

# Collaborative Courts Self Assessments: The Key to Survival

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December 20, 2017

Beyond the Bench 24: Uniting for a Better Future



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National  
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Coordination

Technical  
Assistance  
Resources

## Acknowledgement

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[ojjdp.gov](http://ojjdp.gov)

## Learning Objectives

- Become familiar with various self-assessment tools
- Increase knowledge and appreciation for what these tools are designed to evaluate
- Identify what works and what doesn't work about conducting self-assessments
- Develop purposes for conducting self-assessments to share with their local collaborative court teams

## Placeholder for Terrence

# Family Drug Court Self-Assessment Tool and Data Snapshot

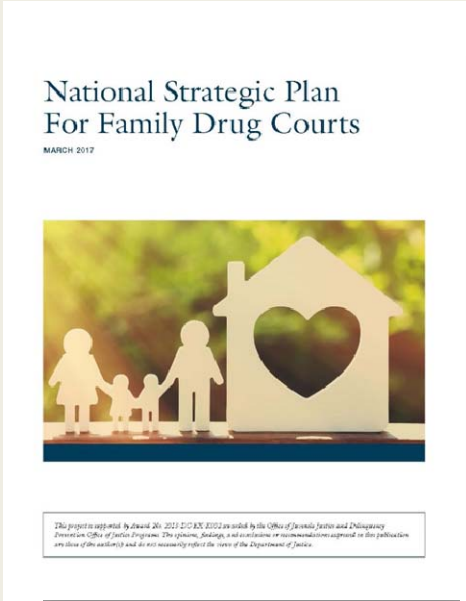
**Phil Breitenbucher, MSW**  
**Director of Family Drug Court Programs**



## National Strategic Plan for Family Drug Courts

### One Vision

*Every family in the child welfare system affected by parental/caregiver substance use disorders will have timely access to comprehensive and coordinated screening, assessment and service delivery for family's success.*



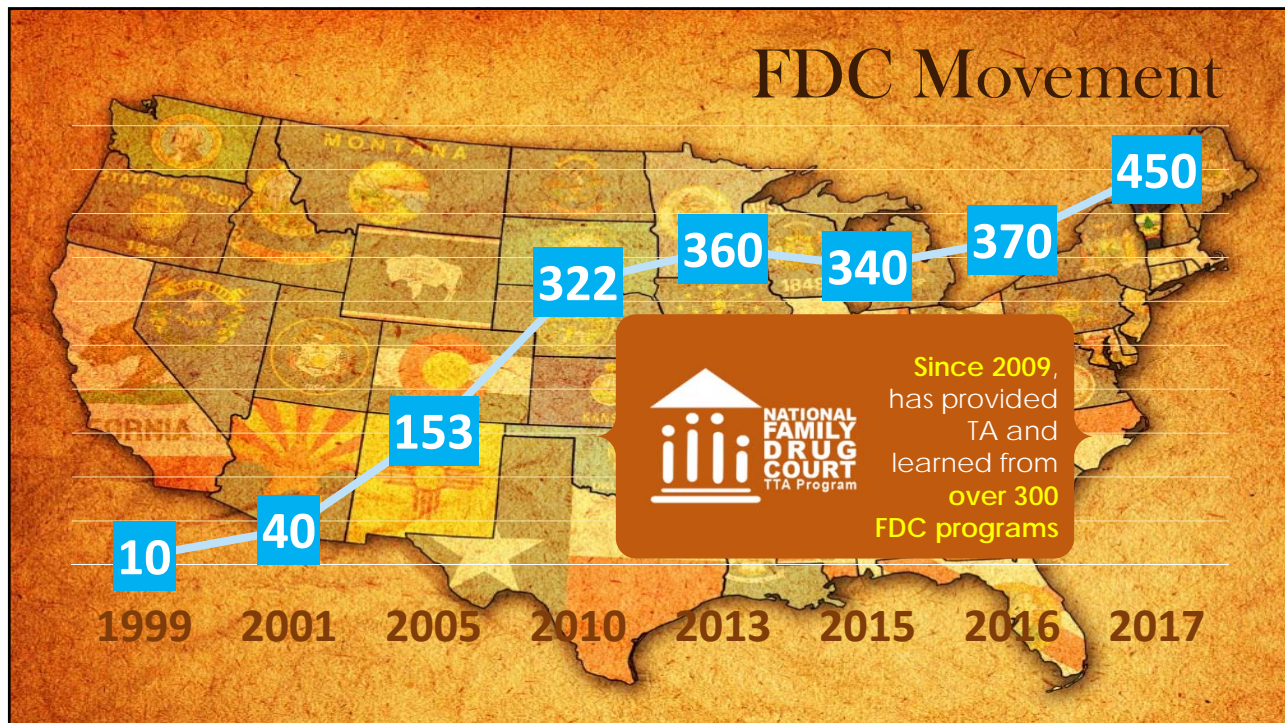
National Strategic Plan  
For Family Drug Courts  
MARCH 2017

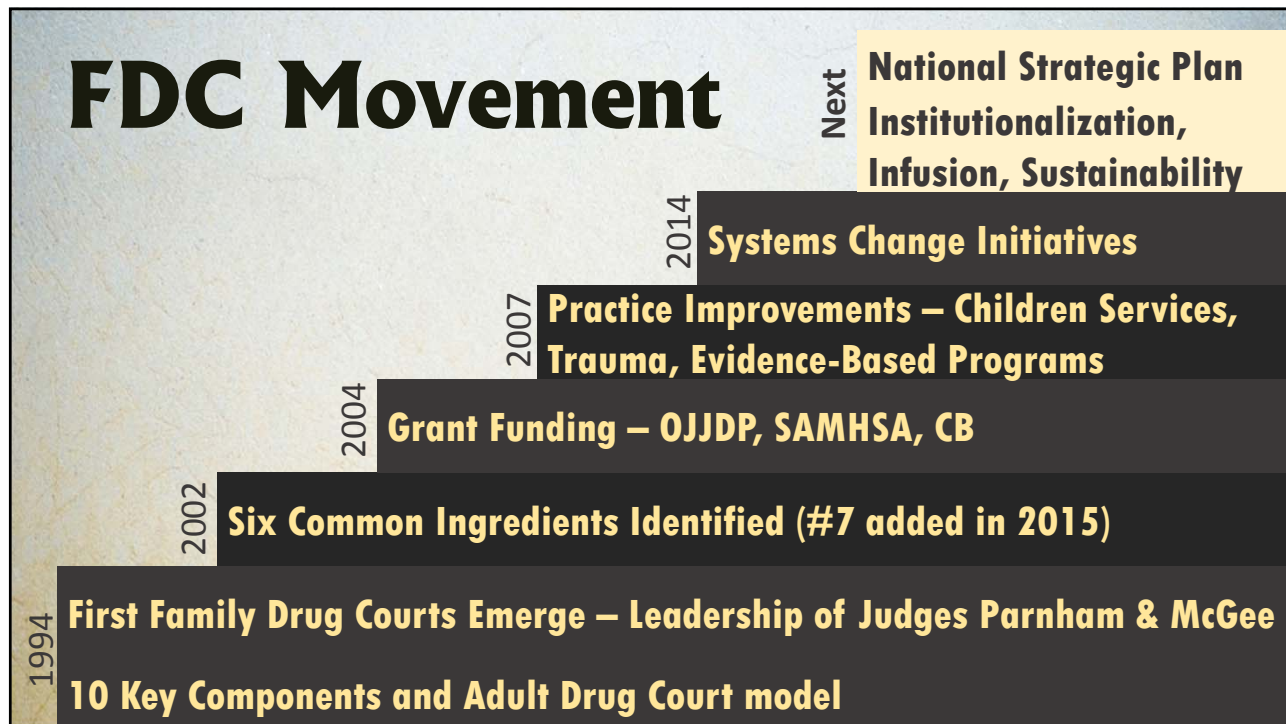
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## Three Goals

- 1 Ensure Quality Implementation
- 2 Expansion of FDC Reach
- 3 Build Evidence Base

<http://www.cffutures.org/report/national-strategic-plan/>





<p><b>GUIDANCE TO STATES:</b></p> <p><i>Recommendations for Developing Family Drug Court Guidelines</i></p>  	<p><b>10 Recommendations Family Drug Court Guidelines</b></p> <ol style="list-style-type: none"> <li>1. Create a shared mission and vision</li> <li>2. Develop interagency partnerships</li> <li>3. Create effective communication protocols for sharing information</li> <li>4. Ensure interdisciplinary knowledge</li> <li>5. Develop protocols for early identification and assessment</li> <li>6. Address the needs of parents</li> <li>7. Address the needs of children</li> <li>8. Garner community support</li> <li>9. Implement funding and sustainability strategies</li> <li>10. Evaluate for shared outcomes and accountability</li> </ol>
<p><a href="http://www.cffutures.org/files/publications/FDC-Guidelines.pdf">http://www.cffutures.org/files/publications/FDC-Guidelines.pdf</a></p>	

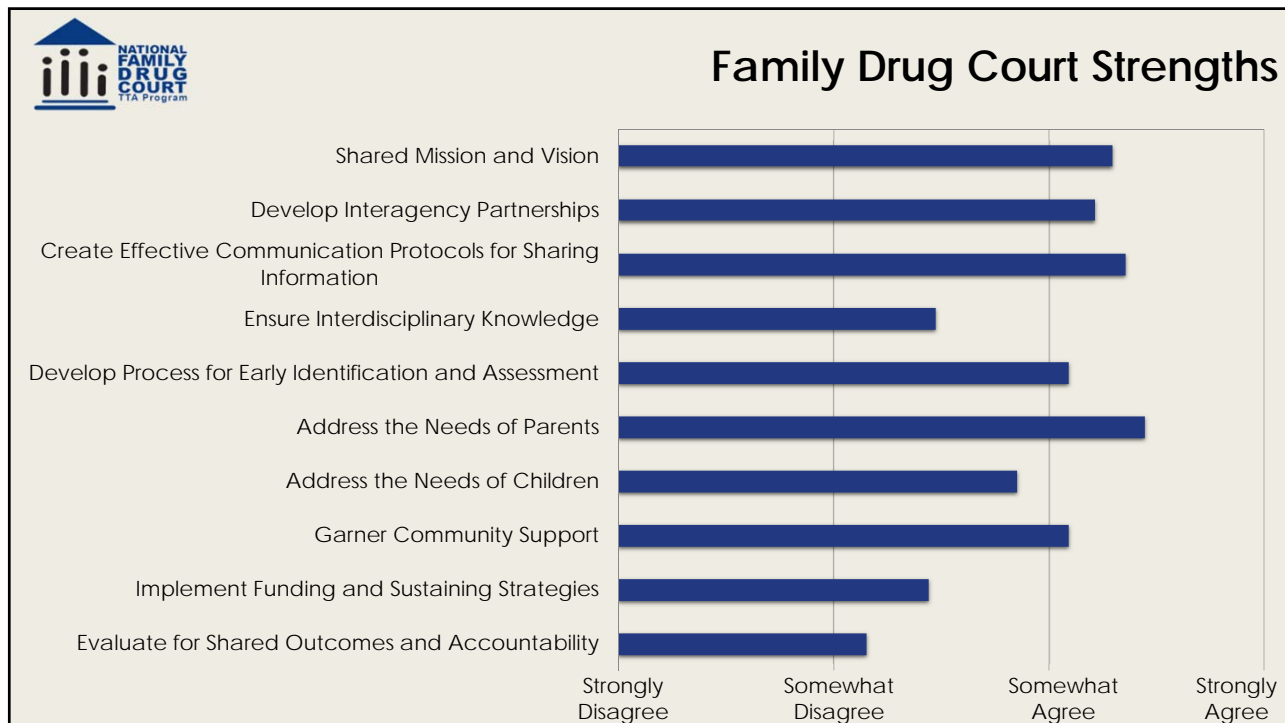


## Achieving for Children and Families



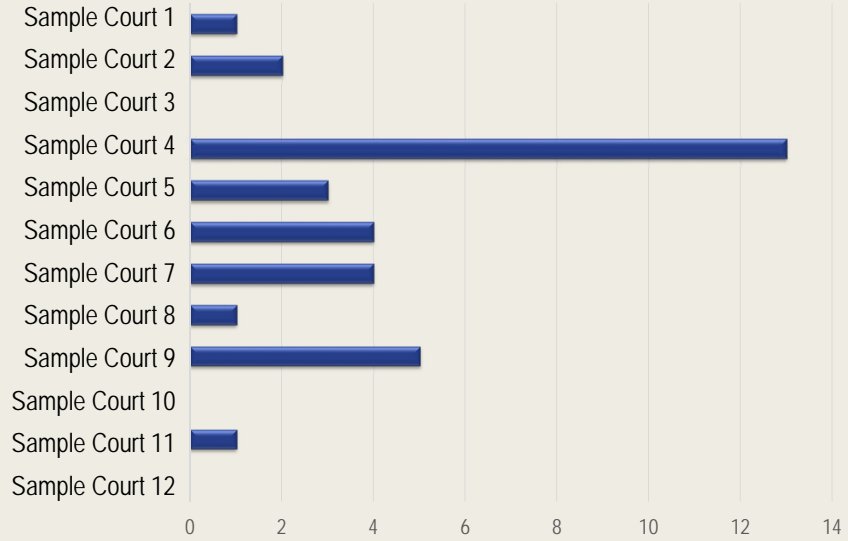
5Rs

- R**ecovery
- R**emain at home
- R**eunification
- R**epeat of maltreatment
- R**e-entry

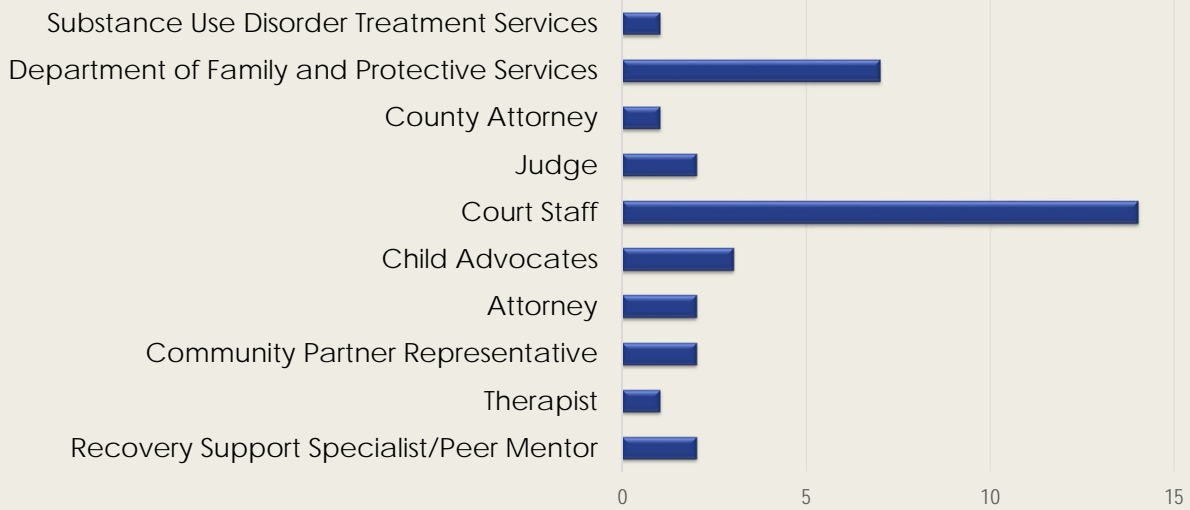




### Which FTC Program are you representing?



### What Partnership are you representing?



# *Family Drug Court Self-Assessment*

## **Recommendation #7**

### **Address needs of children**

FDC Self-Assessment Tool is available at  
<http://www.cffutures.org/ta-tool/family-drug-court-self-assessment-tool/>



## **Scope of Services**

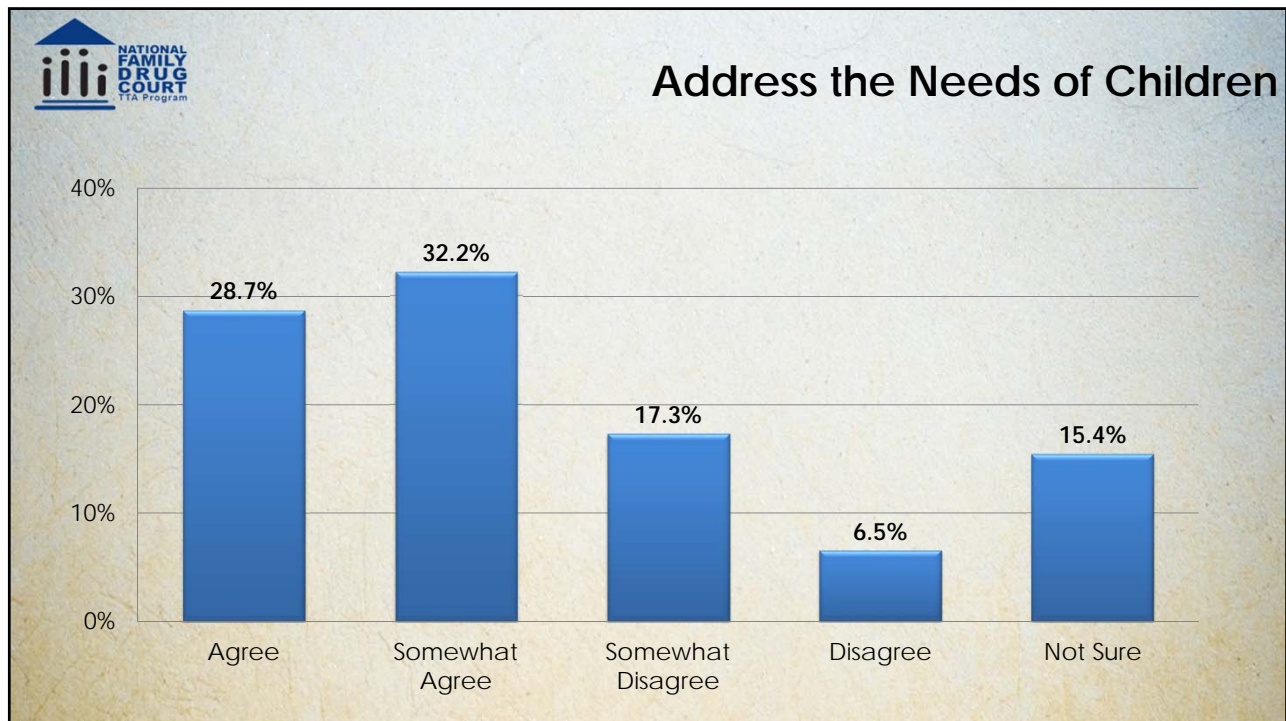
FDCs should provide the scope of services needed to address the effects of parental substance use on family relationships – family based and family – strengthening approaches towards recovery.

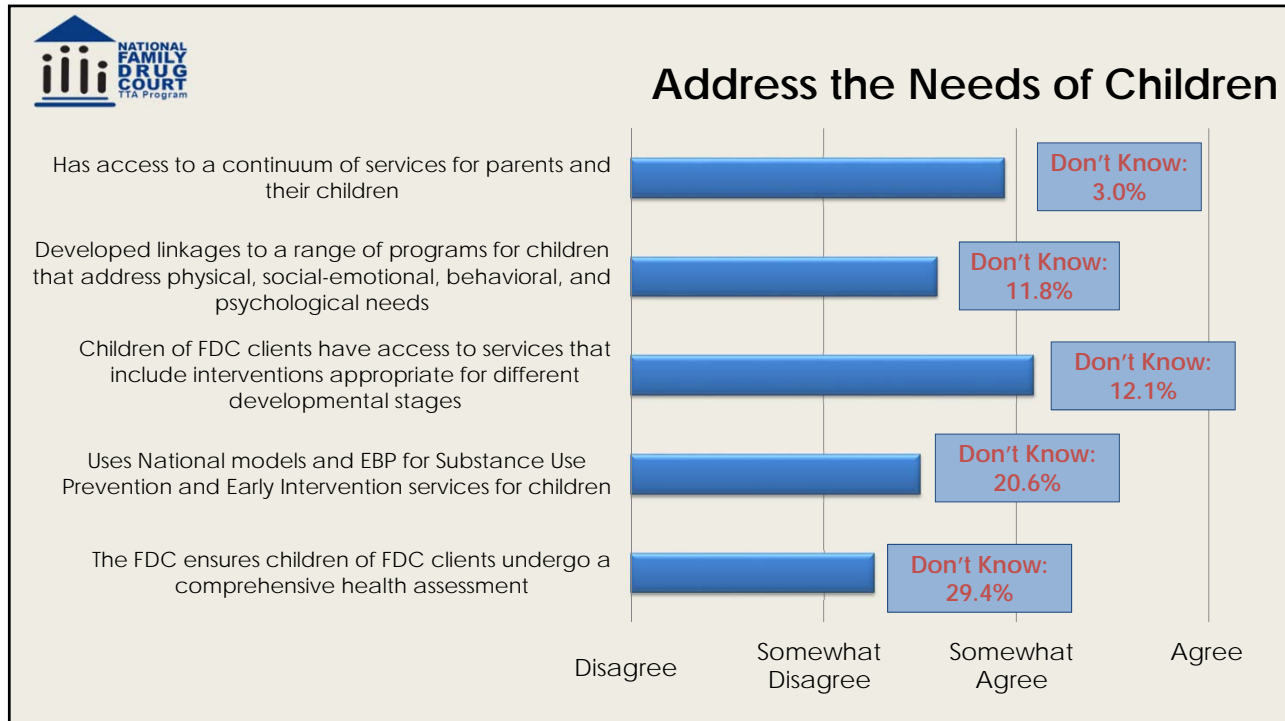
## **Family is the Focus**



## Challenges & Barriers

- Services not integrated
- Implementation of evidence-based programming
- Funding of family-based services
- Lack of partnerships
- Information flow and tracking





# Best Practice Highlight

For quality implementation

- **Develop data dashboard**
- **Ensure information flow within FDC Team and Governance Structure**





## Data-Driven & Problem-Focused: Identifying Opportunities for Change



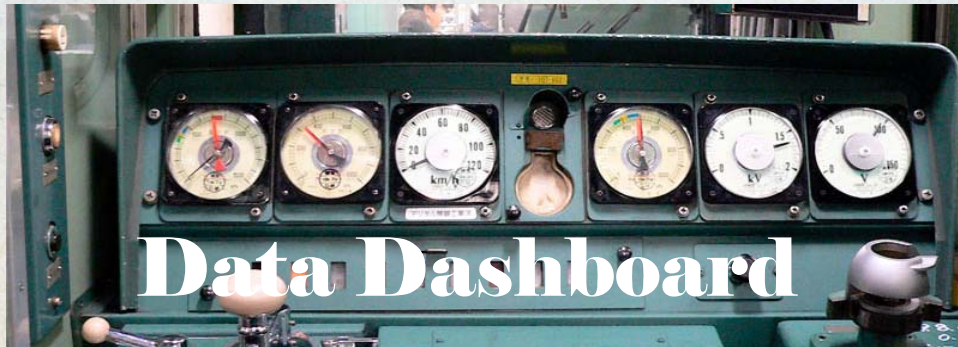
*How do you know.....*

*How will you.....*

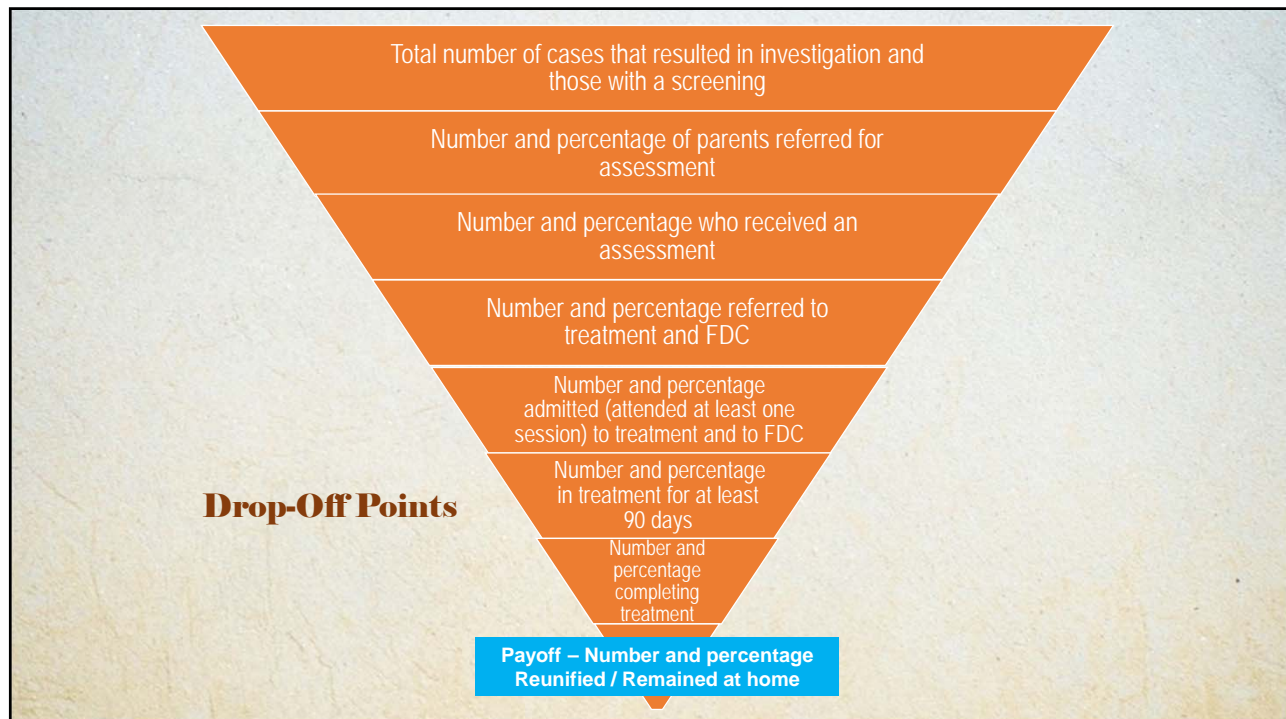


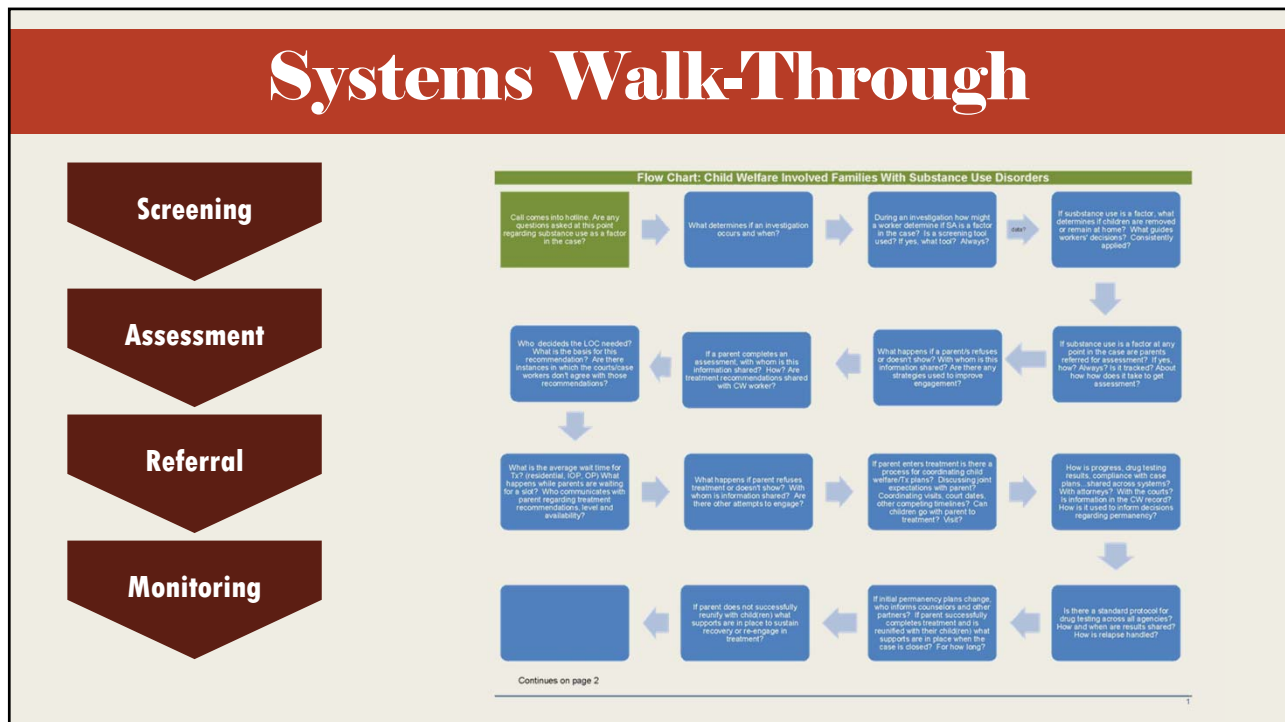
- How are families doing?
- Doing good vs. harm?
- What's needed for families?
- Monitor and improve performance?
- Demonstrate effectiveness?
- Secure needed resources?





- What needles are you trying move?
- What outcomes are the most important?
- Is there shared accountability for “moving the needle” in a measurable way, in FDC and larger systems?
- Who are we comparing to?





## Five Standing Agenda Items for Steering Committee Meetings



1. Data dashboard
2. Systems barriers
3. Funding and sustainability
4. Staff training and knowledge development
5. Outreach efforts

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# FIDELITY FIRST

USING SELF-ASSESSMENT TO IMPACT THE  
EFFECTIVENESS OF A COURT

NOREEN PLUMAGE  
SOUTH DAKOTA DRUG COURT LIAISON  
NOREEN.PLUMAGE@UJS.STATE.SD.US

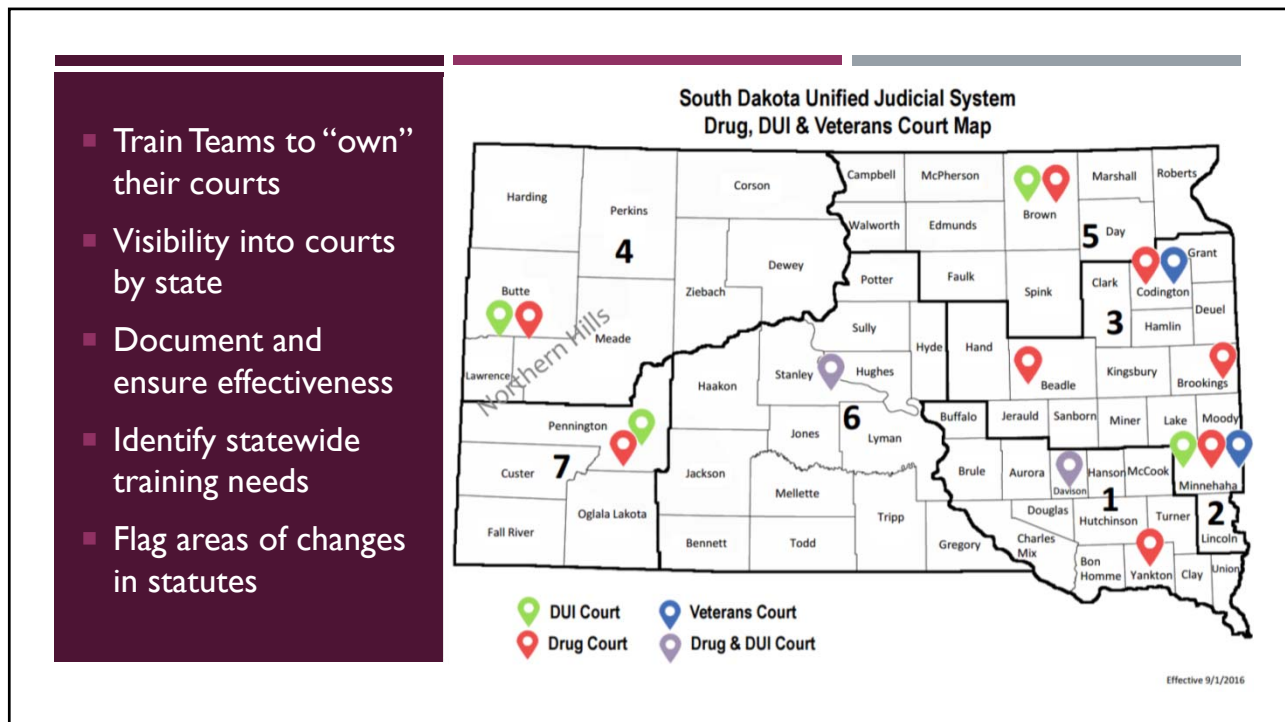


# FOOTBALL!



**"You have to learn the rules of the game and  
then you have to play better than anyone else."  
-Albert Einstein**





NO ONE  
ACES  
DRUG  
COURT



TELL  
THE  
TRUTH



BEST PRACTICES  
FIRST

EXPERTISE  
SECOND

- Only Friday is Friday
- Red is Red
- If you don't know, it is Red



**"You have to learn the rules of the game and then you have to play better than anyone else."**

**-Albert Einstein**

**Best Practice Standard V – Substance Abuse Treatment**

C Team Representation				
One or two agencies are primarily responsible to manage delivery of service				
Mental health representation is clinically trained				
Substance abuse treatment representation is clinically trained				
Core team member treatment providers regularly attend staff meetings and court hearings				
Client information is conveyed to the Drug Court team in an efficient and timely manner				
H Provider Training & Credentials				
Mental health representation is licensed and certified				
Substance abuse treatment representation is licensed and certified				
Treatment providers receive three days of preimplementation training				
Treatment providers receive periodic booster trainings				
Treatment providers receive monthly individualized supervision and feedback				

ONLY FRIDAY IS FRIDAY

**Best Practice Standard I – Target Population**

D Criminal History Disqualifications				
Barring legal prohibitions, potential participants charged with drug dealing are not automatically excluded				
Barring legal prohibitions, potential participants with violent histories are not automatically excluded				
Potential participants are not rejected if empirical evidence indicates a person can be managed safely or effectively in Drug Court				
E Clinical Disqualifications				
Potential participants are not disqualified for co-occurring mental health or medical conditions if adequate treatment is available				
Potential participants are not disqualified for being legally prescribed psychotropic or addiction medications if adequate treatment is available				

RED IS RED



**Best Practice Standard III – Roles and Responsibilities of the Judge**

<b>E Frequency of Status Hearings</b>				
Participants appear before the Drug Court judge no less frequently than every two weeks during the first phase of the program				
Participants' court appearances are reduced gradually after participants have initiated abstinence and are engaged in treatment				
Participants appear before the Drug Court judge no less frequently than every four weeks until they are in the last phase of the program				
<b>F Length of Court Interactions</b>				
The Drug Court judge spends 3-7 minutes interacting with each participant during Drug Court sessions				
The Drug Court judge communicates that the participant's efforts are recognized and valued				

IF YOU DON'T KNOW IT IS **RED**

Due	Best Practice	New/Repeat
<b>November 15</b>	Judges III	New
	Target Population I	New
	Team VIII	New
<b>December 15</b>	Treatment V	New
<b>January 15</b>	Judges III	Repeat
	Target Population I	Repeat
	Team VIII	Repeat
<b>February 15</b>	Treatment V	Repeat
	Testing VII	New
<b>March 15</b>	Incentives IV	New
<b>April 15</b>	Testing VII	Repeat
	Complimentary TXVI	New
<b>May 15</b>	Incentives IV	Repeat
	Census and Caseload IX	New
<b>June 15</b>	Complimentary TXVI	Repeat
	Monitoring and Evaluation X	New
<b>July 15</b>	Historically Disadvantaged II	New
	Census and Caseload IX	Repeat
<b>August 15</b>	Monitoring and Evaluation X	Repeat
	Historically Disadvantaged II	Repeat

## Best Practice Standard IV – Incentives, Sanctions and Therapeutic Adjustments

### INTERNAL WORKING DOCUMENT

#### Best Practice Standard IV - Incentives, Sanctions, and Therapeutic Adjustments

**Objective:**  
Consequences for participants' behavior are predictable, fair, consistent, and administered in accordance with evidence-based principles of effective behavior modification.


**N** Non-Compliant  
**P** Partially Compliant  
**C** Compliant

A Advance Notice				
Policies and procedures concerning the administration of incentives, sanctions and therapeutic adjustments are specified in writing and communicated to Drug Court participants and team members				
Requirements of phase advancement, graduation, and termination from the program are specified in writing and communicated to the Drug Court participants and team members				
Legal and collateral consequences of termination and graduation are specified in writing and communicated to the Drug Court participants and team members				
B Opportunity to Be Heard				
Participants receive a fair opportunity to explain their side of any dispute				
Participants are treated in an equivalent manner to similar participants in similar circumstances				

## STATEWIDE BEST PRACTICE ASSESSMENT

		1	2	3	4	5	6	7	8	9	10	11	12	13	14
I.	Target Population	Yellow	Yellow	Yellow	Yellow	Yellow	Red	Yellow	Red	Yellow	Red	Red	Red	Yellow	Yellow
II.	Historically Disadvantaged Groups	Red	Red	Red	Red	Red	Yellow	Red	Red	Red	Red	Red	Red	Red	Yellow
III.	Roles and Responsibilities of the Judge	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Green	Yellow	Yellow
IV.	Incentives, Sanctions, and Therapeutic Adjustments	Red	Red	Yellow	Yellow	Yellow	Yellow	Red	Yellow	Yellow	Yellow	Red	Yellow	Yellow	Yellow
V.	Substance Abuse Treatment	Red	Yellow	Yellow	Yellow	Red	Red	Red	Red	Yellow	Red	Red	Red	Red	Red
VI.	Complimentary Treatment and Social Services	Yellow	Yellow	Yellow	Yellow	Red	Red	Red	Yellow	Yellow	Red	Red	Red	Yellow	Yellow
VII.	Drug and Alcohol Testing	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Red	Red	Red	Yellow	Yellow
VIII.	Multidisciplinary Team	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Yellow	Red	Red	Yellow	Yellow	Yellow
IX.	Census and Caseload	Green	Yellow	Green	Green	Red	Yellow	Red	Yellow	Yellow	Red	Red	Yellow	Green	Green
X.	Monitoring and Evaluation	Red	Red	Red	Red	Red	Red	Red	Red	Yellow	Red	Red	Red	Red	Yellow

VISIBILITY ● TRAINING ● LEGISLATIVE CHANGE




# ACTION PLANNING

## 2017 Fidelity Action Plan

**Owned by:**  
Drug & DUI Coordinator

**Best Practice Standard V — Substance Abuse Treatment**  
Participants receive substance abuse treatment based on a standardized assessment of their treatment needs. Substance abuse treatment is not provided to reward desired behaviors, punish infractions, or serve other non-clinically indicated goals. Treatment providers are trained and supervised to deliver a continuum of evidence-based interventions that are documented in treatment manuals.



Task	Owner	Due Dates				
		Aug	Sept	Oct	Nov	Dec
<b>How Compass Point can implement MAAEZ &amp; move it forward to follow Best Practice Standards</b>						
Meet with the Director of Compass Point about MAAEZ	Josh		9/5/17			
Discuss MAAEZ with the Compass Point Providers	Brie		9/7/17			
			9/14/17			
Discuss MAAEZ with the Drug Court Team	Brie		9/29/17			
Design a MAAEZ brochure/pamphlet	Steve			10/1/17		
				10/7/17		
Contact local churches to locate a meeting location to hold MAAEZ	Josh			10/15/17		
Begin MAAEZ	Josh				11/1/17	



# SHOW UP • TRY • BE HONEST

[http://ujs.sd.gov/Circuit\\_Court/Drug\\_Court/](http://ujs.sd.gov/Circuit_Court/Drug_Court/)



# Q&A

and Discussion



Advancing the FDC Movement 2017

## Family Drug Court

*Training & Technical Assistance Resources*





# Family Drug Court *National Strategic Plan*

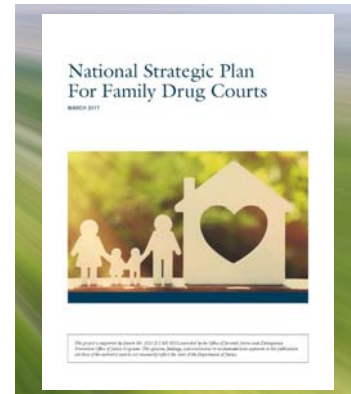
## Vision:

*Every family in the child welfare system affected by parental/caregiver substance use disorders will have timely access to comprehensive and coordinated screening, assessment and service delivery for family's success.*

Expansion of  
FDC Reach

Ensure Quality  
Implementation

Build Evidence Base



<http://www.cffutures.org/report/national-strategic-plan/>

## TRANSITIONING TO A FAMILY CENTERED APPROACH:

Best Practices and Lessons Learned  
from Three Adult Drug Courts



## Transitioning to a Family Centered Approach: Best Practices and Lessons Learned from Three Adult Drugs Courts

To download a copy:

<https://www.ndci.org/wp-content/uploads/2016/05/Transitioning-to-a-Family-Centered-Approach.pdf>

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Family Drug Court Learning Academy Webinar Series

*Join Us!* 2018  
Café Conversations

**Watch | Share | Do**



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Family Drug Court Learning Academy Webinar Series

**Watch Pre-Recorded Webinar**      **Join a Café Conversation**      **Take Next Step**



**Watch | Discuss**      **Share | Learn**      **Do**

Team Discussion Guide      Action Guide

## Family Drug Court *Learning Academy*



- Over 40 webinar presentations
- 5 Learning Communities along FDC development
- Team Discussion Guides for selected presentations



[www.cffutures.org](http://www.cffutures.org)

## Family Drug Court *Blog*



- Webinar Recordings
- FDC Resources
- FDC News



[www.familydrugcourts.blogspot.com](http://www.familydrugcourts.blogspot.com)



## Discussion Guide *Understanding Treatment*



[www.cffutures.org](http://www.cffutures.org)

- For Child Welfare and Court Professionals
- Build stronger partnerships with treatment
- Ensure best treatment fit for families

## Family Drug Court *Online Tutorial*



*Download Flyer in Handouts Panel*

- Self-paced learning
- Five modules cover basic overview of FDC Model
- Certificate of Completion



**Start Learning Today**



[www.fdctutorials.org](http://www.fdctutorials.org)



# Family Drug Court *Guidelines*



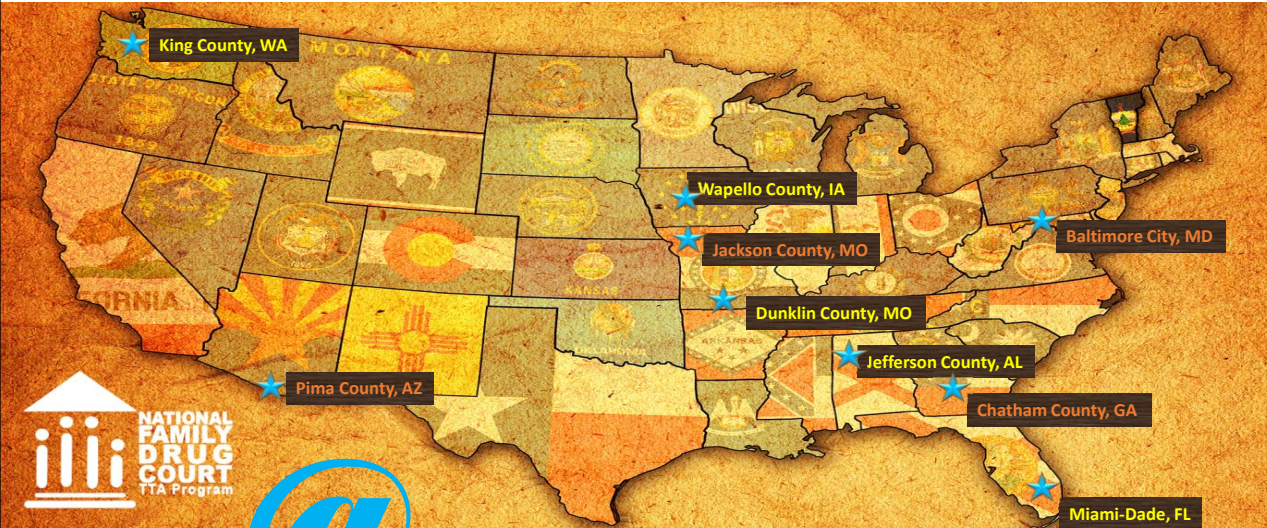
**GUIDANCE TO STATES:**  
*Recommendations for  
Developing Family Drug Court  
Guidelines*

**2<sup>nd</sup> Edition – Research Update**



[www.cffutures.org/fdc/](http://www.cffutures.org/fdc/)

# Family Drug Court *Peer Learning Court Program*



★ King County, WA

★ Wapello County, IA

★ Jackson County, MO

★ Dunklin County, MO


★ Jefferson County, AL

★ Chatham County, GA

★ Pima County, AZ

★ Baltimore City, MD

★ Miami-Dade, FL



[fdc@cffutures.org](mailto:fdc@cffutures.org)

## Family Drug Court *Orientation Materials*



**Discipline Specific**

Child Welfare | AOD Treatment | Judges | Attorneys



[www.cffutures.org/fdc](http://www.cffutures.org/fdc)

## NCSACW Online Tutorials *Cross-Systems Learning*

Understanding Substance Abuse and Facilitating Recovery: A Guide for Child Welfare Workers

Understanding Child Welfare and the Dependency Court: A Guide for Substance Abuse Treatment Professionals

Understanding Substance Use Disorders, Treatment and Family Recovery: A Guide for Legal Professionals

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[www.ncsacw.samhsa.gov/org](http://www.ncsacw.samhsa.gov/org)



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*Thank you!*