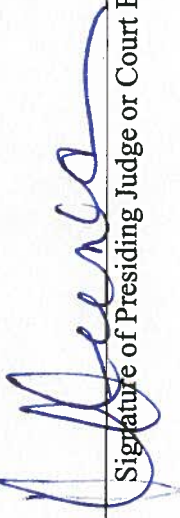


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.

  
\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

05/18/2012  
\_\_\_\_\_  
Date

Humboldt  
\_\_\_\_\_  
Court  
FY2011-12 3rd Qtr.  
\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Humboldt

Court

FY2011-12 3rd Qtr.

Fiscal Year and Ending Quarter

**FOOTNOTES**

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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Humboldt

Court

FY2011-12 3rd Qtr.

Fiscal Year and Ending Quarter

	Positions (FTEs) Filled			
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	82.88	83.00	84.00	
Court Employee Positions (FTEs)	82.88	83.00	84.00	

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Humboldt Superior Court  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

	For the month ended Mar							Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)	
	Fiscal Year 2011/12									2010/11
	Governmental Funds					Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)
General	Special Revenue		Capital Project	Debt Service	Total Funds (Info. Purposes Only)			Total Funds (Info. Purposes Only)		
	Non-Grant	Grant								
<b>ASSETS</b>										
Operations	\$ 150,822	\$ (755)	\$ (156,873)				\$ 3,741	\$ (3,065)	\$ (313,847)	
Payroll										
Jury										
Revolving	\$ 4,028							\$ 4,028	\$ 9,484	
Other										
Distribution	\$ 0						\$ 34,739	\$ 34,739	\$ 41,506	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust	\$ 0						\$ 1,583,677	\$ 1,583,677	\$ 1,518,744	
Credit Card										
Cash on Hand	\$ 3,000							\$ 3,000	\$ 3,200	
Cash with County										
Cash Outside of the AOC							\$ 12,074	\$ 12,074	\$ 1,465	
<b>Total Cash</b>	<b>\$ 157,850</b>	<b>\$ (755)</b>	<b>\$ (156,873)</b>				<b>\$ 1,834,231</b>	<b>\$ 1,634,454</b>	<b>\$ 1,260,552</b>	
Short Term Investment	\$ 1,285,831						\$ 533,611	\$ 1,819,442	\$ 1,651,634	
Investment in Financial Institution										
<b>Total Investments</b>	<b>\$ 1,285,831</b>						<b>\$ 533,611</b>	<b>\$ 1,819,442</b>	<b>\$ 1,651,634</b>	
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0	
Accounts Receivable - General										
Dishonored Checks										
Due From Employee	\$ (33)							\$ (33)	\$ 1,784	
Civil Jury Fees	\$ 0							\$ 0	\$ 0	
Trust										
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 160	
Due From Other Governments	\$ 1,216		\$ 124,092				\$ 0	\$ 125,308	\$ 211,396	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State	\$ 0		\$ 0					\$ 0	\$ 0	
Trust Due To/From							\$ 0	\$ 0		
Distribution Due To/From							\$ 0	\$ 0		
Civil Filing Fee Due To/From										
General Due To/From	\$ 459							\$ 459		
<b>Total Receivables</b>	<b>\$ 1,642</b>	<b>\$ 0</b>	<b>\$ 124,092</b>				<b>\$ 0</b>	<b>\$ 125,734</b>	<b>\$ 213,340</b>	
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0	
Salary and Travel Advances										
Counties										
<b>Total Prepaid Expenses</b>	<b>\$ 0</b>							<b>\$ 0</b>	<b>\$ 0</b>	
Other Assets										
<b>Total Other Assets</b>										
<b>Total Assets</b>	<b>\$ 1,445,324</b>	<b>\$ (755)</b>	<b>\$ (32,781)</b>				<b>\$ 2,167,842</b>	<b>\$ 3,579,630</b>	<b>\$ 3,125,528</b>	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0	
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 3,051	\$ 3,051	\$ 9,091	
Due to Other Funds	\$ 0	\$ 0	\$ 0				\$ 1,642	\$ 1,642	\$ 825	
Due to Other Courts										
Due to State										
TC145 Liability							\$ 198,501	\$ 198,501	\$ 137,262	
Due to Other Governments	\$ 0						\$ 0	\$ 0	\$ 66,545	
AB145 Due to Other Government Agency							\$ 107,670	\$ 107,670	\$ 44,705	
Due to Other Public Agencies	\$ 2,162							\$ 2,162	\$ 0	
Sales and Use Tax	\$ 29		\$ 0					\$ 29	\$ 29	
Interest							\$ 186	\$ 186	\$ 225	
Miscellaneous Accts. Pay. and Accrued Liab.										
<b>Total Accounts Payable and Accrued Liab.</b>	<b>\$ 2,191</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 311,050</b>	<b>\$ 313,241</b>	<b>\$ 258,882</b>	
Civil							\$ 1,401,809	\$ 1,401,809	\$ 1,271,376	
Criminal							\$ 411,510	\$ 411,510	\$ 749,516	
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0	
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 5,959	\$ 5,959	\$ 3,926	
Miscellaneous Trust										
<b>Total Trust Deposits</b>							<b>\$ 1,819,278</b>	<b>\$ 1,819,278</b>	<b>\$ 2,024,818</b>	
Accrued Payroll									\$ 0	
Benefits Payable	\$ 24,295							\$ 24,295	\$ 21,239	
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0	
Deductions Payable	\$ 1,215							\$ 1,215	\$ 0	
Payroll Clearing	\$ (5,972)							\$ (5,972)	\$ 0	
<b>Total Payroll Liabilities</b>	<b>\$ 19,538</b>							<b>\$ 19,538</b>	<b>\$ 21,239</b>	
Revenue Collected in Advance	\$ 0							\$ 0	\$ 4,718	
Liabilities For Deposits	\$ 23,496						\$ 4,824	\$ 28,320	\$ 28,535	
Jury Fees - Non-Interest							\$ 32,690	\$ 32,690	\$ 28,138	
Fees - Partial Payment & Overpayment										
Uncleared Collections							\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities										
<b>Total Other Liabilities</b>	<b>\$ 23,496</b>						<b>\$ 37,514</b>	<b>\$ 61,011</b>	<b>\$ 61,390</b>	
<b>Total Liabilities</b>	<b>\$ 45,225</b>	<b>\$ 0</b>	<b>\$ 0</b>				<b>\$ 2,167,842</b>	<b>\$ 2,213,067</b>	<b>\$ 2,366,129</b>	
<b>Total Fund Balance</b>	<b>\$ 1,400,098</b>	<b>\$ (755)</b>	<b>\$ (32,781)</b>				<b>\$ 0</b>	<b>\$ 1,366,563</b>	<b>\$ 759,397</b>	
<b>Total Liabilities and Fund Balance</b>	<b>\$ 1,445,324</b>	<b>\$ (755)</b>	<b>\$ (32,781)</b>				<b>\$ 2,167,842</b>	<b>\$ 3,579,630</b>	<b>\$ 3,125,528</b>	



Humboldt Superior Court  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

For the month ended Mar											
Fiscal Year 2011/12											
	Governmental Funds							Total Funds (Info. Purposes Only)	Current Budget (Annual)	2010/11	
	General	Special Revenue		Capital Projects	Debt Service	Proprietary Funds	Fiduciary Funds			Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 4,901,281						\$ 4,901,281	\$ 6,481,036	\$ 8,892,986	\$ 6,016,635	
Trial Court Improvement Fund	\$ 624						\$ 624	\$ 17,826	\$ 0	\$ 17,826	
Judicial Administration Efficiency & Mod Fund									\$ 2,549	\$ 5,088	
Judges' Compensation (45.25)	\$ 39,375						\$ 39,375	\$ 52,500	\$ 39,375	\$ 52,500	
Court Interpreter (45.45)	\$ 85,214						\$ 85,214	\$ 91,300	\$ 51,377	\$ 102,171	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 716,792						\$ 716,792	\$ 801,507	\$ 713,832	\$ 994,052	
Other Miscellaneous											
	\$ 5,743,288						\$ 5,743,288	\$ 7,444,171	\$ 8,689,901	\$ 9,190,282	
Grants											
AB 1058 Commissioner/Facilitator			\$ 124,072				\$ 124,072	\$ 254,379	\$ 188,772	\$ 275,200	
Other AOC Grants								\$ 17,120	\$ 1,620	\$ 17,120	
Non-AOC Grants											
			\$ 124,072				\$ 124,072	\$ 271,499	\$ 170,392	\$ 292,320	
Other Financing Sources											
Interest Income	\$ 3,841	\$ 2					\$ 3,842	\$ 10,000	\$ 4,102	\$ 10,000	
Investment Income											
Donations											
Local Fees	\$ 95,152						\$ 95,152	\$ 84,149	\$ 59,975	\$ 74,149	
Non-Fee Revenues	\$ 50,034						\$ 50,034	\$ 52,000	\$ 5,765	\$ 52,000	
Enhanced Collections		\$ 5,440					\$ 5,440				
Escheatment											
Prior Year Revenue	\$ 24,929		\$ 3,699				\$ 28,628		\$ 12,104		
County Program - Restricted		\$ 2,717					\$ 2,717	\$ 3,500	\$ 2,707	\$ 3,500	
Reimbursement Other	\$ 3,494						\$ 3,494	\$ 35,000	\$ 8,265		
Sale of Fixed Assets											
Other Miscellaneous	\$ 791						\$ 791		\$ 105		
	\$ 178,239	\$ 8,159	\$ 3,699				\$ 190,097	\$ 184,649	\$ 91,024	\$ 139,649	
<b>Total Revenues</b>	<b>\$ 5,921,525</b>	<b>\$ 8,159</b>	<b>\$ 127,771</b>				<b>\$ 6,057,455</b>	<b>\$ 7,900,319</b>	<b>\$ 8,961,318</b>	<b>\$ 9,622,251</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 2,481,237	\$ 4,536	\$ 83,246				\$ 2,569,016	\$ 3,830,398	\$ 2,874,003	\$ 3,706,433	
Temp Help									\$ 3,395		
Overtime	\$ 5,187		\$ 16				\$ 5,204	\$ 10,000	\$ 8,454	\$ 10,000	
Staff Benefits	\$ 1,192,525	\$ 1,171	\$ 32,189				\$ 1,225,885	\$ 2,035,914	\$ 1,246,130	\$ 1,961,794	
	\$ 3,678,950	\$ 5,706	\$ 115,451				\$ 3,800,107	\$ 5,876,312	\$ 3,931,982	\$ 5,678,227	
Operating Expenses and Equipment											
General Expense	\$ 162,133		\$ 2,994				\$ 165,127	\$ 281,997	\$ 190,848	\$ 264,997	
Printing	\$ 21,717		\$ 24				\$ 21,742	\$ 58,500	\$ 23,785	\$ 71,001	
Telecommunications	\$ 33,673		\$ 158				\$ 33,831	\$ 80,000	\$ 39,002	\$ 81,000	
Postage	\$ 48,401	\$ 1,780					\$ 50,182	\$ 86,500	\$ 80,202	\$ 115,500	
Insurance											
In-State Travel	\$ 9,947		\$ 3,049				\$ 12,996	\$ 19,354	\$ 9,498	\$ 18,352	
Out-of-State Travel									\$ (38)		
Training	\$ 25		\$ 475				\$ 500	\$ 1,000	\$ 4,815	\$ 8,998	
Security Services	\$ 76,362		\$ 4,989				\$ 81,351	\$ 167,701	\$ 718,917	\$ 1,208,208	
Facility Operations	\$ 32,335		\$ 1,865				\$ 34,200	\$ 38,000	\$ 30,191	\$ 51,520	
Utilities											
Contracted Services	\$ 958,030	\$ 1,440	\$ 9,713				\$ 969,182	\$ 1,403,287	\$ 888,912	\$ 1,552,381	
Consulting and Professional Services	\$ 644						\$ 644	\$ 21,320	\$ 7,225	\$ 25,800	
Information Technology	\$ 139,559						\$ 139,559	\$ 197,306	\$ 182,863	\$ 211,108	
Major Equipment								\$ 5,000	\$ 0	\$ 5,000	
Other Items of Expense	\$ 121						\$ 121		\$ (894)		
	\$ 1,482,947	\$ 3,220	\$ 23,267				\$ 1,509,434	\$ 2,315,965	\$ 2,253,904	\$ 3,588,873	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 64,957						\$ 64,957	\$ 132,000	\$ 76,885	\$ 132,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (18,135)		\$ 18,135				\$ 0	\$ 0	\$ 16,190	\$ 0	
Prior Year Expense Adjustment	\$ 12,600		\$ 3,699				\$ 16,299				
	\$ 58,422		\$ 21,834				\$ 81,256	\$ 132,000	\$ 92,675	\$ 132,000	
<b>Total Expenditures</b>	<b>\$ 5,221,319</b>	<b>\$ 8,926</b>	<b>\$ 180,552</b>				<b>\$ 5,390,797</b>	<b>\$ 6,124,277</b>	<b>\$ 6,278,762</b>	<b>\$ 9,399,900</b>	
Excess (Deficit) of Revenues Over Expenditures	\$ 700,206	\$ (768)	\$ (32,781)				\$ 666,658	\$ (223,958)	\$ 682,554	\$ 222,351	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 699,892	\$ 13	\$ 0				\$ 699,905	\$ 699,905	\$ 76,843	\$ 78,843	
Ending Balance (Deficit)	\$ 1,400,088	\$ (755)	\$ (32,781)				\$ 1,368,583	\$ 475,947	\$ 759,397	\$ 299,194	

Humboldt Superior Court  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended Mar										2010/11	
Fiscal Year 2011/12											
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
<b>PROGRAM EXPENDITURES:</b>											
Judges & Courtroom Support	\$ 1,511,523	\$ 250,394				\$ 366	\$ 1,762,282	\$ 2,875,532	\$ 1,778,839	\$ 2,480,188	
Traffic & Other Infractions	\$ 308,858	\$ 29,908				\$ 235	\$ 339,001	\$ 530,929	\$ 339,772	\$ 520,297	
Other Criminal Cases	\$ 295,884	\$ 21,988				\$ 411	\$ 318,283	\$ 450,981	\$ 300,229	\$ 521,186	
Civil	\$ 424,397	\$ 33,443				\$ (1)	\$ 457,838	\$ 430,854	\$ 421,643	\$ 435,699	
Family & Children Services	\$ 370,379	\$ 81,132				\$ (335)	\$ 451,177	\$ 503,432	\$ 583,574	\$ 860,064	
Probate, Guardianship & Mental Health Services	\$ 36,017	\$ 79,520				\$ 11,676	\$ 91,196	\$ 168,801	\$ 22,711	\$ 110,836	
Juvenile Dependency Services	\$ 36,015	\$ 441,458				\$ 0	\$ 477,475	\$ 703,699	\$ 530,942	\$ 841,451	
Juvenile Delinquency Services	\$ 5,400	\$ 1,787				\$ 0	\$ 37,803	\$ 65,456	\$ 39,252	\$ 113,896	
Other Court Operations		\$ 41,136				\$ 1,298	\$ 47,833	\$ 31,893	\$ 97,553	\$ 29,975	
Court Interpreters		\$ 86,747					\$ 86,747	\$ 91,300	\$ 67,143	\$ 103,449	
Jury Services	\$ 90,511	\$ 52,345	\$ 64,957			\$ 62	\$ 207,875	\$ 381,318	\$ 244,619	\$ 389,214	
Security		\$ 81,479				\$ 0	\$ 81,479	\$ 168,941	\$ 733,818	\$ 1,207,377	
	\$ 3,078,985	\$ 1,201,336	\$ 64,957			\$ 13,711	\$ 4,358,989	\$ 6,403,134	\$ 5,160,094	\$ 7,613,632	
Trial Court Operations Program											
Enhanced Collections											
Other Non-Court Operations											
Non-Court Operations Program											
Executive Office	\$ 119,167	\$ 8,636				\$ 0	\$ 127,802	\$ 172,307	\$ 127,606	\$ 173,848	
Fiscal Services	\$ 195,333	\$ 36,147				\$ 4,499	\$ 235,979	\$ 311,779	\$ 212,063	\$ 301,385	
Human Resources	\$ 70,400	\$ 35,370				\$ (250)	\$ 105,520	\$ 154,348	\$ 127,246	\$ 174,856	
Business & Facilities Services	\$ 103,933	\$ 208,051			\$ 0	\$ (1,660)	\$ 310,324	\$ 462,247	\$ 370,641	\$ 505,019	
Information Technology	\$ 232,289	\$ 19,893				\$ (1)	\$ 252,182	\$ 620,462	\$ 281,113	\$ 631,160	
Court Administration Program	\$ 721,122	\$ 308,098			\$ 0	\$ 2,588	\$ 1,031,808	\$ 1,721,143	\$ 1,118,668	\$ 1,786,268	
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0				\$ 0	\$ 0		\$ 0		
Prior Year Adjustments Not Posted to a Program											
<b>Total</b>	\$ 3,800,107	\$ 1,509,434	\$ 64,957		\$ 0	\$ 16,299	\$ 5,390,797	\$ 8,124,277	\$ 6,278,762	\$ 9,399,900	