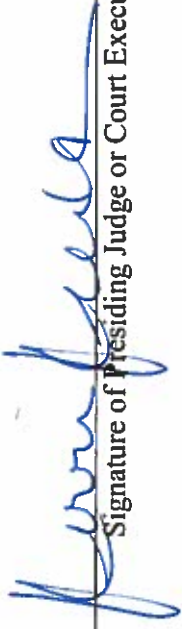


**QUARTERLY FINANCIAL STATEMENT CERTIFICATION**

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



\_\_\_\_\_  
Signature of Presiding Judge or Court Executive

02/03/2013

\_\_\_\_\_  
Date

Humboldt

\_\_\_\_\_  
Court

FY13-14 2nd Qtr.

\_\_\_\_\_  
Fiscal Year and Ending Quarter

**QUARTERLY FINANCIAL STATEMENT FOOTNOTES**

Humboldt

Court

FY13-14 2nd Qtr.

Fiscal Year and Ending Quarter

**FOOTNOTES**

1	
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**QUARTERLY FINANCIAL STATEMENT  
Filled Court Employee Positions (FTEs)**

Humboldt  
 \_\_\_\_\_  
 Court  
 FY13-14 2nd Qtr.  
 \_\_\_\_\_  
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) <sup>1</sup> (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	94.25	86.25	86.25		

<sup>1</sup> The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Balance Sheet  
 (Unaudited)

		For the month ended December								
		Fiscal Year 2013/14							2012/13	
		Governmental Funds							Total Funds	Total Funds
		Special Revenue			Capital Project	Debt Service	Proprietary Funds	Fiduciary Funds	(Info. Purposes Only)	(Info. Purposes Only)
		General	Non-Grant	Grant						
<b>ASSETS</b>										
Operations		\$ 36,091	\$ 56,778	\$ (49,997)			\$ 994,112	\$ 1,038,984	\$ 1,328,745	
Payroll										
Jury										
Revolving		\$ 9,988						\$ 9,988	\$ 9,955	
Other										
Distribution		\$ 0					\$ 18,637	\$ 18,637	\$ 16,555	
Civil Filing Fees							\$ 0	\$ 0	\$ 0	
Trust		\$ 0					\$ (6,417)	\$ (6,417)	\$ (2,382)	
Credit Card										
Cash on Hand		\$ 3,000						\$ 3,000	\$ 3,000	
Cash with County										
Cash Outside of the AOC										
	Total Cash	\$ 49,079	\$ 56,778	\$ (49,997)			\$ 1,009,588	\$ 1,065,447	\$ 1,355,892	
Short Term Investment		\$ 2,141,193					\$ 442,655	\$ 2,583,848	\$ 1,962,762	
Investment in Financial Institution										
	Total Investments	\$ 2,141,193					\$ 442,655	\$ 2,583,848	\$ 1,962,762	
Accrued Revenue		\$ 0	\$ 0				\$ 0	\$ 0	\$ 0	
Accounts Receivable - General										
Dishonored Checks										
Due From Employees		\$ 543						\$ 543	\$ 95	
Civil Jury Fees		\$ 0						\$ 0	\$ 0	
Trust										
Due From Other Funds		\$ 0		\$ 0			\$ 0	\$ 0	\$ 0	
Due From Other Governments		\$ 1,472	\$ 441	\$ 50,685			\$ 119	\$ 52,697	\$ 80,778	
Due From Other Courts							\$ 0	\$ 0	\$ 0	
Due From State		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Trust Due To/From							\$ 3,173	\$ 3,173	\$ 0	
Distribution Due To/From							\$ 0	\$ 0	\$ 0	
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0	
General Due To/From		\$ 25						\$ 25	\$ 141	
	Total Receivables	\$ 2,040	\$ 441	\$ 50,685			\$ 3,292	\$ 35,437	\$ 81,012	
Prepaid Expenses - General		\$ 0						\$ 0	\$ 0	
Salary and Travel Advances										
Counties										
	Total Prepaid Expenses	\$ 0						\$ 0	\$ 0	
Other Assets										
	Total Other Assets									
	Total Assets	\$ 2,192,311	\$ 57,219	\$ 688			\$ 1,455,535	\$ 3,705,733	\$ 3,399,668	
<b>LIABILITIES AND FUND BALANCES</b>										
Accrued Liabilities		\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	
Accounts Payable - General		\$ 48,485	\$ 0	\$ 87			\$ 0	\$ 48,572	\$ 0	
Due to Other Funds		\$ 0	\$ 0	\$ 0			\$ 3,198	\$ 3,198	\$ 3,531	
Due to Other Courts										
Due to State										
TC145 Liability							\$ 182,968	\$ 182,968	\$ 208,308	
Due to Other Governments							\$ 0	\$ 0	\$ 0	
AB145 Due to Other Government Agency							\$ 81,307	\$ 81,307	\$ 92,368	
Due to Other Public Agencies		\$ 32,421		\$ 0			\$ 1,595	\$ 34,016	\$ 150,417	
Sales and Use Tax		\$ 0		\$ 0				\$ 0	\$ 0	
Interest							\$ 109	\$ 109	\$ 173	
Miscellaneous Accts. Pay. and Accrued Liab.										
	Total Accounts Payable and Accrued Liab.	\$ 80,906	\$ 0	\$ 87			\$ 269,175	\$ 350,189	\$ 454,797	
Civil							\$ 895,964	\$ 895,964	\$ 1,142,467	
Criminal		\$ 0					\$ 269,520	\$ 269,520	\$ 328,832	
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0	
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0	
Trust Interest Payable							\$ 7,288	\$ 7,288	\$ 7,033	
Miscellaneous Trust										
	Total Trust Deposits	\$ 0					\$ 1,172,772	\$ 1,172,772	\$ 1,478,133	
Accrued Payroll										
Benefits Payable		\$ 20,946						\$ 20,946	\$ 28,769	
Deferred Compensation Payable		\$ 0						\$ 0	\$ 1,375	
Deductions Payable		\$ 1,181						\$ 1,181	\$ 47,483	
Payroll Clearing		\$ 0						\$ 0	\$ 0	
	Total Payroll Liabilities	\$ 22,127						\$ 22,127	\$ 77,627	
Revenue Collected in Advance		\$ 820,030						\$ 820,030	\$ 0	
Liabilities For Deposits		\$ 25,485					\$ 10,002	\$ 36,487	\$ 30,848	
Jury Fees - Non-Interest							\$ 2,100	\$ 2,100	\$ 32,390	
Fees - Partial Payment & Overpayment							\$ 1,486	\$ 1,486	\$ 0	
Uncleared Collections							\$ 0	\$ 0	\$ 0	
Other Miscellaneous Liabilities									\$ 108,172	
	Total Other Liabilities	\$ 845,515					\$ 13,588	\$ 839,103	\$ 169,409	
	Total Liabilities	\$ 948,547	\$ 0	\$ 87			\$ 1,455,535	\$ 2,404,169	\$ 2,177,968	
	Total Fund Balance	\$ 1,243,764	\$ 57,219	\$ 681			\$ 0	\$ 1,301,564	\$ 1,221,699	
	Total Liabilities and Fund Balance	\$ 2,192,311	\$ 57,219	\$ 688			\$ 1,455,535	\$ 3,705,733	\$ 3,399,668	

Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Statement of Revenues, Expenditures and Changes in Fund Balances  
 (Unaudited)

	For the month ended December										
	Fiscal Year 2013/14							2012/13			
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
General	Special Revenue		Capital Projects	Debt Service							
		Non-Grant	Grant								
<b>REVENUES</b>											
State Financing Sources											
Trial Court Trust Fund	\$ 2,875,545	\$ 18,052					\$ 2,893,597	\$ 5,886,040	\$ 2,845,514	\$ 5,285,788	
Improvement and Modernization Fund								\$ 17,828		\$ 17,828	
Judges' Compensation (45.25)	\$ 26,250						\$ 26,250	\$ 52,500	\$ 26,250	\$ 52,500	
Court Interpreter (45.45)	\$ 29,304						\$ 29,304	\$ 67,243	\$ 23,091	\$ 92,272	
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 371,899						\$ 371,899	\$ 738,387	\$ 403,123	\$ 847,144	
Other Miscellaneous	\$ 73,084						\$ 73,084	\$ 73,084	\$ 34,633	\$ 34,633	
	\$ 3,378,081	\$ 18,052					\$ 3,822,133	\$ 6,815,980	\$ 3,132,911	\$ 6,310,143	
Grants											
AB 1058 Commissioner/Facilitator			\$ 88,230				\$ 88,230	\$ 213,507	\$ 80,778	\$ 182,982	
Other AOC Grants	\$ 2,459						\$ 2,459	\$ 16,651		\$ 15,478	
Non-AOC Grants											
	\$ 2,459		\$ 88,230				\$ 90,689	\$ 230,158	\$ 80,778	\$ 198,460	
Other Financing Sources											
Interest Income	\$ 1,891	\$ 30					\$ 1,921	\$ 6,558	\$ 2,379	\$ 7,000	
Investment Income								\$ 50			
Donations											
Local Fees	\$ 45,372						\$ 45,372	\$ 134,371	\$ 56,083	\$ 142,800	
Non-Fee Revenues	\$ 542						\$ 542	\$ 53,238	\$ 1,034	\$ 47,789	
Enhanced Collections		\$ 2,638					\$ 2,638	\$ 11,982	\$ 5,615	\$ 20,000	
Escheatment											
Prior Year Revenue	\$ 178	\$ (4)	\$ (8,374)				\$ (8,200)		\$ 2,508		
County Program - Restricted		\$ 1,498					\$ 1,498	\$ 3,412	\$ 1,680	\$ 4,000	
Reimbursement Other	\$ (150)						\$ (150)	\$ 10,606	\$ 2,276	\$ 9,000	
Sale of Fixed Assets											
Other Miscellaneous	\$ 128						\$ 128	\$ 4,390	\$ 1,125		
	\$ 47,960	\$ 4,182	\$ (8,374)				\$ 43,768	\$ 224,669	\$ 73,700	\$ 230,589	
<b>Total Revenues</b>	<b>\$ 3,428,501</b>	<b>\$ 20,214</b>	<b>\$ 81,856</b>				<b>\$ 3,522,443</b>	<b>\$ 7,259,643</b>	<b>\$ 3,285,387</b>	<b>\$ 6,739,170</b>	
<b>EXPENDITURES</b>											
Personal Services											
Salaries - Permanent	\$ 1,738,457	\$ 8,823	\$ 44,724				\$ 1,791,004	\$ 4,013,549	\$ 1,750,442	\$ 3,758,272	
Temp Help											
Overtime	\$ 2,791		\$ 32				\$ 2,823	\$ 10,000	\$ 2,882	\$ 10,000	
Staff Benefits	\$ 876,226	\$ 3,843	\$ 18,417				\$ 898,486	\$ 2,224,777	\$ 833,184	\$ 2,118,993	
	\$ 2,615,474	\$ 12,665	\$ 64,172				\$ 2,692,313	\$ 6,248,326	\$ 2,586,488	\$ 5,883,265	
Operating Expenses and Equipment											
General Expense	\$ 74,913		\$ 549				\$ 75,462	\$ 281,623	\$ 90,431	\$ 276,772	
Printing	\$ 18,842						\$ 18,842	\$ 37,254	\$ 18,474	\$ 47,498	
Telecommunications	\$ 16,678		\$ 55				\$ 16,733	\$ 24,332	\$ 18,119	\$ 47,200	
Postage	\$ 39,520	\$ 1,093					\$ 40,613	\$ 65,212	\$ 52,518	\$ 80,500	
Insurance											
In-State Travel	\$ 3,148		\$ 2,325				\$ 5,473	\$ 22,201	\$ 10,819	\$ 21,249	
Out-of-State Travel											
Training	\$ 180		\$ 760				\$ 940	\$ 2,287	\$ 1,842	\$ 950	
Security Services	\$ 40,582		\$ 2,843				\$ 43,204	\$ 123,999	\$ 52,119	\$ 125,250	
Facility Operations	\$ 18,519		\$ 1,520				\$ 20,039	\$ 38,554	\$ 17,571	\$ 46,650	
Utilities											
Contracted Services	\$ 503,189	\$ 879	\$ 3,231				\$ 507,299	\$ 1,297,348	\$ 604,813	\$ 1,377,517	
Consulting and Professional Services	\$ 6,126						\$ 6,126	\$ 19,085	\$ 8,415	\$ 22,879	
Information Technology	\$ 42,401	\$ (128)					\$ 42,273	\$ 232,922	\$ 75,040	\$ 183,833	
Major Equipment								\$ 19,106		\$ 9,000	
Other Items of Expense	\$ 66						\$ 66		\$ 48		
	\$ 764,143	\$ 1,847	\$ 11,082				\$ 777,072	\$ 2,103,943	\$ 949,407	\$ 2,214,298	
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 55,777						\$ 55,777	\$ 80,227	\$ 36,588	\$ 97,600	
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (12,932)	\$ 97	\$ 12,834				\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ 4,095		\$ (8,814)				\$ (2,719)		\$ 9,962		
	\$ 46,938	\$ 97	\$ 8,021				\$ 53,056	\$ 80,227	\$ 46,591	\$ 97,600	
<b>Total Expenditures</b>	<b>\$ 3,428,598</b>	<b>\$ 14,809</b>	<b>\$ 81,275</b>				<b>\$ 3,522,443</b>	<b>\$ 6,492,496</b>	<b>\$ 3,582,445</b>	<b>\$ 6,195,163</b>	
<b>Excess (Deficit) of Revenues Over Expenditures</b>	<b>\$ (58)</b>	<b>\$ 5,604</b>	<b>\$ 581</b>				<b>\$ 6,127</b>	<b>\$ (1,222,653)</b>	<b>\$ (287,058)</b>	<b>\$ (1,455,993)</b>	
Operating Transfers In (Out)								\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,243,822	\$ 51,814	\$ 0				\$ 0	\$ 1,295,437	\$ 1,518,758	\$ 1,518,758	
Ending Balance (Deficit)	\$ 1,243,764	\$ 57,218	\$ 581				\$ 0	\$ 77,784	\$ 1,221,699	\$ 62,765	



Superior Court of California, County of Humboldt  
 Trial Court Operations Fund  
 Statement of Program Expenditures  
 (Unaudited)

For the month ended December										
Fiscal Year 2013/14										
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
<b>PROGRAM EXPENDITURES:</b>										
Judges & Courtroom Support	\$ 1,060,059	\$ 121,214			\$ 0	\$ (729)	\$ 1,180,545	\$ 3,001,830	\$ 1,208,314	\$ 2,765,342
Traffic & Other Infractions	\$ 229,077	\$ 21,476			\$ 0		\$ 250,553	\$ 522,038	\$ 235,721	\$ 562,619
Other Criminal Cases	\$ 222,868	\$ 8,905			\$ 0		\$ 231,774	\$ 524,286	\$ 220,505	\$ 419,432
Civil	\$ 247,053	\$ 9,886			\$ 0	\$ 996	\$ 256,939	\$ 450,680	\$ 265,023	\$ 458,008
Family & Children Services	\$ 276,672	\$ 36,757			\$ 0		\$ 314,424	\$ 442,801	\$ 276,634	\$ 473,468
Probate, Guardianship & Mental Health Services	\$ 46,260	\$ 46,260			\$ 0		\$ 46,260	\$ 248,358	\$ 50,972	\$ 252,106
Juvenile Dependency Services	\$ 24,546	\$ 310,534			\$ 0		\$ 335,080	\$ 683,698	\$ 328,584	\$ 657,153
Juvenile Delinquency Services	\$ 24,545	\$ 438			\$ 0		\$ 24,983	\$ 60,477	\$ 24,922	\$ 55,566
Other Court Operations		\$ 66			\$ 0		\$ 66	\$ 26,125	\$ 928	\$ 27,665
Court Interpreters		\$ 48,890					\$ 48,890	\$ 83,131	\$ 29,331	\$ 115,600
Jury Services	\$ 47,714	\$ 55,020	\$ 55,777		\$ 0	\$ (794)	\$ 157,716	\$ 325,213	\$ 143,335	\$ 342,009
Security		\$ 43,619					\$ 43,619	\$ 130,714	\$ 53,908	\$ 140,046
	\$ 2,132,533	\$ 703,066	\$ 55,777		\$ 0	\$ (528)	\$ 2,890,847	\$ 6,499,150	\$ 2,839,186	\$ 6,289,015
Trial Court Operations Program										
Enhanced Collections										
Other Non-Court Operations										
Non-Court Operations Program										
Executive Office	\$ 114,631	\$ 3,048			\$ 0		\$ 117,679	\$ 371,754	\$ 116,097	\$ 364,175
Fiscal Services	\$ 132,792	\$ 32,488			\$ 0		\$ 165,280	\$ 332,195	\$ 155,318	\$ 323,838
Human Resources	\$ 74,350	\$ 11,109			\$ 0		\$ 85,459	\$ 181,302	\$ 82,445	\$ 165,793
Business & Facilities Services	\$ 74,860	\$ 13,645			\$ 0	\$ (2,191)	\$ 86,315	\$ 409,623	\$ 208,790	\$ 463,736
Information Technology	\$ 163,146	\$ 13,716			\$ 0		\$ 176,862	\$ 698,472	\$ 180,610	\$ 608,608
Court Administration Program	\$ 559,779	\$ 74,007			\$ 0	\$ (2,191)	\$ 631,595	\$ 1,993,346	\$ 743,259	\$ 1,826,148
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0		\$ 0	\$ 0	\$ 0	\$ 0
Prior Year Adjustments Not Posted to a Program										
<b>Total</b>	\$ 2,682,313	\$ 777,073	\$ 55,777		\$ 0	\$ (2,719)	\$ 3,522,443	\$ 8,492,496	\$ 3,582,445	\$ 8,195,163