

QUARTERLY FINANCIAL STATEMENT CERTIFICATION

In accordance with the requirements of the Trial Court Policies and Procedures Manual (FIN 1.02, Section 6.2.2(c); FIN 4.02, Section 6.3.2; and FIN 5.01, Section 6.72(2)) and to the best of my knowledge, I certify that the attached statements fairly present in all material respects the financial condition of the court for the periods presented.



Signature of Presiding Judge or Court Executive

9-10-12

Date

Alameda

Court

FY11/12 Q4

Fiscal Year and Ending Quarter

QUALIFICATION:

The signature and certification on the previous page represents a qualification of the underlying financial statements due to the AOC's methodology in which revenue and expense accruals are reflected and contained herein. It is our understanding that AOC authorities are aware and acknowledge the shortcoming in their accrual methodology.

QUARTERLY FINANCIAL STATEMENT FOOTNOTES

Alameda
Court

FY11/12 Q4
Fiscal Year and Ending Quarter

FOOTNOTES

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QUARTERLY FINANCIAL STATEMENT
Filled Court Employee Positions (FTEs)

Alameda
 Court

FY11/12 Q4
 Fiscal Year and Ending Quarter

	Total Authorized Court Positions (FTEs) ¹ (OPTIONAL)	Positions (FTEs) Filled			
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Court Employee Positions (FTEs)	800.52	768.45	745.42	745.17	730.52

¹ The Authorized Positions should reflect the amount submitted on the court's Schedule 7A for the reporting fiscal year.

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Balance Sheet
 (Unaudited)

For the month ended Jun									
Fiscal Year 2011/12									2010/11
	Governmental Funds							Total Funds <small>(Info. Purposes Only)</small>	Total Funds <small>(Info. Purposes Only)</small>
	General	Special Revenue		Capital Project	Debt Service				
		Non-Grant	Grant						
ASSETS									
Operations	\$ (2,284,400)	\$ 1,378,243	\$ 0	\$ 28			\$ 38,422	\$ (867,707)	\$ (462,267)
Payroll	\$ 0	\$ 0						\$ 0	\$ 0
Jury									
Revolving	\$ 50,000							\$ 50,000	\$ 50,000
Other	\$ 0							\$ 0	\$ 0
Distribution									
Civil Filing Fees							\$ 0	\$ 0	\$ 0
Trust							\$ 0	\$ 0	\$ 0
Credit Card									
Cash on Hand	\$ 23,140							\$ 23,140	\$ 25,365
Cash with County	\$ 98,772							\$ 98,772	\$ 109,677
Cash Outside of the AOC				\$ 16,853,207			\$ 20,516,330	\$ 37,369,537	\$ 17,040,945
Total Cash	\$ (2,112,487)	\$ 1,378,243	\$ 0	\$ 16,853,235			\$ 20,554,752	\$ 36,673,742	\$ 16,763,719
Short Term Investment	\$ 25,197,195						\$ 1,704,880	\$ 26,902,074	\$ 44,580,886
Investment in Financial Institution									
Total Investments	\$ 25,197,195						\$ 1,704,880	\$ 26,902,074	\$ 44,580,886
Accrued Revenue	\$ 71,394	\$ 1,156						\$ 72,550	\$ 81,127
Accounts Receivable - General	\$ 1,203,918	\$ 208,412	\$ 766,185					\$ 2,178,515	\$ 2,451,682
Dishonored Checks									
Due From Employee									
Civil Jury Fees									
Trust							\$ 51,937	\$ 51,937	
Due From Other Funds	\$ 926,941							\$ 926,941	\$ 1,860,394
Due From Other Governments	\$ 152,316	\$ 54,666	\$ 78,454					\$ 285,437	\$ 147,643
Due From Other Courts	\$ 2,153						\$ 0	\$ 2,153	\$ 861
Due From State	\$ 630,395	\$ 33,992	\$ 9,118					\$ 673,506	\$ 1,719,272
Trust Due To/From									
Distribution Due To/From									
Civil Filing Fee Due To/From									
General Due To/From									
Total Receivables	\$ 2,987,117	\$ 298,226	\$ 853,758				\$ 51,937	\$ 4,191,037	\$ 6,260,979
Prepaid Expenses - General	\$ 150,000							\$ 150,000	\$ 63,030
Salary and Travel Advances									
Counties	\$ 0							\$ 0	\$ 0
Total Prepaid Expenses	\$ 150,000							\$ 150,000	\$ 63,030
Other Assets									
Total Other Assets									
Total Assets	\$ 26,221,824	\$ 1,676,469	\$ 853,758	\$ 16,853,235			\$ 22,311,568	\$ 67,916,853	\$ 67,668,614
LIABILITIES AND FUND BALANCES									
Accrued Liabilities	\$ 5,220,507	\$ 125,657	\$ 69,021					\$ 5,415,184	\$ 13,310,485
Accounts Payable - General	\$ 25,027	\$ 0	\$ 17,286				\$ 0	\$ 42,313	\$ 144,958
Due to Other Funds	\$ 0	\$ 173,304	\$ 753,636				\$ 0	\$ 926,941	\$ 1,860,394
Due to Other Courts									
Due to State	\$ 219,361							\$ 219,361	\$ 7,900
TC145 Liability							\$ 1,743,124	\$ 1,743,124	\$ 2,125,878
Due to Other Governments	\$ 4,844,994		\$ 13,815				\$ 8,902,287	\$ 13,761,096	\$ 8,701,779
AB145 Due to Other Government Agency									
Due to Other Public Agencies									
Sales and Use Tax	\$ 0							\$ 0	\$ 0
Interest							\$ 178	\$ 178	\$ 150
Miscellaneous Accts. Pay. and Accrued Liab.	\$ 3,500							\$ 3,500	\$ 700
Total Accounts Payable and Accrued Liab.	\$ 10,313,388	\$ 298,961	\$ 853,758				\$ 10,645,588	\$ 22,111,696	\$ 26,152,244
Civil									\$ 0
Criminal							\$ 0	\$ 0	\$ 0
Unreconciled - Civil and Criminal									
Trust Held Outside of the AOC							\$ 11,665,979	\$ 11,665,979	\$ 8,706,229
Trust Interest Payable									
Miscellaneous Trust									
Total Trust Deposits							\$ 11,665,979	\$ 11,665,979	\$ 8,706,229
Accrued Payroll	\$ 1,623,224	\$ 20,987						\$ 1,644,211	\$ 1,459,018
Benefits Payable	\$ 373,736							\$ 373,736	\$ 300,067
Deferred Compensation Payable	\$ 52,786							\$ 52,786	\$ 67,787
Deductions Payable	\$ 706,921							\$ 706,921	\$ 819,868
Payroll Clearing	\$ 1,189,178	\$ 31,673						\$ 1,220,851	\$ 1,328,545
Total Payroll Liabilities	\$ 3,945,845	\$ 52,660						\$ 3,998,504	\$ 3,975,287
Revenue Collected in Advance	\$ 31,539		\$ 0					\$ 31,539	\$ 81,986
Liabilities For Deposits	\$ 73,485							\$ 73,485	\$ 64,428
Jury Fees - Non-Interest									
Fees - Partial Payment & Overpayment									
Uncleared Collections	\$ (70,783)							\$ (70,783)	\$ 0
Other Miscellaneous Liabilities									\$ 0
Total Other Liabilities	\$ 34,241		\$ 0					\$ 34,241	\$ 146,414
Total Liabilities	\$ 14,293,474	\$ 351,621	\$ 853,758				\$ 22,311,568	\$ 37,810,420	\$ 38,980,173
Total Fund Balance	\$ 11,928,350	\$ 1,324,848	\$ 0	\$ 16,853,235				\$ 30,106,433	\$ 28,688,441
Total Liabilities and Fund Balance	\$ 26,221,824	\$ 1,676,469	\$ 853,758	\$ 16,853,235			\$ 22,311,568	\$ 67,916,853	\$ 67,668,614

Superior Court of California, County of Alameda
Trial Court Operations Fund
Statement of Revenues, Expenditures and Changes in Fund Balances
(Unaudited)

		For the month ended Jun										
		Fiscal Year 2011/12							2010/11			
		Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)	Current Budget (Annual)	Total Funds (Info. Purposes Only)	Final Budget (Annual)
		Special Revenue		Capital Projects	Debt Service							
General	Non-Grant	Grant										
REVENUES												
State Financing Sources												
Trial Court Trust Fund	\$ 85,021,984	\$ 210,155		\$ 1,810,641				\$ 87,042,780	\$ 86,644,683	\$ 115,069,032	\$ 114,262,849	
Trial Court Improvement Fund	\$ 235,813							\$ 235,813	\$ 261,150	\$ 281,647	\$ 264,451	
Judicial Administration Efficiency & Mod Fund	\$ 711,596							\$ 711,596	\$ 751,827	\$ 681,788	\$ 1,073,832	
Judges' Compensation (45.25)	\$ 670,780							\$ 670,780	\$ 684,000	\$ 669,472	\$ 655,000	
Court Interpreter (45.45)	\$ 3,277,134							\$ 3,277,134	\$ 3,000,000	\$ 2,999,998	\$ 2,750,000	
Civil Coordination Reimbursement (45.55)												
MOU Reimbursements (45.10 and General)	\$ 1,328,257							\$ 1,328,257	\$ 1,343,611	\$ 2,310,516	\$ 2,245,610	
Other Miscellaneous	\$ 2,356,811							\$ 2,356,811	\$ 2,356,811			
	\$ 93,602,375	\$ 210,155		\$ 1,810,641				\$ 95,623,171	\$ 95,042,082	\$ 122,012,453	\$ 121,251,742	
Grants												
AB 1058 Commissioner/Facilitator			\$ 1,889,859					\$ 1,889,859	\$ 1,889,859	\$ 1,678,370	\$ 1,856,321	
Other AOC Grants	\$ 0		\$ 246,588					\$ 246,588	\$ 237,161	\$ 264,265	\$ 261,132	
Non-AOC Grants	\$ 754,021		\$ 836,831					\$ 1,590,852	\$ 1,686,921	\$ 1,178,817	\$ 1,144,553	
	\$ 754,021		\$ 2,973,278					\$ 3,727,299	\$ 3,813,941	\$ 3,121,452	\$ 3,262,006	
Other Financing Sources												
Interest Income	\$ 153,419	\$ 5,029		\$ 28				\$ 158,475	\$ 70,000	\$ 177,560	\$ 133,000	
Investment Income										\$ 0		
Donations												
Local Fees	\$ 907,133	\$ 300,752						\$ 1,207,885	\$ 915,000	\$ 1,044,939	\$ 1,030,000	
Non-Fee Revenues	\$ 154,057							\$ 154,057	\$ 150,000	\$ 330,949	\$ 25,000	
Enhanced Collections												
Escheatment												
Prior Year Revenue	\$ 2,317,577							\$ 2,317,577		\$ 174,710		
County Program - Restricted		\$ 931,015						\$ 931,015	\$ 933,065	\$ 879,759	\$ 785,000	
Reimbursement Other	\$ 270,138							\$ 270,138	\$ 250,000	\$ 239,993	\$ 250,000	
Sale of Fixed Assets												
Other Miscellaneous	\$ 83,221							\$ 83,221	\$ 23,000	\$ 186,861	\$ 15,000	
	\$ 3,885,545	\$ 1,236,796		\$ 28				\$ 5,122,369	\$ 2,341,065	\$ 3,034,772	\$ 2,238,000	
Total Revenues	\$ 98,241,941	\$ 1,446,951	\$ 2,973,278	\$ 1,810,669				\$ 104,472,838	\$ 101,197,088	\$ 128,168,677	\$ 126,751,748	
EXPENDITURES												
Personal Services												
Salaries - Permanent	\$ 49,871,218	\$ 666,171	\$ 1,591,611					\$ 52,129,000	\$ 53,613,819	\$ 52,515,716	\$ 54,703,737	
Temp Help	\$ 1,326,288	\$ 3,552	\$ 71,676					\$ 1,401,516	\$ 1,331,482	\$ 1,520,001	\$ 1,256,382	
Overtime	\$ 72,534		\$ 7,192					\$ 79,726	\$ 107,000	\$ 79,701	\$ 159,127	
Staff Benefits	\$ 26,891,928	\$ 272,895	\$ 637,128					\$ 27,801,951	\$ 29,733,717	\$ 27,017,596	\$ 28,690,858	
	\$ 78,161,969	\$ 942,618	\$ 2,307,607					\$ 81,412,193	\$ 84,786,018	\$ 81,133,014	\$ 84,810,104	
Operating Expenses and Equipment												
General Expense	\$ 3,834,738	\$ 41,956	\$ 65,896					\$ 3,942,590	\$ 3,264,388	\$ 1,902,094	\$ 2,206,702	
Printing	\$ 579,419							\$ 579,419	\$ 370,000	\$ 348,771	\$ 405,000	
Telecommunications	\$ 1,643,057							\$ 1,643,057	\$ 1,834,500	\$ 1,107,720	\$ 1,250,000	
Postage	\$ 1,181,931		\$ 24					\$ 1,181,955	\$ 775,000	\$ 718,596	\$ 671,000	
Insurance	\$ 25,384							\$ 25,384	\$ 30,000	\$ 25,521		
In-State Travel	\$ 69,289		\$ 18,854					\$ 88,144	\$ 171,105	\$ 125,355	\$ 85,065	
Out-of-State Travel	\$ 155							\$ 155				
Training	\$ 106,418		\$ 6,479					\$ 112,897	\$ 125,950	\$ 39,184	\$ 111,506	
Security Services	\$ 2,209,709							\$ 2,209,709		\$ 21,933,089	\$ 21,075,000	
Facility Operations	\$ 2,382,084							\$ 2,382,084	\$ 1,997,000	\$ 1,818,888	\$ 3,213,790	
Utilities												
Contracted Services	\$ 5,659,614	\$ 510,652	\$ 501,007					\$ 6,671,274	\$ 7,945,071	\$ 5,938,324	\$ 5,985,385	
Consulting and Professional Services	\$ 1,982,671							\$ 1,982,671	\$ 1,329,700	\$ 2,716,362	\$ 2,952,000	
Information Technology	\$ 1,631,327							\$ 1,631,327	\$ 1,515,500	\$ 1,162,193	\$ 1,232,000	
Major Equipment	\$ 174,010							\$ 174,010	\$ 73,000	\$ 165,124	\$ 455,000	
Other Items of Expense	\$ 39,353							\$ 39,353	\$ 40,000	\$ 43,405	\$ 35,000	
	\$ 21,519,159	\$ 552,608	\$ 592,260					\$ 22,664,027	\$ 19,471,214	\$ 38,044,626	\$ 39,677,448	
Special Items of Expense												
Grand Jury												
Jury Costs	\$ 865,683							\$ 865,683	\$ 950,000	\$ 850,067	\$ 890,000	
Judgements, Settlements and Claims	\$ 10,661							\$ 10,661				
Debt Service	\$ 55,182							\$ 55,182				
Other												
Capital Costs												
Internal Cost Recovery	\$ (365,066)		\$ 365,066					\$ 0	\$ 0	\$ 0	\$ 0	
Prior Year Expense Adjustment	\$ (1,952,900)							\$ (1,952,900)		\$ (189,445)		
	\$ (1,386,441)		\$ 365,066					\$ (1,021,375)	\$ 950,000	\$ 660,622	\$ 890,000	
Total Expenditures	\$ 98,294,687	\$ 1,495,226	\$ 3,264,933	\$ 1,810,669				\$ 103,054,846	\$ 105,207,232	\$ 119,838,261	\$ 125,377,552	
Excess (Deficit) of Revenues Over Expenditures	\$ (52,747)	\$ (48,275)	\$ (291,655)	\$ 1,810,669				\$ 1,417,992	\$ (4,010,144)	\$ 8,330,416	\$ 1,374,196	
Operating Transfers In (Out)	\$ (15,732,527)		\$ 689,961	\$ 15,042,566				\$ 0	\$ 0	\$ 0	\$ 0	
Fund Balance (Deficit)												
Beginning Balance (Deficit)	\$ 27,713,624	\$ 1,373,123	\$ (398,306)					\$ 28,688,441	\$ 28,688,441	\$ 20,358,025	\$ 20,358,025	
Ending Balance (Deficit)	\$ 11,928,350	\$ 1,324,848	\$ 0	\$ 16,853,235				\$ 30,106,433	\$ 24,678,297	\$ 28,688,441	\$ 21,732,221	

Superior Court of California, County of Alameda
 Trial Court Operations Fund
 Statement of Program Expenditures
 (Unaudited)

For the month ended Jun										
Fiscal Year 2011/12									2010/11	
	Personal Services	Operating Expenses and Equipment	Special Items of Expense	Capital Costs	Internal Cost Recovery	Prior Year Expense Adjustment	Total Actual Expense	Current Budget (Annual)	Total Actual Expense	Final Budget (Annual)
PROGRAM EXPENDITURES:										
Judges & Courtroom Support	\$ 28,830,166	\$ 3,561,990	\$ 26,922			\$ (14,252)	\$ 32,404,825	\$ 40,532,348	\$ 34,796,690	\$ 40,372,840
Traffic & Other Infractions	\$ 4,831,330	\$ 1,635,205					\$ 6,466,535	\$ 6,523,990	\$ 5,730,643	\$ 6,898,820
Other Criminal Cases	\$ 6,919,731	\$ 772,456	\$ 2,028			\$ (2,152)	\$ 7,692,064	\$ 8,393,225	\$ 8,548,489	\$ 8,850,268
Civil	\$ 6,268,721	\$ 82,268	\$ 7,257			\$ (15,636)	\$ 6,342,610	\$ 6,686,520	\$ 7,269,086	\$ 8,941,990
Family & Children Services	\$ 7,264,850	\$ 710,829	\$ 8,028			\$ (4,500)	\$ 7,979,206	\$ 8,235,447	\$ 8,235,643	\$ 6,255,096
Probate, Guardianship & Mental Health Services	\$ 1,630,978						\$ 1,630,978	\$ 2,093,280	\$ 1,873,955	\$ 2,137,686
Juvenile Dependency Services	\$ 153,992	\$ 57,084					\$ 211,076	\$ 181,900	\$ 1,249,363	\$ 1,199,573
Juvenile Delinquency Services	\$ 1,603,792	\$ 512					\$ 1,604,304	\$ 1,580,390	\$ 1,501,842	\$ 1,503,172
Other Court Operations	\$ 2,876,914	\$ 315,893	\$ 21,607				\$ 3,214,415	\$ 2,265,700	\$ 2,669,352	\$ 2,585,200
Court Interpreters	\$ 3,186,402	\$ 658,935					\$ 3,845,337	\$ 3,688,610	\$ 3,588,968	\$ 3,210,214
Jury Services	\$ 816,792	\$ 1,040,167	\$ 865,683				\$ 2,722,643	\$ 2,466,320	\$ 2,656,312	\$ 2,751,418
Security	\$ 2,954,553	\$ 2,395,592				\$ (1,897,353)	\$ 3,452,792	\$ 3,370,354	\$ 25,087,537	\$ 24,912,304
Trial Court Operations Program	\$ 67,338,220	\$ 11,230,931	\$ 931,526			\$ (1,933,893)	\$ 77,566,784	\$ 86,018,084	\$ 103,207,881	\$ 109,618,581
Enhanced Collections										
Other Non-Court Operations	\$ 885,775						\$ 885,775	\$ 1,124,242	\$ 830,153	\$ 785,000
Non-Court Operations Program	\$ 885,775						\$ 885,775	\$ 1,124,242	\$ 830,153	\$ 785,000
Executive Office	\$ 1,896,591	\$ 9,340			\$ 0	\$ (228)	\$ 1,905,704	\$ 2,109,647	\$ 2,037,147	\$ 1,968,179
Fiscal Services	\$ 2,567,865	\$ 582,729			\$ 0	\$ (4,500)	\$ 3,146,093	\$ 3,251,945	\$ 3,074,780	\$ 2,849,987
Human Resources	\$ 1,361,836	\$ 213,786			\$ 0	\$ 3,358	\$ 1,578,980	\$ 1,659,243	\$ 1,397,145	\$ 1,442,795
Business & Facilities Services	\$ 653,975	\$ 6,741,383			\$ 0	\$ (7,054)	\$ 7,388,304	\$ 4,695,000	\$ 2,912,480	\$ 2,169,727
Information Technology	\$ 6,707,930	\$ 3,885,859			\$ 0	\$ (10,584)	\$ 10,583,205	\$ 6,349,071	\$ 6,378,675	\$ 6,543,283
Court Administration Program	\$ 13,188,197	\$ 11,433,097			\$ 0	\$ (19,008)	\$ 24,602,286	\$ 18,064,906	\$ 15,800,227	\$ 14,973,971
Expenditures Not Distributed or Posted to a Program										
Prior Year Adjustments Not Posted to a Program										
Total	\$ 81,412,193	\$ 22,664,027	\$ 931,526		\$ 0	\$ (1,952,900)	\$ 103,054,846	\$ 105,207,232	\$ 119,838,261	\$ 125,377,552

Constraints on Fiscal Year-End Fund Balance - Summary

Superior Court - Alameda
as of June 30, 2012

Classification	Governmental Funds					Proprietary Funds	Fiduciary Funds	Total Funds (Info. Purposes Only)
	General	Special Revenue		Capital Project	Debt Service			
		Non-Grant	Grant					
Nonspendable	-	-	-	-	-	-	-	-
Restricted	-	1,324,848	-	13,344,669	-	-	-	14,669,517
Committed	-	-	-	-	-	-	-	-
Assigned	11,928,350	-	-	3,508,566	-	-	-	15,436,916
Unassigned	-	-	-	-	-	N/A	N/A	-
Total	\$ 11,928,350	\$ 1,324,848	\$ -	\$ 16,853,235	\$ -	\$ -	\$ -	\$ 30,106,433