

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

11/09/2012

Date

Humboldt

Court

FY12-13 1st Qtr

Fiscal Year and Ending Quarter

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Humboldt

Court

FY12-13 1st Qtr

Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Humboldt

 Court

 FY12-13 1st Qtr

 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	96.75	84.25			

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Humboldt Superior Court
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Sep									
Fiscal Year 2012/13								2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ 92,911	\$ 205	\$ (62,683)				\$ 1,344,941	\$ 1,375,374	\$ (66,675)
Payroll									
Jury									
Revolving	\$ 10,139							\$ 10,139	\$ 10,895
Other									
Distribution	\$ 0						\$ 33,905	\$ 33,905	\$ 61,283
Civil Filing Fees							\$ 71,037	\$ 71,037	\$ 0
Trust	\$ 0						\$ 2,528	\$ 2,528	\$ 1,691,079
Credit Card									
Cash on Hand	\$ 3,000							\$ 3,000	\$ 2,850
Cash with County									
Cash Outside of the AOC							\$ 1,788	\$ 1,788	\$ 7,854
Total Cash	\$ 106,050	\$ 205	\$ (62,683)				\$ 1,454,199	\$ 1,497,771	\$ 1,707,287
Short Term Investment	\$ 1,544,357						\$ 421,910	\$ 1,966,267	\$ 1,646,685
Investment in Financial Institution									
Total Investments	\$ 1,544,357						\$ 421,910	\$ 1,966,267	\$ 1,646,685
Accrued Revenue	\$ 0	\$ 0					\$ 0	\$ 0	\$ 0
Accounts Receivable - General									
Dishonored Checks									
Due From Employee	\$ (108)							\$ (108)	\$ (174)
Civil Jury Fees	\$ 0							\$ 0	\$ 0
Trust									
Due From Other Funds	\$ 0		\$ 0				\$ 0	\$ 0	\$ 0
Due From Other Governments	\$ 934	\$ 0	\$ 55,439					\$ 56,373	\$ 36,485
Due From Other Courts	\$ 0						\$ 0	\$ 0	\$ 0
Due From State	\$ 0		\$ 0					\$ 0	\$ 0
Trust Due To/From							\$ 0	\$ 0	\$ 0
Distribution Due To/From							\$ 0	\$ 0	\$ 0
Civil Filing Fee Due To/From							\$ 0	\$ 0	\$ 0
General Due To/From	\$ 443							\$ 443	\$ 640
Total Receivables	\$ 1,269	\$ 0	\$ 55,439				\$ 0	\$ 56,708	\$ 36,951
Prepaid Expenses - General	\$ 0							\$ 0	\$ 0
Salary and Travel Advances									
Counties									
Total Prepaid Expenses	\$ 0							\$ 0	\$ 0
Other Assets									
Total Other Assets									
Total Assets	\$ 1,651,675	\$ 205	\$ (7,244)				\$ 1,876,109	\$ 3,520,746	\$ 3,390,923
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 0	\$ 0	\$ 0					\$ 0	\$ 0
Accounts Payable - General	\$ 0	\$ 0	\$ 0				\$ 0	\$ 0	\$ 309
Due to Other Funds	\$ 71,042	\$ 0	\$ 0				\$ 6,265	\$ 77,307	\$ 3,977
Due to Other Courts									
Due to State									
TC145 Liability							\$ 185,243	\$ 185,243	\$ 162,371
Due to Other Governments							\$ 0	\$ 0	\$ 0
AB145 Due to Other Government Agency							\$ 107,579	\$ 107,579	\$ 109,838
Due to Other Public Agencies	\$ 22,741		\$ 7,738				\$ 3,330	\$ 33,809	\$ 2,289
Sales and Use Tax	\$ 0		\$ 0					\$ 0	\$ 29
Interest							\$ 159	\$ 159	\$ 207
Miscellaneous Accts. Pay. and Accrued Liab.									
Total Accounts Payable and Accrued Liab.	\$ 93,783	\$ 0	\$ 7,738				\$ 302,576	\$ 404,097	\$ 279,019
Civil							\$ 1,171,973	\$ 1,171,973	\$ 1,425,747
Criminal							\$ 355,102	\$ 355,102	\$ 538,828
Unreconciled - Civil and Criminal							\$ 0	\$ 0	\$ 0
Trust Held Outside of the AOC							\$ 0	\$ 0	\$ 0
Trust Interest Payable							\$ 6,730	\$ 6,730	\$ 4,991
Miscellaneous Trust									
Total Trust Deposits							\$ 1,533,805	\$ 1,533,805	\$ 1,969,566
Accrued Payroll									
Benefits Payable	\$ 32,182							\$ 32,182	\$ 17,531
Deferred Compensation Payable	\$ 0							\$ 0	\$ 0
Deductions Payable	\$ 2,287							\$ 2,287	\$ 39,598
Payroll Clearing	\$ (5,255)							\$ (5,255)	\$ 895
Total Payroll Liabilities	\$ 29,214							\$ 29,214	\$ 58,024
Revenue Collected in Advance	\$ 0							\$ 0	\$ 0
Liabilities For Deposits	\$ 24,258						\$ 6,588	\$ 30,846	\$ 28,322
Jury Fees - Non-Interest							\$ 33,140	\$ 33,140	\$ 31,738
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ 0						\$ 0	\$ 0	\$ 0
Other Miscellaneous Liabilities									
Total Other Liabilities	\$ 24,258						\$ 39,729	\$ 63,987	\$ 60,060
Total Liabilities	\$ 147,255	\$ 0	\$ 7,738				\$ 1,876,109	\$ 2,031,102	\$ 2,366,669
Total Fund Balance	\$ 1,504,420	\$ 205	\$ (14,982)				\$ 0	\$ 1,489,644	\$ 1,024,254
Total Liabilities and Fund Balance	\$ 1,651,675	\$ 205	\$ (7,244)				\$ 1,876,109	\$ 3,520,746	\$ 3,390,923

Humboldt Superior Court
 Trial Court Operations Fund
 Statement of Revenues, Expenditures and Changes in Fund Balances
 (Unaudited)

For the month ended Sep											
Fiscal Year 2012/13										2011/12	
	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info, Purposes Only)	Current Budget (Annual)	Total Funds (Info, Purposes Only)	Final Budget (Annual)
	General	Special Revenue		Capital Projects	Debt Service						
		Non-Grant	Grant								
REVENUES											
State Financing Sources											
Trial Court Trust Fund	\$ 1,224,428						\$ 1,224,428			\$ 1,467,302	\$ 6,481,036
Trial Court Improvement Fund										\$ 0	\$ 17,826
Judicial Administration Efficiency & Mod Fund											
Judges' Compensation (45.25)	\$ 13,125						\$ 13,125			\$ 13,125	\$ 52,500
Court Interpreter (45.45)	\$ (8,494)						\$ (8,494)			\$ (89)	\$ 91,300
Civil Coordination Reimbursement (45.55)											
MOU Reimbursements (45.10 and General)	\$ 185,019						\$ 185,019			\$ 287,379	\$ 801,507
Other Miscellaneous											
	\$ 1,414,078						\$ 1,414,078			\$ 1,767,717	\$ 7,444,171
Grants											
AB 1058 Commissioner/Facilitator			\$ 22,086				\$ 22,086	\$ 182,962		\$ (20)	\$ 254,379
Other AOC Grants								\$ 15,476			\$ 17,120
Non-AOC Grants											
			\$ 22,086				\$ 22,086	\$ 198,438		\$ (20)	\$ 271,499
Other Financing Sources											
Interest Income	\$ 541	\$ 0					\$ 541			\$ 682	\$ 10,000
Investment Income											
Donations											
Local Fees	\$ 22,815						\$ 22,815			\$ 20,586	\$ 84,149
Non-Fee Revenues	\$ 934						\$ 934			\$ 3,790	\$ 52,000
Enhanced Collections	\$ 474	\$ 1,394					\$ 1,869				
Escheatment											
Prior Year Revenue	\$ 2,479						\$ 2,479			\$ 24,929	
County Program - Restricted		\$ 1,006					\$ 1,006			\$ 928	\$ 3,500
Reimbursement Other										\$ 300	\$ 35,000
Sale of Fixed Assets											
Other Miscellaneous	\$ 271						\$ 271			\$ 25	
	\$ 27,514	\$ 2,400					\$ 29,914			\$ 51,240	\$ 184,649
Total Revenues	\$ 1,441,592	\$ 2,400	\$ 22,086				\$ 1,466,070	\$ 198,438		\$ 1,818,937	\$ 7,900,319
EXPENDITURES											
Personal Services											
Salaries - Permanent	\$ 733,223	\$ 575	\$ 19,074				\$ 752,872	\$ 91,065		\$ 734,513	\$ 3,630,398
Temp Help											
Overtime	\$ 1,049		\$ 5				\$ 1,053			\$ 1,546	\$ 10,000
Staff Benefits	\$ 374,241	\$ 326	\$ 8,528				\$ 383,095	\$ 37,885		\$ 374,413	\$ 2,035,914
	\$ 1,108,513	\$ 901	\$ 27,607				\$ 1,137,020	\$ 128,950		\$ 1,110,472	\$ 5,676,312
Operating Expenses and Equipment											
General Expense	\$ 42,928		\$ 707				\$ 43,635	\$ 19,426		\$ 26,550	\$ 261,997
Printing	\$ 6,051						\$ 6,051			\$ 7,667	\$ 66,500
Telecommunications	\$ 6,681		\$ 15				\$ 6,696			\$ 9,147	\$ 60,000
Postage	\$ 37,076	\$ 442					\$ 37,518			\$ 15,258	\$ 86,500
Insurance											
In-State Travel	\$ 2,269		\$ 2,360				\$ 4,629	\$ 2,575		\$ 3,284	\$ 19,354
Out-of-State Travel											
Training	\$ 992		\$ 850				\$ 1,842	\$ 950		\$ 475	\$ 1,000
Security Services	\$ 20,834		\$ 1,350				\$ 22,184	\$ 9,000		\$ 21,714	\$ 167,701
Facility Operations	\$ 3,886		\$ 414				\$ 4,300	\$ 4,000		\$ 4,704	\$ 36,000
Utilities											
Contracted Services	\$ 232,024	\$ 676	\$ 702				\$ 233,402	\$ 15,000		\$ 269,533	\$ 1,403,287
Consulting and Professional Services	\$ 1,960						\$ 1,960			\$ (6,045)	\$ 21,320
Information Technology	\$ (33,034)						\$ (33,034)			\$ 1,243	\$ 197,306
Major Equipment											\$ 5,000
Other Items of Expense	\$ (600)						\$ (600)			\$ (273)	
	\$ 321,066	\$ 1,118	\$ 6,399				\$ 328,583	\$ 50,951		\$ 353,258	\$ 2,315,965
Special Items of Expense											
Grand Jury											
Jury Costs	\$ 20,615						\$ 20,615			\$ 24,078	\$ 132,000
Judgements, Settlements and Claims											
Debt Service											
Other											
Capital Costs											
Internal Cost Recovery	\$ (3,188)	\$ 125	\$ 3,062				\$ 0	\$ 22,062		\$ 6,780	\$ 0
Prior Year Expense Adjustment	\$ 8,924	\$ 51					\$ 8,975				
	\$ 26,351	\$ 177	\$ 3,062				\$ 29,590	\$ 22,062		\$ 30,858	\$ 132,000
Total Expenditures	\$ 1,455,930	\$ 2,195	\$ 37,068				\$ 1,495,193	\$ 201,963		\$ 1,494,588	\$ 8,124,277
Excess (Deficit) of Revenues Over Expenditures	\$ (14,338)	\$ 205	\$ (14,982)				\$ (29,115)	\$ (3,525)		\$ 324,349	\$ (223,958)
Operating Transfers In (Out)	\$ 0	\$ 0	\$ 0				\$ 0	\$ 3,526			\$ 0
Fund Balance (Deficit)											
Beginning Balance (Deficit)	\$ 1,518,758	\$ 0	\$ 0				\$ 0	\$ 1,518,758		\$ 699,905	\$ 699,906
Ending Balance (Deficit)	\$ 1,504,420	\$ 205	\$ (14,982)				\$ 0	\$ 1,518,759		\$ 1,024,254	\$ 475,947

Humboldt Superior Court
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

Fiscal Year 2012/13										2011/12	
For the month ended Sep											
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)	
PROGRAM EXPENDITURES:											
Judges & Courtroom Support	\$ 447,899	\$ 70,756			\$ 0	\$ 6,605	\$ 525,260	\$ 158,062	\$ 473,412	\$ 2,875,532	
Traffic & Other Infractions	\$ 88,778	\$ 12,796			\$ 0	\$ 184	\$ 101,758		\$ 97,737	\$ 530,929	
Other Criminal Cases	\$ 94,808	\$ 3,743			\$ 0		\$ 98,551		\$ 87,387	\$ 450,981	
Civil	\$ 114,420	\$ 7,001			\$ 0		\$ 121,421		\$ 133,349	\$ 430,854	
Family & Children Services	\$ 101,481	\$ 12,307			\$ 0	\$ 2,058	\$ 115,846	\$ 6,300	\$ 120,033	\$ 503,432	
Probate, Guardianship & Mental Health Services		\$ 23,580					\$ 23,580		\$ 27,625	\$ 168,801	
Juvenile Dependency Services	\$ 10,255	\$ 150,619			\$ 0		\$ 160,874		\$ 174,843	\$ 703,699	
Juvenile Delinquency Services	\$ 10,255	\$ 275			\$ 0		\$ 10,530	\$ 15,476	\$ 1,820	\$ 65,456	
Other Court Operations		\$ 282					\$ 282		\$ 13,552	\$ 31,893	
Court Interpreters		\$ 13,489					\$ 13,489	\$ 10,000	\$ 28,413	\$ 91,300	
Jury Services	\$ 29,204	\$ (17,670)	\$ 20,615		\$ 0		\$ 32,148		\$ 61,990	\$ 381,318	
Security		\$ 22,980					\$ 22,980	\$ 9,000	\$ 21,829	\$ 168,941	
	\$ 897,100	\$ 300,157	\$ 20,615		\$ 0	\$ 8,847	\$ 1,226,719	\$ 198,838	\$ 1,241,989	\$ 6,403,134	
Trial Court Operations Program											
Enhanced Collections											
Other Non-Court Operations											
Non-Court Operations Program											
Executive Office	\$ 47,351	\$ 2,040			\$ 0		\$ 49,391		\$ 36,042	\$ 172,307	
Fiscal Services	\$ 58,342	\$ 9,000			\$ 0		\$ 67,343	\$ 1,125	\$ 65,308	\$ 311,779	
Human Resources	\$ 30,730	\$ 4,707			\$ 0		\$ 35,437		\$ 33,337	\$ 154,348	
Business & Facilities Services	\$ 35,000	\$ 1,528			\$ 0		\$ 36,527	\$ 2,000	\$ 58,639	\$ 462,247	
Information Technology	\$ 68,498	\$ 11,151			\$ 0	\$ 128	\$ 79,776		\$ 59,274	\$ 620,462	
Court Administration Program	\$ 239,920	\$ 28,426			\$ 0	\$ 128	\$ 268,474	\$ 3,125	\$ 252,599	\$ 1,721,143	
Expenditures Not Distributed or Posted to a Program	\$ 0	\$ 0			\$ 0		\$ 0		\$ 0		
Prior Year Adjustments Not Posted to a Program											
Total	\$ 1,137,020	\$ 328,583	\$ 20,615		\$ 0	\$ 8,975	\$ 1,495,193	\$ 201,963	\$ 1,494,588	\$ 8,124,277	