# Risk and Resilience in Children: The Science that Underlies Congregate Care Reform



#### Joan Kaufman, Ph.D.

Director of Research, Center for Child and Family
Traumatic Stress, Kenney Krieger Institute
Professor, Department of Psychiatry, Johns Hopkins School of Medicine

## **Workshop Sessions**

- The Science of Risk and Resilience
- Translating the Science into Best Practice
- Clinical Vignette Workgroups and Discussions

# The Science of Risk and Resilience



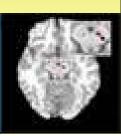
## **Overview**

- Yale CARE Program
- Introduction to Epigenetics
- Studies in Maltreated Children
- Introduction to Key Factors for Promoting Resilience

## **Key Concepts**

- Neuronal Plasticity
- Genomic Plasticity





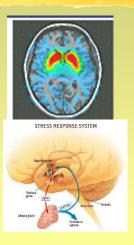
## CARE Program

**Child and Adolescent Research and Education Program** 



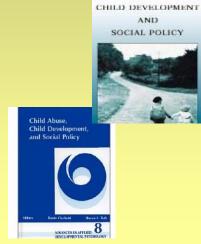
The CARE program was founded in 2001 and was dedicated to work with maltreated children and their families. The focus of the CARE program was broad and spanned from neurobiology to social policy.

## The focus on neurobiology...

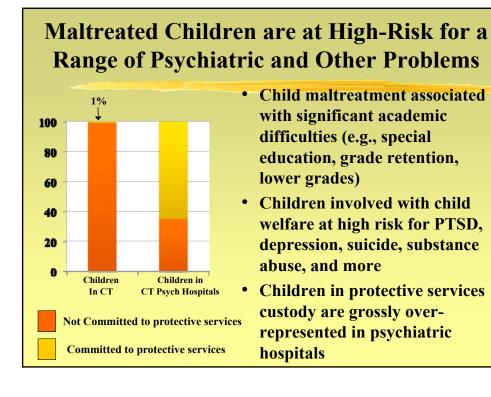


.. comes from preclinical (e.g. animal) and clinical studies that suggest that stress early in life can promote long-term changes in stress reactivity and brain development.

## The focus on social policy ...

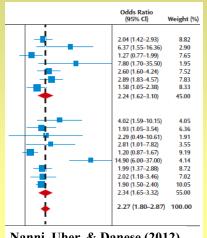


... comes from knowledge of the problems that can occur once children enter the system which increase the likelihood of long-term mental health problems (e.g. separation from attachment figures and siblings, multiple changes in placements, congregate care, re-abuse).



## **Individuals with History of Child Abuse More** Likely to Have Chronic Course of Psychopathology

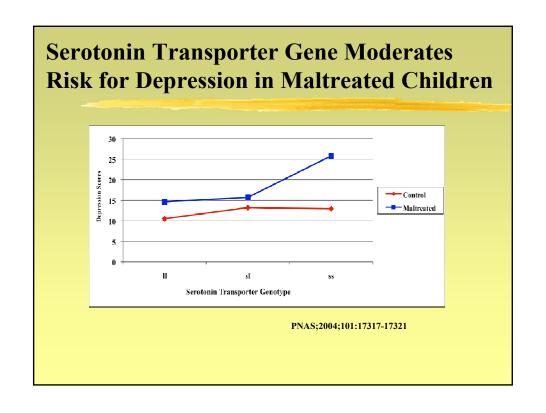
- More likely to have recurrent and persistent course of illness
- Less likely to respond favorably to evidence-based treatments

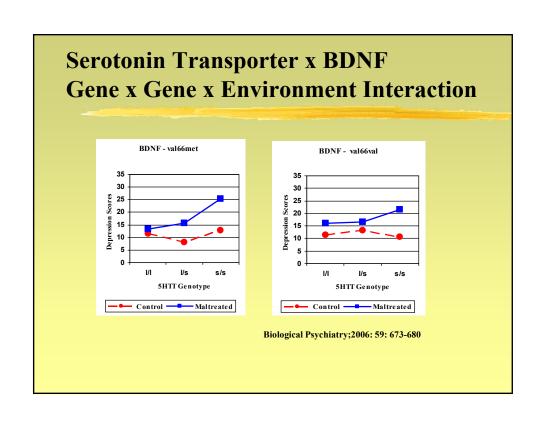


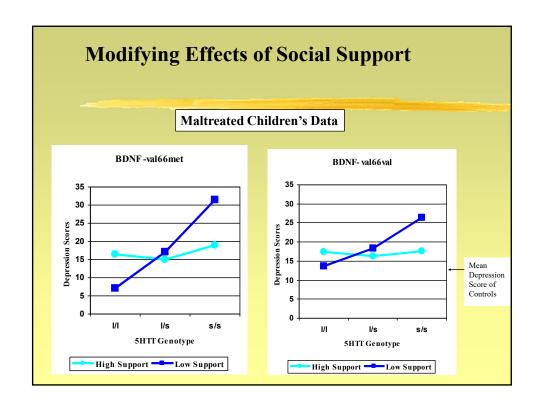
Nanni, Uher, & Danese (2012)

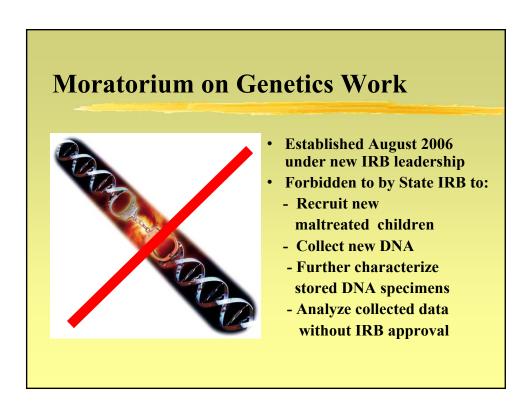


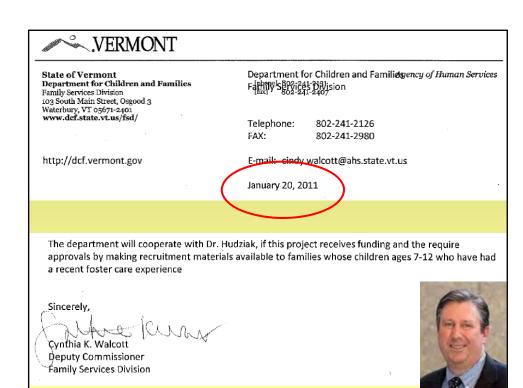










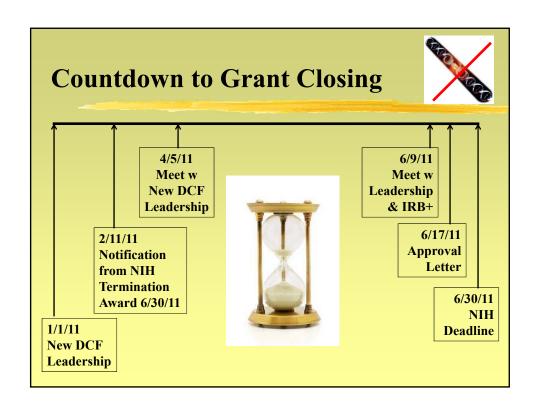


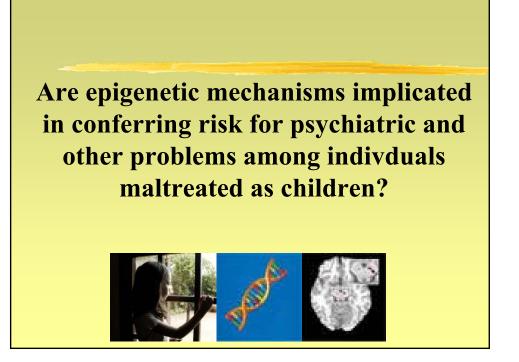
# 2/11/11 Learned Time is Running Out: Unused grant money to be returned 6/30/11

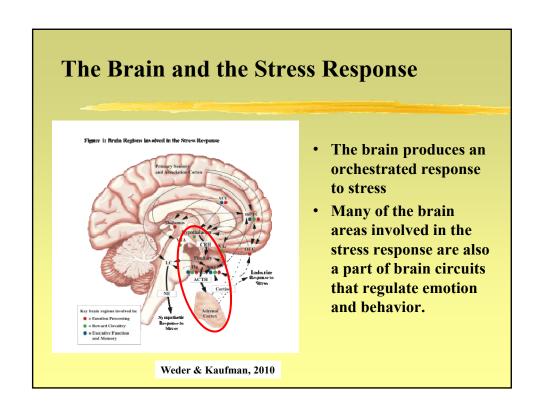


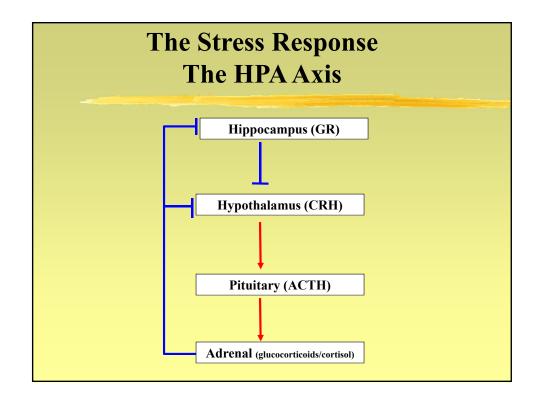
#### Could I get -

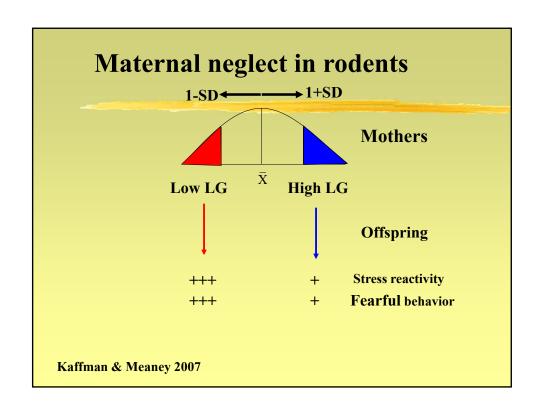
- Permission to analyze stored DNA specimens?
- Conduct pilot whole genome epigenetic study?

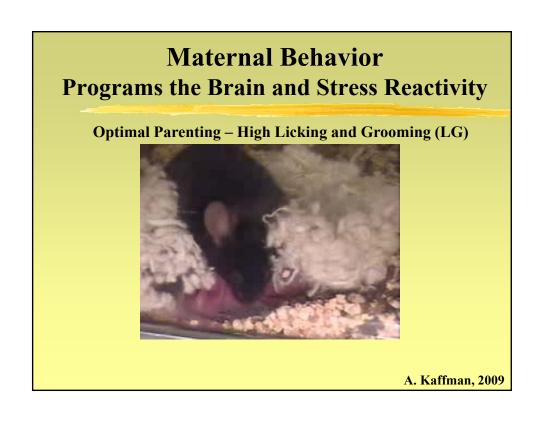


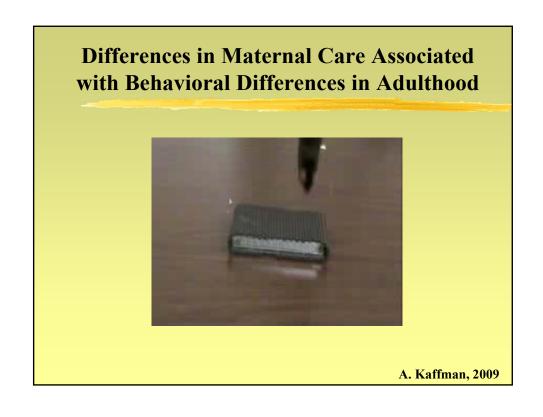


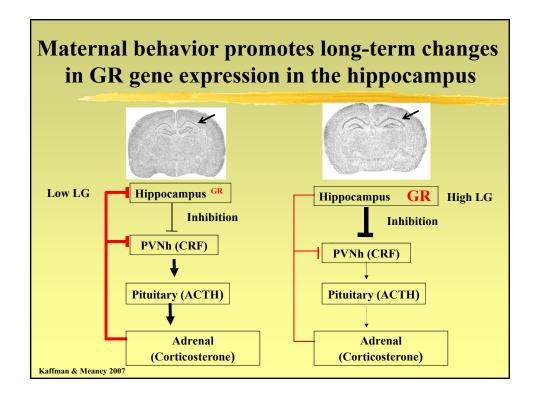


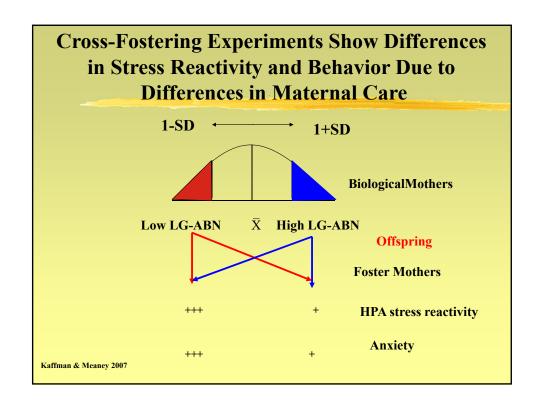


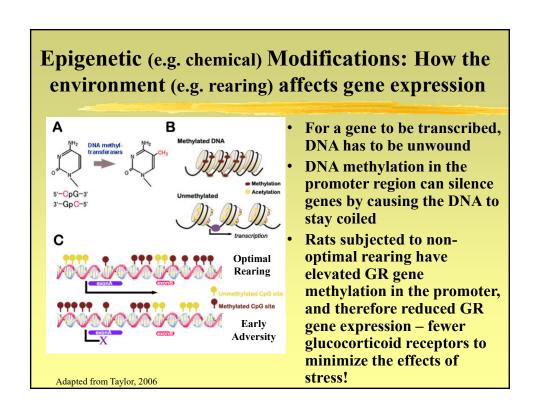












# **Child Abuse and Epigenetic Mechanisms of Disease Risk**

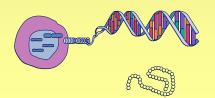
A Methylation Pilot Study

Sample: 96 Maltreated children

96 Community control children

Method: Illumina 450 K BeadChip

a whole genome study



(Am J Prev Med 2013;44(2):101–107)

## Maltreated vs. Comparison Children

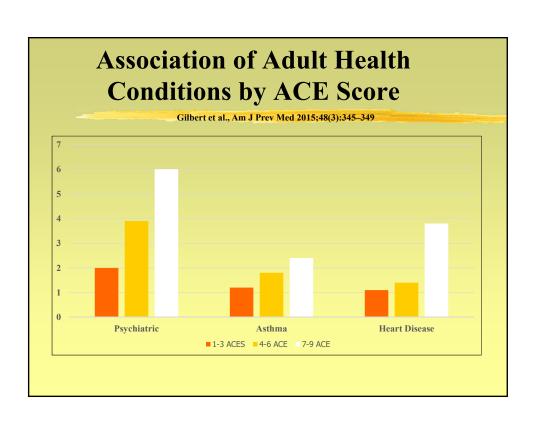
- Maltreated and control children had significantly different methylation values at a total of 2,868 CpG sites ( $p < 5.0 \times 10^{-7}$ , all sites)
- Significant CpG sites were identified on all 23 chromosomes
- 20% of significant CpG sites in intergenic regions

#### Relationship of Childhood Abuse and Household Dysfunction to Many of the Leading Causes of Death in Adults

The Adverse Childhood Experiences (ACE) Study

Vincent J. Felitti, MD, FACP, Robert F. Anda, MD, MS, Dale Nordenberg, MD, David F. Williamson, MS, PhD, Alison M. Spitz, MS, MPH, Valerie Edwards, BA, Mary P. Koss, PhD, James S. Marks, MD, MPH

- Child maltreatment and other adverse childhood experiences are non-specific risk factors for multiple psychiatric disorders, and several health risk behaviors including smoking, overeating, and excessive alcohol and drug use.
- Above and beyond the effect of these risk behaviors, adverse childhood experiences predict ischemic heart disease, stroke, respiratory problems, diabetes, and cancer.



#### Biological Process Networks Associated with the Genes which are Differentially Methylated in Maltreated and Control Children

Networks	pValue	R	Ratio	
Cancer				
Signal transduction_WNT signaling	8.513E-06	44	177	
Psychiatric and Substance Use Disorders				
Development_Neurogenesis_Axonal guidance	5.019E-05	51	230	
Heart Disease				
Cardiac development_Wnt_beta-catenin, Notch, VEGF, IP3 and integrin signaling	2.388E-03	32	150	
Respiratory Disease	*			
Cell cycle_G1-S Interleukin regulation	2.979E-03	28	128	
Diabetes				
Signal transduction_Leptin signaling	3.605E-03	24	106	
Inflammation				
Inflammation_IL-2 signaling	3.100E-05	29	104	
Gene Regulation	X			
Translation_Regulation of initiation	2.556E-04	31	127	

Although replication is required, this study suggests that epigenetic mechanisms may be associated with risk for health problems later in life in maltreated children. This study lays the groundwork for future studies examining health and methylation measures to further characterize the role of epigenetic mechanisms in conferring risk for medical problems in individuals with histories of early adversity.

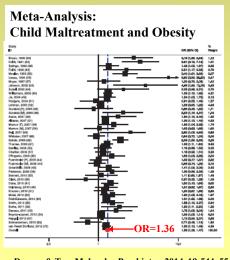
## Follow-Up Study - New Cohort **Child Maltreatment and Obesity**

- 168 children, 53% female, 95% European Americans
- Saliva samples were collected for DNA specimens
- Trauma was characterized using the Yale-Vermont Adversity in Childhood Scale (Y-VACS), a dimensional measure of adverse experiences that integrates data from multiple sources (e.g., parents, children, protective service records).
- Measures of waist circumference attained and height and weight to calculate the BMI.



## **Child Maltreatment** and Obesity

- **Obesity** is the first medical health problem apt to be observed in children
- Strong meta-analytic support for the association between child maltreatment and obesity across the lifecycle



Danese & Tan, Molecular Psychiatry, 2014, 19:544-554

# **Trauma Experiences Predict Indices of Obesity**

Body Mass Index				Waist Circumference				
Source	Wald Chi- Square	Df	Significance	Source	Wald Chi- Square	Df	Significance	
Sex	2.5	1	.11	Sex	0.05	1	ns	
Age	80.7	1	.0001	Age	82.3	1	.0001	
Race	5.1	1	.025	Race	5.7	1	.02	
Child Trauma	7.8	1	.008	Child Trauma	6.6	1	.01	

## Methylation and Trauma Measures Together Predict BMI (N=168)

	Gene		Gene	Methylation	Trauma	Interaction
ID	Symbol	Chr	Location	p-value	p-value	p-value
cg10264529	PCK2	14	TSS1500	7.53E-09	0.224387393	0.01049749
cg14929207	DHRS13	17	TSS1500	3.70E-08	0.977986536	0.27904021
cg16110788		7	Intergenic (Enhancer)	4.79E-08	0.231236082	0.04760218
cg14855841	CXCL10	4	TSS1500	7.59E-08	0.560370604	0.00276939
cg26103104			Intergenic	4.31E-07	0.768020567	0.53347103
cg01555853	KCNS3	2	TSS200	4.45E-07	0.191831691	0.04891355
cg15990629	BCAT1	12	Body	0.229195283	0.711035734	4.42E-09
cg22806444	C17orf28	17	1st Exon (Regulatory)	0.01231404	0.14989789	1.94E-08
cg26764244	GNG12	1	TSS1500	0.926680384	0.959533548	2.14E-08
cg17489690	PRDM16	1	Body	0.381971041	0.673957986	2.52E-08
cg01507128		19	Intergenic	0.098346424	0.424211318	5.55E-08
cg16557308	OSBPL9	1	Promoter Associated	0.204359068	0.528220779	6.27E-08
cg18839416	Clorf158	1	TS1500	0.250931818	0.707153426	6.34E-08
cg05559960	MADD	11	TSS200	0.228359447	0.927961462	6.60E-08
cg24741066	PXDN	2	Body (Enhancer)	0.185359313	0.25783914	2.66E-07
cg26737766	GALE	1	Promoter Associated	0.093330626	0.531049042	2.75E-07

PCK2 and GALE involved in glucose metabolism; CXCL10 correlates significantly with measures of visceral fat area in obese children; BCAT1 identified as a candidate risk gene for obesity; PRDM16 is involved in the differentiation of brown adipose tissue; OSBPL9 encodes a group of intracellular lipid receptors; MADD implicated in type 2 diabetes; PXDN deletions associated with early onset obesity.

## Replicated Findings: Methylation and Trauma Measures Together Predict BMI

		Origi	nal Cohort (N	T=168)	Replication Cohort (N=74)			
ID	Gene Symbol	Methylation p-value	Trauma p-value	Interaction p-value	Methylation p-value	Trauma p-value	Interaction p-value	
cg10264529	PCK2	7.53E-09	ns	ns	.003	.02	ns	
cg16110788		4.79E-08	ns	ns	.03	.03	ns	
cg26103104		4.31E-07	ns	ns	.02	.03	ns	
cg22806444	HID1	ns	ns	1.94E-08	.02	.04	ns	
cg26737766	GALE	ns	ns	2.75E-07	.11	.04	.066 +	

Legend. The covariates age, sex, race, cell type (CD14, CD34, buccal), and the first three principal components to account for population stratification were included in all analyses.

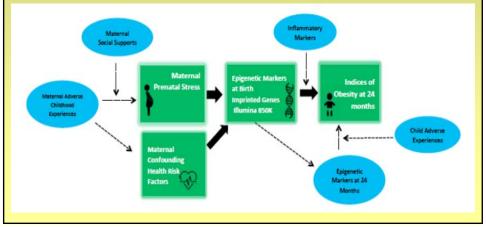
PCK2 encodes for a enzyme in the mitochondria involved in glucose metabolism.

HIDI associated with body fat mass regulation, preadipocyte number and adipocyte size in rats.

GALE gene encodes UDP-galactose-4-epimerase which catalyzes two distinct but analogous reactions with important metabolic consequences

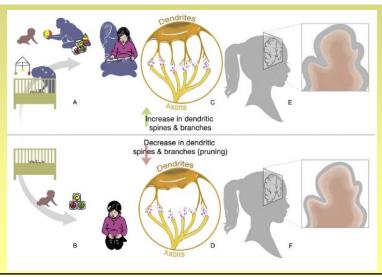
# New Grant: Social adversities, epigenetics, and the obesity epidemic

 Recruit 470 high risk women in the third trimester of pregnancy and follow mothers and infants through the first two years of life



## Neglect as a Violation of Species-Expectant Experience: Neurodevelopmental Consequences

Katie A. McLaughlin, Margaret A. Sheridan, and Charles A. Nelson Biological Psychiatry, October 2017; 82:462–471



### **Bucharest Early Intervention Project**

- Largest longitudinal study of institutionalized children less than 2 years old ever conducted
- First randomized controlled trial of foster care as an intervention for institutionalization in abandoned infants and toddlers in Bucharest, Romania

http://www.bucharestearlyinterventionproject.org

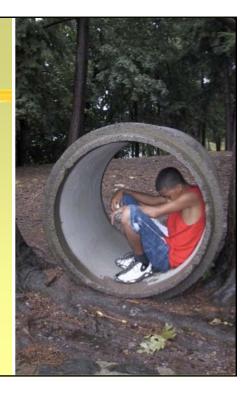
# **Bucharest Early Intervention Project**

- Study began in Fall 2000
- 136 infants aged 6 to 30 months were randomly assigned to a high-quality foster care intervention or continued institutional care
- A control group of never institutionalized children also included in the study
- Children were followed through age 12 and those in the intervention group showed improved brain activity (EEG), attachment, internalizing symptoms, language and some measures of cognition

## **Key Findings**

- Language deficits in particular are not well explained by existing models and appear better explained by the newly proposed theory of neglect as a violation of speciesexpectant experience
- Most neural and developmental consequences of deprivation are not permanent but are amenable to intervention due to neuroplasticity

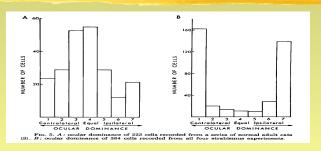
# CLINICAL IMPLICATIONS





Epigenetic modifications are frequently long-lasting, but they are not necessarily permanent.

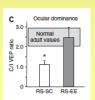
## Visual Cortex, Species-Expectant Experience, and Critical Periods Concept



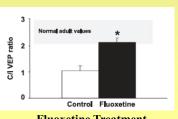
The concept that experiences early in development during limited critical periods could lead to permanent changes in brain development was strongly influenced by Wiesel and Hubel's classic experiments on monocular deprivation.

# **Emerging Findings – Negative Effects can be Reversed**

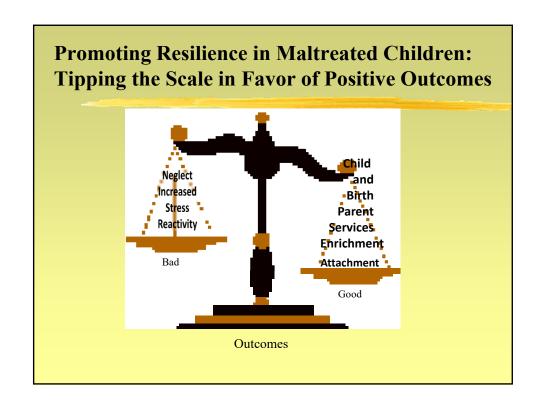
NEW DATA: Ocular dominance changes due to epigenetic mechanisms. Normal visual acuity and ocular dominance can be restored via pharmacological and environmental interventions. Visual deprivation early in life need not lead to permanent changes in vision and brain structure.

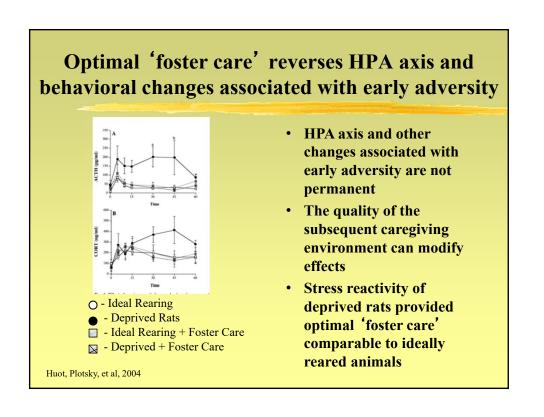


Environmental Enrichment Sale et al., 2007



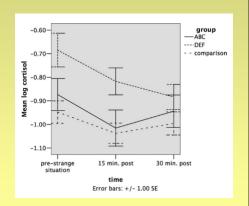
Fluoxetine Treatment Maya Vetencourt et al., 2008





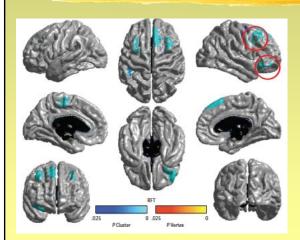
## **Effects of an Attachment-based Intervention on Cortisol of Infants in Foster Care**

- Randomized controlled trial (ABC vs. DEF)
- Stress reactivity assessed in laboratory strange situation paradigm
- Post-intervention: Cortisol secretion of infants that participated in ABC intervention comparable to non-maltreated controls
- 3-Year Follow-up: HPA axis gains retained (Bernard, Hostinar, Dozier, 2015)



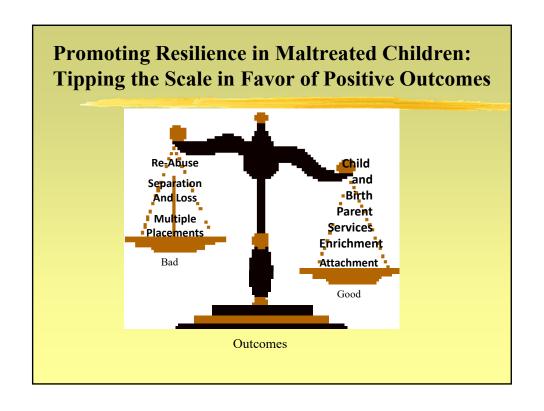
Dozier et al., 2008

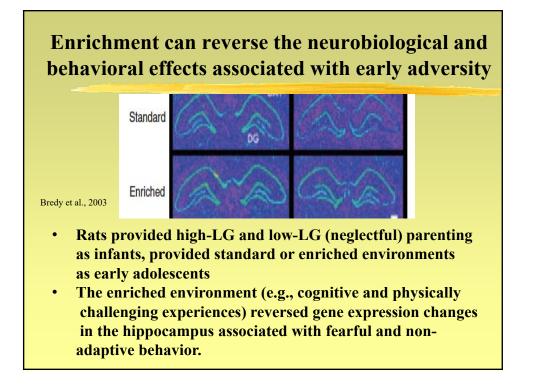
# **Positive Parenting Reduces Impact** of Poverty on Brain Development



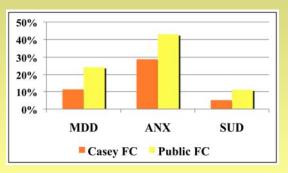
Whittle et al., JAMA Pediatrics, 2017

Positive parenting moderates the impact of poverty on brain development in key areas involved in emotion regulation and executive function (e.g., dorsal PFC, orbital PFC, amygdala





# **Mental Health Outcomes of Casey and Public Foster Care Young Adult Alumni**



Kessler et al., 2008

Rates of anxiety disorders (e.g., PTSD) in both groups is elevated compared to community controls

- Casey youth provided multiple enrichment opportunities (e.g., counseling, tutoring, summer camps)
- Enriched foster care associated with significantly better physical and mental health outcomes





- Enrolls freshman bottom 25% of their class
- Matches students with a team of 5 volunteers
- Customizes support for each child
- Provides support for ~10 years

92%

90%

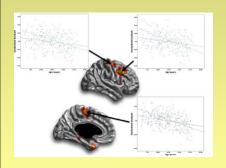
80%

of students who have been in Thread for 5 years have graduated high school

of students who have been in Thread for 5 years have been accepted to college

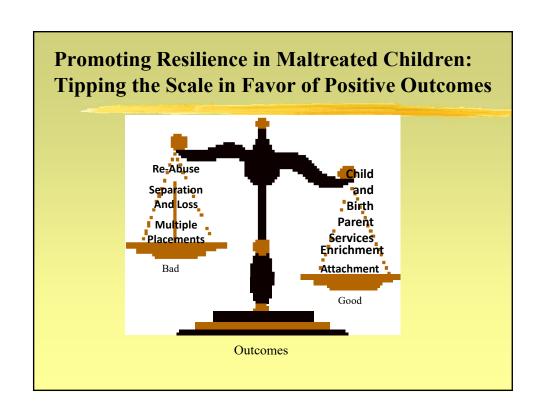
of student alumni have completed a 4 or 2 year degree or certificate program

# **Music Training and Cortical Thickness Maturation of the Brain**

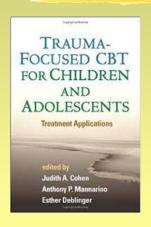


Hudziak et al., JAACAP, 2014

Musical instrument playing was not only associated with more rapid cortical thickness maturation within areas implicated in motor planning and coordination, visuospatial ability, but also brain areas involved in emotion and impulse regulation



#### **TF-CBT** with Foster Care Children



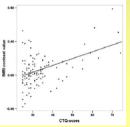
http://tfcbt.musc.edu/

- TF-CBT associated with significantly greater improvement in PTSD and emotional and behavioral problems than TAU
- TF-CBT ½ as likely to experience placement disruption
- TF-CBT 1/10 as likely to run away

Data presented by J. Cohen 10/28/10

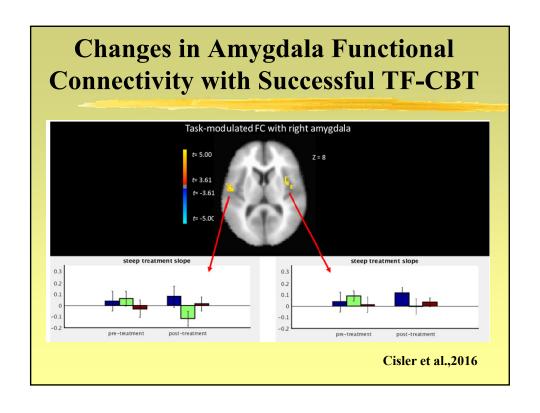
## Child Maltreatment, Anxiety, Depression, and Amygdala Response to Threat Stimuli

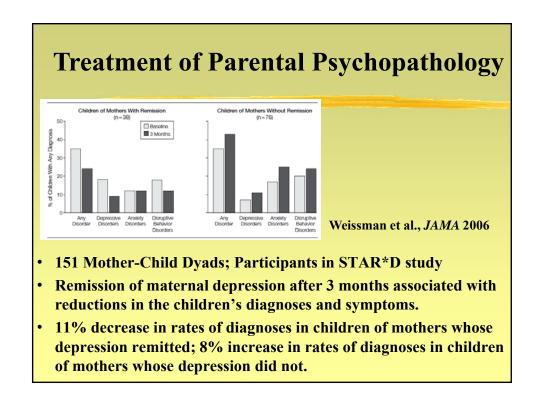




Syed & Nemeroff, 2017, Chronic Stress

- Child maltreatment is associated with increased risk for depression, anxiety, and PTSD
- The presence of these disorders are associated with increased reactivity of the amygdala to threat stimuli
- Findings related to child maltreatment, depression and anxiety, and amygdala reactivity are highly replicated



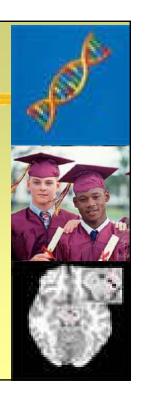


## **Key Concepts**

- Neuronal Plasticity
- Genomic Plasticity

## **Key Factors for Resilience**

- Attachment
- Enrichment
- Child and Parent Services



## **Take Home Messages From Research**



A history of early adversity need NOT lead to bad outcomes. There are many factors that can tip the scale in favor of positive outcomes for children.

## Acknowledgements

Christopher Hammond, M.D. Daniel Hoover, Ph.D. Catherine (Cat) Kearney, B.A.

Steve Southwick, M.D.

Former Students, Staff, and Colleagues Joseph Boonsiri, M.D.. Allen Desena, M.P.H. Heather Douglas-Palumberi, M.A Kimberly Fabian Andrea Gold, Ph.D. Amanda Schweder Guyer, Ph.D. Shadi Houshyar, M.S. Daryn David, A.B. Damion Grasso, M.A. Deborah Lipschitz, M.D. Makeba Massey, M.A. Amy Meadows, M.D. Francheska Perepletchikova, Ph.D. Natalie Weder, M.D.

#### Preclinical Collaborators

Jeremy Coplan, M.D. Ron Duman, Ph.D. Andrew Dwork, Ph.D. Arie Kaffman, M.D., Ph.D. Sam (Newton) Sathyanesan, Ph.D. Arthur Simen, M.D., Ph.D.

Michelle Hampson, Ph.D. Andrea Jackowski, Ph.D. Marcel Jackowski, Ph.D. Robert Schultz, Ph.D. Larry Staib, Ph.D. Lawrence Winn, B.A.

Gregg Kay, B.S. Ann Marie Lacobelle Janitza Mantalvo-Ortiz, PhD Bao-Zhu Yang, Ph.D. Hongyu Zhao, PH.D.

#### State of Connecticut, Department of Children and

Darlene Dunbar, M.S.W.
Dixic Dappollonio, M.S.W.
Michael Schultz, Ph.D.
Janice Gruendel, Ph.D.
Danbury, New Haven, Torrington, and Waterbury
Regional Offices

#### State of Connecticut, Child Advocate's Office

Jeanne Milstein, B.S. Mickey Kramer, M.S.N.

#### University of Vermont Collaborators

Matt Albaugh, Ph.D. Nicholas D'Alberto Hugh Garavan, Ph.D. Hannah Holbrook Catherine Orr, Ph.D. Kerry O'Loughlin

#### Vermont DCF

Marc Carr Alix Gibson Beth Relyea



## Translating the Science into **Best Practice**

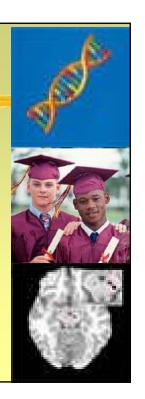


## **Key Concepts**

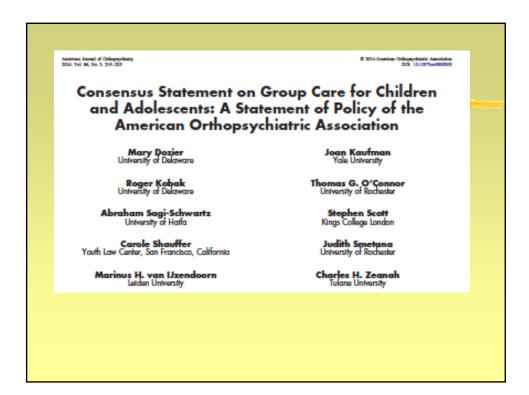
- Neuronal Plasticity
- Genomic Plasticity

## **Key Factors for Resilience**

- Attachment
- Enrichment
- Child and Parent Services

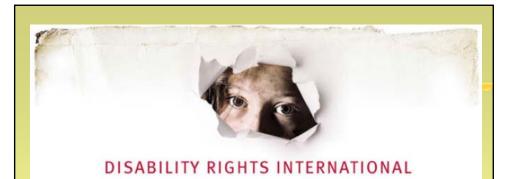


# Attachment



## **Consensus Statement Position**

This consensus statement on group care affirms that children and adolescents have the need and right to grow up in a family with at least 1 committed, stable, and loving adult caregiver. In principle, group care should never be favored over family care. Group care should be used only when it is the least detrimental alternative, when necessary therapeutic mental health services cannot be delivered in a less restrictive setting.



## UN Disability Committee recognizes groundbreaking rights for children

September 28, 2017 - Washington, DC - The United Nations Committee on the Rights of Persons with Disabilities has explicitly stated that every child has a right to grow up in a family, not in an institution or group home, in response to comments submitted to the Committee by Disability Rights International.



Child Abuse. & Neglect

Child Abuse & Neglect 29 (2005) 627–643

SAFE Homes: Is it worth the cost?

An evaluation of a group home permanency planning program for children who first enter out-of-home care

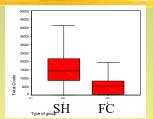
Allen D. DeSena <sup>a</sup>, Robert A. Murphy <sup>d</sup>, Heather Douglas-Palumberi <sup>a</sup>, Gary Blau <sup>b</sup>, Blandina Kelly <sup>c</sup>, Sarah M. Horwitz <sup>a</sup>, Joan Kaufman <sup>a,\*</sup>

**Objective:** To evaluate the SAFE Homes (SH) program, a short-term group care program for children between 3 and 12 years of age who enter care for the first time. The program aims to improve case outcomes by consolidating resources to facilitate assessment and treatment planning.

Results: Prior to the initiation of the SAFE Homes program, 75% of the children who entered care in the State experienced three or more placements in the first year. The outcomes of both the SH and FC cases were significantly improved over pre-SAFE Home State statistics. The FC group, however, had comparable or better outcomes on most variables examined. In addition, the total cost for out-of-home care for the children in FC was significantly less, despite the fact that the two groups spent similar amounts of time in care (average time in care: 7 months). This finding held when the total placement cost was calculated using the State reimbursement rate of \$206.00 per day for SAFE Home care (SH:  $$20,851 \pm 24,231$ ; FC:  $$8,441 \pm 21,126$ , p < .001), and a conservative SAFE Home program fee of \$85.00 per day that only considered the child care and custodial staffing costs uniquely associated with the program (SH:  $$13,314 \pm 21,718$ ; FC:  $$8,441 \pm 21,126$ , p < .001).

#### **Congregate Care Costs More**

While the SH and FC children spent a comparable time in placement, the total cost for the out-of-home care of the children who were originally placed in the SAFE Homes was twice the total out-of-home care expenditures of the children who went to traditional foster care with NO clinical benefit



The cost of placing children in non-family based <u>longer-term</u> placements is estimated to be <u>7-10 times higher</u> than the cost associated with family based settings (National Center for State Courts, 2017)

#### **Bucharest Early Intervention Project**

- Largest longitudinal study of institutionalized children less than 2 years old ever conducted
- First randomized controlled trial of foster care as an intervention for institutionalization in abandoned infants and toddlers in Bucharest, Romania

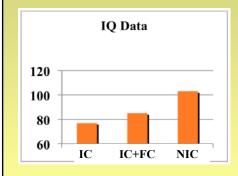


http://www.bucharestearlyinterventionproject.org

## **Bucharest Early Intervention Project**

- Study began in Fall 2000
- 136 infants aged 6 to 30 months were randomly assigned to a high-quality foster care intervention or continued institutional care
- A control group of never institutionalized children also included in the study
- Children were followed through age 12 and those in the intervention group showed improved brain activity (EEG), attachment, internalizing symptoms, language and some measures of cognition

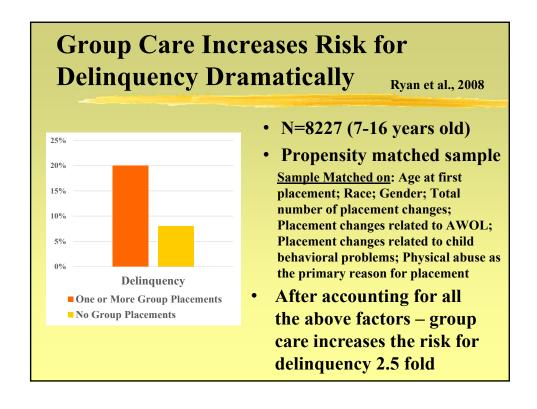
## Institutional Care Associated with Negative Outcomes for Children Across Multiple Domains of Functioning

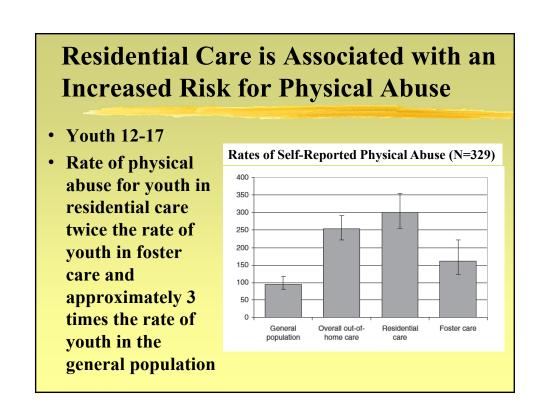


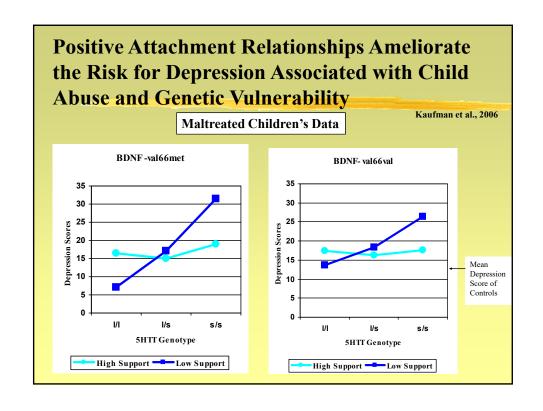
IC = Continuous Institutional Care IC+FC = Foster Care after Institutional Care NIC = Never Institutionalized

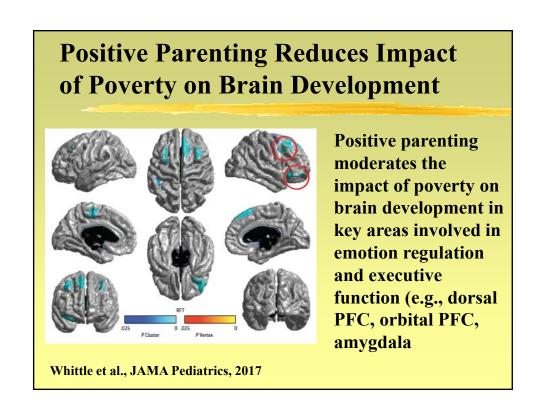
Nelson, Zeanah, et al., 2007

- Long-term changes in stress reactivity
- Elevated rates of psychiatric disorders
- Cognitive deficits
- Improvement in some domains with move from to Institution to family foster care
- The less time spent in Institutional care, the greater the developmental gains

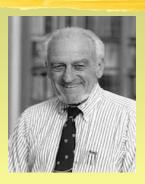








#### Albert Solnit, MD



JOSEPH Goldstein,
Anna Frend, Albert J. Solnit

BEYOND THE
BEST INTERESTS
OF THE CHILD

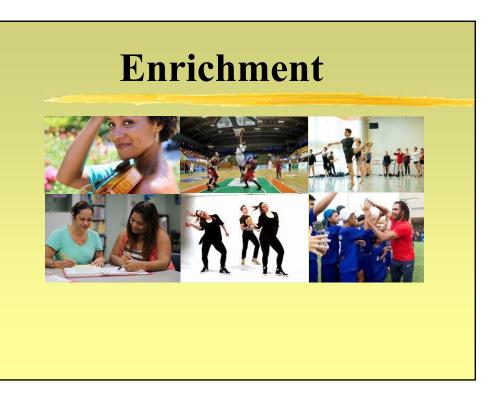
New Edition with Epilepoe
By the Author of
BEYONG THE REST INTERESTS OF THE CHILD

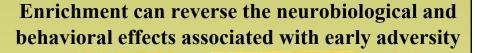
NO THE REST INTERESTS OF THE CHILD
IN THE REST INTERESTS OF THE CHILD
VOLUME II

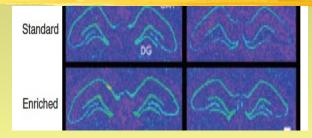
"... All the best professionals, does not one good parent make ..."

#### **Consensus Statement Position**

This consensus statement on group care affirms that children and adolescents have the need and right to grow up in a family with at least 1 committed, stable, and loving adult caregiver. In principle, group care should never be favored over family care. Group care should be used only when it is the least detrimental alternative, when necessary therapeutic mental health services cannot be delivered in a less restrictive setting.



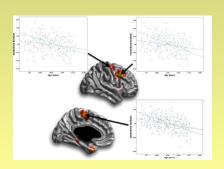




Bredy et al., 2003

- Rats provided high-LG and low-LG (neglectful) parenting as infants, provided standard or enriched environments as early adolescents
- The enriched environment (e.g., cognitive and physically challenging experiences) reversed gene expression changes in the hippocampus associated with fearful and non-adaptive behavior.

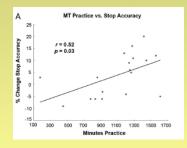
## **Music Training and Cortical Thickness Maturation of the Brain**

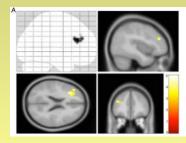


Hudziak et al., JAACAP, 2014

Musical instrument playing was not only associated with more rapid cortical thickness maturation within areas implicated in motor planning and coordination, visuospatial ability, but <u>also</u> brain areas involved in emotion and impulse regulation

## **Neural Plasticity following Mindfulness Intervention**

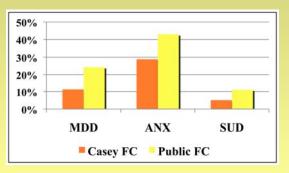




- RCT Mindfulness vs Shared Reading & Learning
- Mindfulness intervention associated with increased dIPFC activation during emotion inhibitory control task
- dlPFC key structure in top-down executive control

Allen et al., J Neuroscience, 2012

## **Mental Health Outcomes of Casey and Public Foster Care Young Adult Alumni**



Kessler et al., 2008

Rates of anxiety disorders (e.g., PTSD) in both groups is elevated compared to community controls

- P Casey youth provided multiple enrichment opportunities (e.g., counseling, tutoring, summer camps)
- Enriched foster care associated with significantly better physical and mental health outcomes





- Enrolls freshman bottom 25% of their class
- Matches students with a team of 5 volunteers
- Customizes support for each child
- Provides support for ~10 years

92%

90%

80%

of students who have been in Thread for 5 years have graduated high school

of students who have been in Thread for 5 years have been accepted to college

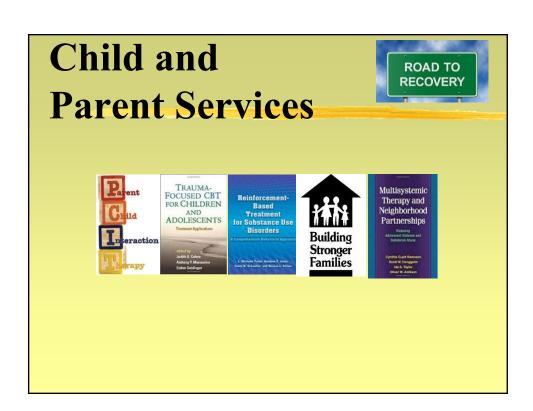
of student alumni have completed a 4 or 2 year degree or certificate program

#### **College Tuition Waiver**



Policy for Wards: The Connecticut Department of Children and Families (DCF) shall pay higher education expenses for tuition, fees, room and board, books, tutoring and health care remaining after calculating educational grants and scholarship awards, until the young adult's twenty-third (23rd) birthday.

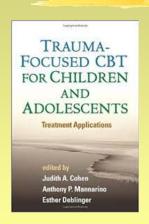
Policy for Children Adopted from DCF: The Department will provide financial assistance to youth who were adopted through the Department's foster care program by the youth's eighteenth (18th) birthday and who plan to attend an accredited college, university, or institution of higher learning upon completion of their high school education. The adoption must have taken place after December 31, 2004.



## Trauma Focused Cognitive Behavioral Therapy (TF-CBT)

- Strongest evidenced based treatment (3-21)
- Targets PTSD and other trauma symptoms
- Adaptations to address traumatic grief
- PRACTICE components
   <u>P</u>sychoeducation <u>R</u>elaxation <u>C</u>ognitive coping <u>T</u>rauma narrative <u>I</u>n-vivo mastery of trauma reminders <u>E</u>nhancing safety (https://tfcbt.musc.edu)

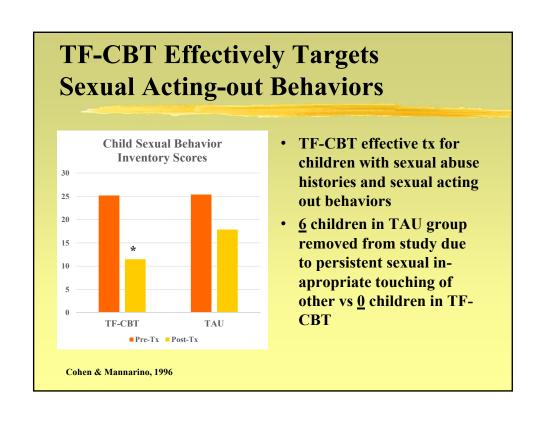
#### **TF-CBT** with Foster Care Children

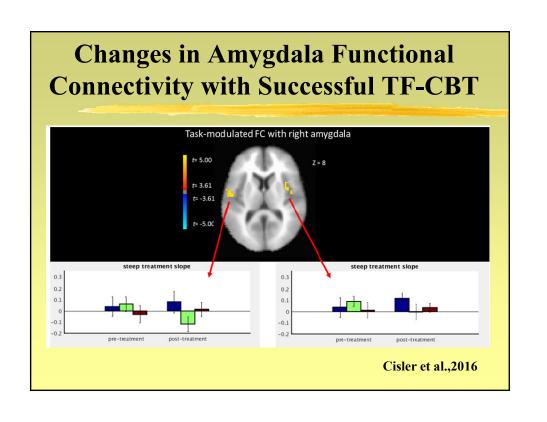


http://tfcbt.musc.edu/

- TF-CBT associated with significantly greater improvement in PTSD and emotional and behavioral problems than TAU
- TF-CBT ½ as likely to experience placement disruption
- TF-CBT 1/10 as likely to run away

Data presented by J. Cohen 10/28/10





#### **Dialectical Behavior Therapy (DBT)**

- Trauma informed, promising practice for youth ages 12-18 who have a history of complex trauma exposure
- Utilizes DBT- an evidenced based treatment
- Treats adolescents who are engaging in tension reduction behaviors such as substance abuse, self-injurious behaviors, high-risk sexual behaviors, or elopement

## Multiple Effective Outpatient Treatments for Adolescent Substance Misuse

- Motivational Interviewing (with other interventions)
- Cognitive Behavioral Interventions
- Family System Interventions
- 12-Step Facilitation Programs
- DBT-S for youth with self-injurious and other high risk behaviors and substance misuse

Treatments can effectively be provided in outpatient and day treatment settings

#### **Multisystemic Therapy (MST)**

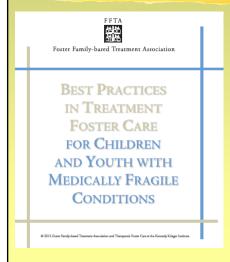
- MST home-based model that targets individual, family, peer, school, and neighborhood factors that increase risk for criminal behavior
- Effective with juvenile sex offenders and youth with a broad range of delinquent behaviors
- Associated with decreased rates of criminal behavior in the <u>parents</u> and <u>siblings</u> of youth treated with MST

## **Multidimensional Treatment Foster Care (MTFC)**

- Family-based intervention for delinquent youth with foster parents trained to implement behavioral reinforcements
- MTFC effective with a range of delinquent behaviors – MTFC vs Group Care associated with lower rates of recidivism, and fewer subsequent days in detention centers
- In long-term follow-up MTFC associated with lower rates of drug use and fewer pregnancies

## **Therapeutic Foster Care for Medically Fragile Children**

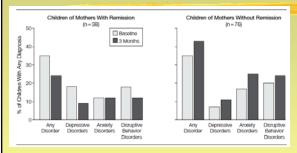




- 10% foster care children medically complex or fragile (AAP)
- TFC requires interdisciplinary team – SW, RN, foster parents
- Comprehensive training
- 24/7 emergency support
- Respite Care
- Home accommodations
- Permanency Planning

Spring 2018 Hopkins to sponsor symposium on placement challenges of medically-complex children

#### **Treatment of Parental Psychopathology**

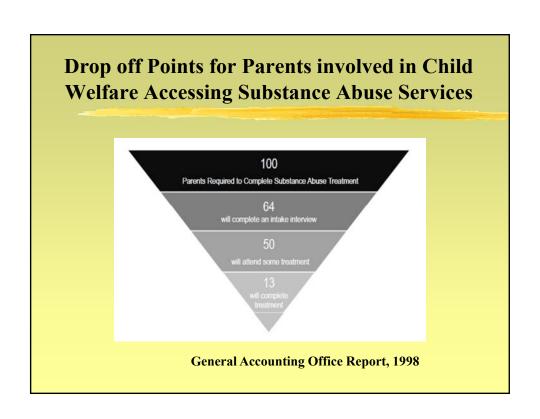


Weissman et al., JAMA 2006

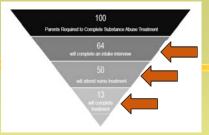
- 151 Mother-Child Dyads; Participants in STAR\*D study
- Remission of maternal depression after 3 months associated with reductions in the children's diagnoses and symptoms.
- 11% decrease in rates of diagnoses in children of mothers whose depression remitted; 8% increase in rates of diagnoses in children of mothers whose depression did not.

#### **Child Welfare and Substance Abuse**

- 60%-70% child welfare cases have SUD
- 80%-90% of children who enter foster care have parents with SUD
- Among child welfare cases, parental substance abuse is associated with:
  - Higher rates of child re-victimization;
  - Longer stays in care;
  - Higher rates of termination of parental rights and child adoption



## Family Treatment **Drug Courts (FTDC):**



- First FTDC established in Reno 1994
- As of 2009, over 272 FTDC nationwide
- Modeled after drug courts in criminal justice system
- Assessments completed in court
- · Recovery coaches
- Frequent drug testing
- · Regular, weekly, court hearings
- Rewards, sanctions, and frequency of court hearings dependent on treatment compliance

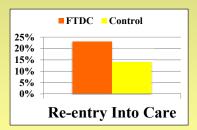
#### Family Treatment Drug Courts (FTDC):

Three FTDC evaluations – one with historical controls, one with overflow controls, and one propensity matched controls

- Treatment Entry:
  - FTDC > Control

90% vs 54%

- Treatment Completion: FTDC > Control 68% vs 32%
- Days in Care: FTDC < Control 605 vs 1000 days



Re-Entry into Care: FTDC > Control 23% vs 14% (ns)

## **Building Stronger Families (BSF):**

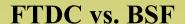
Swenson, Henggler. Panzarella et al., 2009 Schaeffer, Swenson, et al., 2013

- Home-based model that integrates MST and RBT interventions
- PTSD interventions for parents
- 24/7 on call clinician ~ 6-month intervention
- Frequent urine drug testing in home 3 times per week
- · Family safety plans developed with parent, CPS, and natural supports
- 87% of parents referred engage in tx (N=54)
- 93% of parents who initiate treatment complete treatment
- Majority of BSF cases retained home (75%) at discharge, 86% with family
- Propensity Matched Sample Study BSF versus TAU BSF associated with lower rates of substantiated re-abuse at 2-year follow-up and improved parent and child well-being

#### FTDC vs. BSF

Comparison of FTDC and BSF Models		
	FTDC	BSF
Intensive case management	Yes	Yes
Frequent urine testing	Yes	Yes
Integrated parenting, SUD, MH services	Sometimes	Always
<b>Location of SUD treatment</b>	Office	Home
Frequency of judicial oversight	Up to weekly	Every six months
Out-of-home placement of children	Majority of cases	Minority of cases



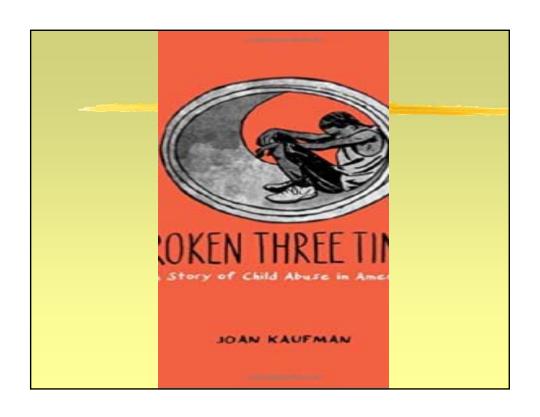


• Comparable rates of treatment engagement and treatment completion



- FTDC 100% out-of-home placements. Durations range from 400-650 days reunification rate 40%-70%
- FTDC high rates of re-entry into care (23%)
- The majority of BSF cases retained safely at home (75%). At discharge, 86% with family





# Clinical Vignette Workgroups and Discussions

### **Clinical Vignettes**

#### **Key Factors for Resilience**

- Attachment
- Enrichment
- Child and Parent Services

