\$ 9,837	\$ 4,335,309	\$ 6,269,015	\$ 4,358,989	\$ 6,403,134	
Enhanced Collections											
Other Non-Court Operations											
Non-Court Operations Program											
Executive Office	\$ 164,687	\$ 12,212			\$ 0		\$ 176,899	\$ 364,175	\$ 127,802	\$ 172,307	
Fiscal Services	\$ 199,774	\$ 35,281			\$ 0		\$ 235,055	\$ 323,836	\$ 235,979	\$ 311,779	
Human Resources	\$ 105,584	\$ 19,810			\$ 0		\$ 125,394	\$ 165,793	\$ 105,520	\$ 154,348	
Business & Facilities Services	\$ 112,436	\$ 169,075			\$ 0		\$ 281,510	\$ 463,736	\$ 310,324	\$ 462,247	
Information Technology	\$ 234,368	\$ 58,842			\$ 0	\$ 128	\$ 293,339	\$ 608,608	\$ 252,182	\$ 620,462	
Court Administration Program	\$ 816,849	\$ 295,220			\$ 0	\$ 128	\$ 1,112,196	\$ 1,926,148	\$ 1,031,808	\$ 1,721,143	
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0		\$ 0		\$ 0		
Prior Year Adjustments Not Posted to a Program											
Total	\$ 3,915,465	\$ 1,468,028	\$ 54,049		\$ 0	\$ 9,965	\$ 5,447,506	\$ 8,195,163	\$ 5,390,797	\$ 8,124,277	