


QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

2/21/12
Date

Humboldt

Court
FY2011-12 2nd Qtr.

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Humboldt

Court

FY2011-12 2nd Qtr.

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Humboldt

Court

FY2011-12 2nd Qtr.

Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	95.38	82.88	83.00		

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Humboldt Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

		For the month ended Dec						2010/11	
		Fiscal Year 2011/12							
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 32,298	\$ (2,119)	\$ (73,644)				\$ 2,526	\$ (40,938)	\$ (176,633)
Payroll									
Jury									
Revolving	\$ 10,000							\$ 10,000	\$ 10,000
Other									
Distribution	\$ 0						\$ 49,148	\$ 49,148	\$ 37,068
Civil Filing Fees							\$ 50,712	\$ 50,712	\$ 0
Trust	\$ 0						\$ 1,669,098	\$ 1,669,098	\$ 2,029,964
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 2,700
Cash with County									
Cash Outside of the AOC							\$ 9,048	\$ 9,048	\$ 76,129
Total Cash	\$ 45,298	\$ (2,119)	\$ (73,644)				\$ 1,780,533	\$ 1,750,069	\$ 1,979,229
Short Term Investment									
Investment in Financial Institution	\$ 1,205,564						\$ 412,580	\$ 1,618,144	\$ 1,604,702
Total Investments	\$ 1,205,564						\$ 412,580	\$ 1,618,144	\$ 1,604,702
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks								\$ 93	\$ 973
Due From Employee	\$ 93							\$ 93	\$ 973
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 228
Due From Other Governments	\$ 286		\$ 77,139				\$ 0	\$ 77,425	\$ 182,917
Due From Other Courts							\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 3	\$ 3	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From									
General Due To/From	\$ 303							\$ 303	
Total Receivables	\$ 682	\$ 0	\$ 77,139				\$ 3	\$ 77,824	\$ 184,117
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,251,544	\$ (2,119)	\$ 3,495				\$ 2,193,117	\$ 3,446,037	\$ 3,768,048
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0		\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 0		\$ 0				\$ 9,926	\$ 9,926	\$ 2,975
Due to Other Funds	\$ 3		\$ 0				\$ 1,051	\$ 1,054	\$ 2,336
Due to Other Courts									
Due to State									
TC145 Liability							\$ 155,348	\$ 155,348	\$ 141,816
Due to Other Governments	\$ 0						\$ 0	\$ 0	\$ 238,086
AB145 Due to Other Government Agency							\$ 98,646	\$ 98,646	\$ 24,076
Due to Other Public Agencies	\$ 2,339							\$ 2,339	\$ 2,428
Sales and Use Tax	\$ 29		\$ 0					\$ 29	\$ 29
Interest							\$ 192	\$ 192	\$ 313
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 2,372		\$ 0				\$ 265,162	\$ 287,534	\$ 412,059
Civil							\$ 1,477,821	\$ 1,477,821	\$ 1,810,252
Criminal							\$ 407,274	\$ 407,274	\$ 935,725
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 5,548	\$ 5,548	\$ 3,694
Miscellaneous Trust									
Total Trust Deposits							\$ 1,890,642	\$ 1,890,642	\$ 2,749,671
Accrued Payroll									\$ 0
Benefits Payable	\$ 21,540							\$ 21,540	\$ 17,735
Deferred Compensation Payable	\$ 1,300							\$ 1,300	\$ 3,455
Deductions Payable	\$ 40,885							\$ 40,885	\$ 36,986
Payroll Clearing	\$ 0							\$ 0	\$ 55
Total Payroll Liabilities	\$ 63,725							\$ 63,725	\$ 58,232
Revenue Collected in Advance	\$ 0							\$ 0	\$ 11,824
Liabilities For Deposits	\$ 23,523						\$ 4,824	\$ 28,347	\$ 8,714
Jury Fees - Non-Interest							\$ 32,488	\$ 32,488	\$ 26,913
Fees - Partial Payment & Overpayment									
Uncleared Collections							\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 23,523						\$ 37,312	\$ 60,835	\$ 47,451
Total Liabilities	\$ 89,620		\$ 0				\$ 2,193,117	\$ 2,282,737	\$ 3,267,413
Fund Balance - Nonspendable	\$ 0								
Fund Balance - Restricted	\$ 0	\$ 13						\$ 13	\$ 9
Fund Balance - Committed	\$ 663,265							\$ 663,265	
Fund Balance - Assigned	\$ 36,627	\$ 0						\$ 36,627	\$ 76,834
Fund Balance - Unassigned	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 0
Excess (Deficit) of Rev. Over Expenses/Op. Transfers	\$ 462,032	\$ (2,132)	\$ 3,495					\$ 463,395	\$ 423,792
Total Fund Balance	\$ 1,161,924	\$ (2,119)	\$ 3,495				\$ 0	\$ 1,163,300	\$ 500,635
Total Liabilities and Fund Balance	\$ 1,251,544	\$ (2,119)	\$ 3,495				\$ 2,193,117	\$ 3,446,037	\$ 3,768,048

Humboldt Superior Court
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

	For the month ended Dec										
	Fiscal Year 2011/12							2010/11			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
Non-Grant		Grant									
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 3,187,186						\$ 3,187,186	\$ 6,481,038	\$ 3,832,781	\$ 8,018,635	
Trial Court Improvement Fund	\$ 0						\$ 0	\$ 17,826	\$ 0	\$ 17,826	
Judicial Administration Efficiency & Mod Fund									\$ 2,549	\$ 5,098	
Judges' Compensation (45.25)	\$ 26,250						\$ 26,250	\$ 52,500	\$ 26,250	\$ 52,500	
Court Interpreter (45.45)	\$ 63,560						\$ 63,560	\$ 91,300	\$ 36,177	\$ 102,171	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 496,147						\$ 496,147	\$ 801,507	\$ 316,611	\$ 994,052	
Other Miscellaneous											
	\$ 3,773,143						\$ 3,773,143	\$ 7,444,171	\$ 4,214,367	\$ 9,190,282	
Grants											
AB 1058 Commissioner/Facilitator			\$ 73,420				\$ 73,420	\$ 254,378	\$ 98,538	\$ 275,200	
Other AOC Grants								\$ 17,120	\$ 1,620	\$ 17,120	
Non-AOC Grants											
			\$ 73,420				\$ 73,420	\$ 271,498	\$ 100,158	\$ 292,320	
Other Financing Sources											
Interest Income	\$ 2,047	\$ 0					\$ 2,047	\$ 10,000	\$ 2,474	\$ 10,000	
Investment Income											
Donations											
Local Fees	\$ 59,181						\$ 59,181	\$ 84,149	\$ 40,388	\$ 74,149	
Non-Fee Revenues	\$ 7,396						\$ 7,396	\$ 52,000	\$ 5,765	\$ 52,000	
Enhanced Collections											
Escheatment											
Prior Year Revenue	\$ 24,929		\$ 3,699				\$ 28,628		\$ 10,871		
County Program - Restricted		\$ 1,849					\$ 1,849	\$ 3,500	\$ 2,010	\$ 3,500	
Reimbursement Other	\$ 1,747						\$ 1,747	\$ 35,000	\$ 2,293		
Sale of Fixed Assets	\$ 107						\$ 107		\$ 30		
Other Miscellaneous											
	\$ 95,407	\$ 1,849	\$ 3,699				\$ 100,955	\$ 184,649	\$ 63,631	\$ 139,649	
Total Revenues	\$ 3,868,550	\$ 1,849	\$ 77,119				\$ 3,947,517	\$ 7,900,319	\$ 4,378,356	\$ 9,225,251	
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 1,702,946	\$ 1,334	\$ 34,704				\$ 1,738,984	\$ 3,630,398	\$ 1,814,326	\$ 3,706,433	
Temp Help	\$ 3,310		\$ 12				\$ 3,323	\$ 10,000	\$ 2,484	\$ 10,000	
Overtime	\$ 810,629	\$ 516	\$ 11,328				\$ 822,473	\$ 2,035,914	\$ 826,844	\$ 1,961,794	
Staff Benefits	\$ 2,516,885	\$ 1,849	\$ 46,045				\$ 2,564,779	\$ 5,678,312	\$ 2,649,667	\$ 5,678,227	
Operating Expenses and Equipment											
General Expense	\$ 91,555		\$ 1,912				\$ 93,467	\$ 281,997	\$ 113,415	\$ 264,997	
Printing	\$ 11,446		\$ 24				\$ 11,471	\$ 56,500	\$ 11,593	\$ 71,001	
Telecommunications	\$ 19,517		\$ 80				\$ 19,598	\$ 60,000	\$ 25,835	\$ 61,000	
Postage	\$ 29,100	\$ 1,193					\$ 30,293	\$ 86,500	\$ 45,097	\$ 115,500	
Insurance											
In-State Travel	\$ 6,914		\$ 3,049				\$ 9,964	\$ 19,354	\$ 5,765	\$ 18,352	
Out-of-State Travel									\$ 0		
Training	\$ 25		\$ 475				\$ 500	\$ 1,000	\$ 3,195	\$ 6,998	
Security Services	\$ 48,306		\$ 3,150				\$ 51,457	\$ 167,701	\$ 410,027	\$ 1,206,208	
Facility Operations	\$ 14,500		\$ 1,028				\$ 15,528	\$ 36,000	\$ 20,880	\$ 51,520	
Utilities											
Contracted Services	\$ 620,874	\$ 939	\$ 3,799				\$ 625,612	\$ 1,403,287	\$ 580,463	\$ 1,552,391	
Consulting and Professional Services	\$ (2,095)						\$ (2,095)	\$ 21,329	\$ 4,800	\$ 25,600	
Information Technology	\$ 4,683						\$ 4,683	\$ 197,306	\$ 22,867	\$ 211,106	
Major Equipment								\$ 5,000	\$ 0	\$ 5,000	
Other Items of Expense	\$ (393)						\$ (393)		\$ (474)		
	\$ 844,434	\$ 2,132	\$ 13,519				\$ 860,065	\$ 2,315,965	\$ 1,243,464	\$ 3,589,673	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 43,387						\$ 43,387	\$ 132,000	\$ 45,134	\$ 132,000	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (10,361)		\$ 10,361				\$ 0	\$ 0		\$ 0	
Prior Year Expense Adjustment	\$ 12,172		\$ 3,699				\$ 15,871		\$ 16,099		
	\$ 45,198		\$ 14,060				\$ 60,258	\$ 132,000	\$ 61,233	\$ 132,000	
Total Expenditures	\$ 3,406,518	\$ 3,981	\$ 73,623				\$ 3,484,122	\$ 4,124,277	\$ 3,954,564	\$ 9,389,900	
Excess (Deficit) of Revenues Over Expenditures	\$ 462,032	\$ (2,132)	\$ 3,496				\$ 463,395	\$ (223,958)	\$ 423,792	\$ 222,351	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 699,892	\$ 13	\$ 0				\$ 699,905	\$ 699,905	\$ 76,843	\$ 76,843	
Ending Balance (Deficit)	\$ 1,161,924	\$ (2,119)	\$ 3,495				\$ 1,163,300	\$ 475,947	\$ 500,635	\$ 299,194	

**Humboldt Superior Court
Trial Court Operations Fund
Statement of Program Expenditures
(Unaudited)**

For the month ended Dec										
Fiscal Year 2011/12										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 983,224	\$ 122,138				\$ 313	\$ 1,115,675	\$ 2,875,532	\$ 1,164,830	\$ 2,480,188
Traffic & Other Infractions	\$ 205,828	\$ 13,329				\$ 225	\$ 219,382	\$ 530,929	\$ 231,424	\$ 520,297
Other Criminal Cases	\$ 192,484	\$ 6,529				\$ 401	\$ 199,414	\$ 450,981	\$ 195,254	\$ 521,186
Civil	\$ 272,796	\$ 9,714				\$ (15)	\$ 282,495	\$ 430,854	\$ 270,528	\$ 435,699
Family & Children Services	\$ 251,485	\$ 39,504				\$ (346)	\$ 290,623	\$ 503,432	\$ 366,831	\$ 860,064
Probate, Guardianship & Mental Health Services		\$ 48,879				\$ 11,376	\$ 60,255	\$ 168,801	\$ 14,345	\$ 110,836
Juvenile Dependency Services	\$ 23,455	\$ 284,485				\$ (1)	\$ 307,939	\$ 703,699	\$ 359,568	\$ 841,451
Juvenile Delinquency Services	\$ 23,455	\$ 318				\$ (1)	\$ 23,771	\$ 65,456	\$ 25,623	\$ 113,896
Other Court Operations	\$ 3,600	\$ 21,748				\$ 1,298	\$ 26,645	\$ 31,893	\$ 50,621	\$ 29,975
Court Interpreters		\$ 64,135					\$ 64,135	\$ 91,300	\$ 33,588	\$ 103,449
Jury Services	\$ 59,069	\$ 27,298	\$ 43,387			\$ 59	\$ 129,813	\$ 381,318	\$ 148,676	\$ 389,214
Security		\$ 51,585				\$ 0	\$ 51,585	\$ 168,941	\$ 423,299	\$ 1,207,377
Trial Court Operations Program	\$ 2,025,376	\$ 689,662	\$ 43,387			\$ 13,308	\$ 2,771,734	\$ 5,403,134	\$ 3,284,586	\$ 7,613,632
Enhanced Collections										
Other Non-Court Operations										
Non-Court Operations Program										
Executive Office	\$ 79,063	\$ 2,249				\$ (5)	\$ 81,307	\$ 172,307	\$ 81,839	\$ 173,848
Fiscal Services	\$ 129,197	\$ 18,672				\$ 4,493	\$ 152,362	\$ 311,779	\$ 131,237	\$ 301,385
Human Resources	\$ 56,867	\$ 21,779				\$ (253)	\$ 78,393	\$ 154,348	\$ 80,678	\$ 174,858
Business & Facilities Services	\$ 119,525	\$ 130,128			\$ 0	\$ (1,664)	\$ 247,989	\$ 462,247	\$ 190,790	\$ 505,019
Information Technology	\$ 154,752	\$ (2,405)				\$ (9)	\$ 152,338	\$ 620,462	\$ 185,434	\$ 631,160
Court Administration Program	\$ 539,403	\$ 170,423			\$ 0	\$ 2,563	\$ 712,389	\$ 1,721,143	\$ 669,978	\$ 1,786,268
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0				\$ 0	\$ 0		\$ 0	
Prior Year Adjustments Not Posted to a Program										
Total	\$ 2,564,779	\$ 860,085	\$ 43,387		\$ 0	\$ 15,871	\$ 3,484,122	\$ 6,124,277	\$ 3,954,564	\$ 9,399,900