



Judicial Council of California
ADMINISTRATIVE OFFICE OF THE COURTS

FINANCE DIVISION

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January 10, 2008

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Mr. Gregory P. Schmidt
Secretary of the Senate
State Capitol, Room 400
Sacramento, California 95814

Mr. E. Dotson Wilson
Chief Clerk of the Assembly
State Capitol, Room 3196
Sacramento, California 95814

Re: Annual Report of Trial Court Expenditures for Fiscal Year 2006–2007, as Required by
Government Code Section 68502.5

The Administrative Office of the Courts (AOC) respectfully submits the attached report of trial court expenditures for fiscal year (FY) 2006–2007 (including accruals). In conformance with the provisions of Government Code section 68502.5(b), data is provided at the program component level. The report reflects information submitted by state trial courts as part of the year-end fiscal reporting process. The AOC has summarized this information only for presentation in this report and does not certify its completeness or accuracy.

Trial court expenditures are being reported for the following elements and components within the Trial Court Operations and Administration programs (for definitions, see attachment 1):

Trial Court Operations Program

Adjudication

- Judges and Courtroom Support

Case-Type Services

- Criminal
- Civil
- Family and Children

Operational Support

- Other Support Operations
- Court Interpreters
- Jury Services
- Security

Non-Court Operations Program

- Enhanced Collections
- Other Non-Court Operations

Court Administration Program

- Executive Office
- Fiscal Services
- Human Resources
- Business and Facilities Services
- Information Technology

Funding Sources

Trial court funding is derived from two primary sources: Trial Court Trust Fund (TCTF) and Non-Trial Court Trust Fund (Non-TCTF) monies. TCTF monies consist primarily of state General Fund transfers, county remittances of Maintenance of Effort revenues and expenditures, as well as civil filing fees and criminal fines remitted to the state. Courts that are allocated funding from the Trial Court Improvement Fund and Judicial Administration Efficiency and Modernization Fund report these funds as TCTF monies. Non-TCTF monies consist primarily of grants and court-designated fines, fees, and forfeitures.

Summary

- Total expenditures: \$2,741,152,396 (Attachment 2)
- Trial Court Trust Fund (TCTF) expenditures: \$2,587,136,809 (Attachment 3)
- Non-Trial Court Trust Fund (Non-TCTF) expenditures: \$154,015,588 (Attachment 4)
- TCTF expenditures accounted for 94 percent and Non-TCTF expenditures accounted for 6 percent of total expenditures.
- At the element level, Judges & Courtroom Support¹ accounted for 28 percent, Case-Type Services (Criminal, Civil, and Family and Children) for 26 percent, the five Court Administration elements (Executive Office, Fiscal Services, Human Resources, Business and Facilities Services, and Information Technology) for 20 percent, Non-Court Operations

¹ Only 3 of the 58 trial courts report judges' salaries and benefits. Judges in 55 trial court systems are paid directly by the State Controller's Office.

(Enhanced Collections and Other Non-Court Operations) for 2 percent, and Operational Support (Other Support Operations, Court Interpreters, Security, and Jury Services) for 24 percent of total expenditures.

- At the component level below the Case-Type Services element, Family & Children accounted for 10 percent, Criminal for 10 percent, and Civil for 6 percent of total expenditures. Below the Operational Support element, Other Support Operations accounted for 3 percent, Court Interpreters for 3 percent, Jury Services for 2 percent, and Security for 16 percent of total expenditures.

If you have any questions related to this report, please contact me at 415-865-7584 or stephen.nash@jud.ca.gov.

Sincerely,



Stephen Nash.
Director, Finance Division

SN/SC

Attachments

cc: Members of the Judicial Council
William C. Vickrey, Administrative Director of the Courts
Ronald G. Overholt, AOC Chief Deputy Director
Keely Martin-Bosler, Consultant, Senate Budget & Fiscal Review Committee
Seren Taylor, Director, Senate Republican Fiscal Office
Janus Norman, Consultant, Assembly Budget Committee
Allan Cooper, Consultant, Assembly Republican Fiscal Office
Drew Soderborg, Fiscal and Policy Analyst, Legislative Analyst's Office
Matt Osterli, Budget Analyst, Department of Finance
AOC Regional Administrative Directors
Curtis L. Child, Director, AOC Office of Governmental Affairs
Marcia Caballin, Assistant Director, AOC Finance Division
Ruben Gomez, Senior Manager, AOC Finance Division
Eraina Ortega, Manager, AOC Office of Governmental Affairs

Attachment 1

Element and Component Definitions

Element or Component	Definitions
Judges and Courtroom Support	<p>Includes salaries, benefits, and public agency retirement contributions for the following:</p> <ul style="list-style-type: none"> ▪ Judges ▪ Temporary judges ▪ Subordinate judicial officers (i.e., court commissioners and referees) <p>Includes costs related to the assignment of active and retired judges (assigned judges) to expedite judicial business and to equalize judicial workload.</p> <p>Includes salaries, benefits and other resource costs of personnel that directly support case adjudication as follows:</p> <ul style="list-style-type: none"> ▪ Courtroom clerks ▪ Secretarial support ▪ Attorneys providing legal research and other legal services to support case adjudication ▪ Court reporters, including transcript costs ▪ Bailiffs, bailiff supervisors (who are least .25 FTE dedicated supervisors of bailiffs), and court attendants providing in-courthouse custody to secure housing and movement of prisoners within the courtroom and court facility <p>Does not include supervisors of courtroom staff, unless performing in court operations.</p>
Case Type Services	<p>The Case Type Services element provides essential supportive programs and services that directly assist the court and parties in the adjudication and resolution of cases. This program element ensures the public's access to a safe, fair, and comprehensible court system.</p>
Criminal	<p>The services and activities—separate from and in addition to Judges and Courtroom Support—necessary to support criminal case processing.</p> <p>Includes costs for counter clerks processing traffic matters.</p>
Civil	<p>Services and activities—separate from and in addition to Judges and Courtroom Support—necessary to support civil case processing related to actions other than family and dependency cases. Also includes services and activities necessary to support a specialized civil calendar, provide assistance with the process and forms for small claims (i.e., some civil matters with a value of \$7,500 or less), provide dispute resolution assistance to the public, and any auxiliary programs or services that do not fit in any of the above categories.</p> <p>Includes costs for counter clerks processing filings related to civil cases.</p>
Family & Children	<p>Services and activities—separate from and in addition to Judges and Courtroom Support—necessary to support family and dependency case processing include the following:</p> <ul style="list-style-type: none"> ▪ Court-appointed counsel for children and parents in juvenile dependency proceedings ▪ Dependency mediation ▪ Psychiatric evaluations ▪ Costs associated with the Court Appointed Special Advocate program
Operational Support	<p>Activities that provide non-case-type specific support for court operations, including the management of files and calendars of the courts.</p>
Other Support Operations	<p>Staff and supervisory positions that are not dedicated to a specific courtroom or case-type services (i.e., criminal, civil, or family and children). Examples includes staff who:</p> <ul style="list-style-type: none"> ▪ Perform activities that provide public access to the courts, including but not limited to staff who are dedicated to serving the public at the public counter or on the telephone and who are assigned to exhibit rooms

Element or Component	Definitions
	<ul style="list-style-type: none"> ▪ Manage files and calendars ▪ Store and retrieve court records ▪ Perform clerical functions for the trial court's appellate activities
Court Interpreters	<p>Includes services performed by Certified and Non-certified Contract Interpreters, Staff Interpreters, and Interpreter Coordinators, defined as follows:</p> <ul style="list-style-type: none"> ▪ Certified and non-certified Contract Interpreters are not court employees. Their services are provided on a per diem basis and funded as professional and consultant services. ▪ Staff Interpreters are regular employees of the court and receive salary and benefits. ▪ Interpreter Coordinators perform the daily assignment of qualified court interpreters.
Jury Services	<p>This program ensures the right to a jury trial through the management of juror summons, selection, facilities in the court, and compensation.</p> <p>Under TCTF include only criminal but <u>not</u> civil and grand jury costs for:</p> <ul style="list-style-type: none"> ▪ Jury Commissioners, who are responsible for collecting lists of qualified prospective jurors, submitting lists to the court, and managing the jury program ▪ Jury fees, jury coordination, child and dependent care for jurors, and jury sequestration
Security	<p>Includes security services provided by the county sheriff, marshals, private contract security personnel (i.e., Guardsmark), and court attendants whose primary purpose is court security.</p> <p>Includes the following types of security costs for which the court was paying as of January 1, 2003, as included in Senate Bill 1396 (Superior Court Law Enforcement Act of 2002):</p> <ul style="list-style-type: none"> ▪ Personnel that provide courtroom and internal security ▪ Personnel that provide entrance screening security ▪ Personnel that provide in-courthouse custody to secure housing and movement of prisoners within the courtroom and court facility ▪ Personnel, up to the level of captain, that provide supervision/management of personnel providing court security at least 0.25 FTE ▪ Purchase and maintenance of security equipment
Enhanced Collections	<p>Includes activities performed to collect debt related to fines, fees, penalties, forfeitures, etc.</p> <p>Includes costs for the following:</p> <ul style="list-style-type: none"> ▪ Personnel that perform debt collection activities ▪ Services provided by contract debt collection agencies ▪ Operating expenses associated with debt collection activities
Other Non-Court Operations	<p>Includes non-court operation activities and services, such as grand jury, pre-trial services, small claims advisor, and dispute resolution programs</p>
Executive Office	<p>The primarily responsibility of the Executive Office is the direction of all administrative activities for the trial courts, including the following:</p> <ul style="list-style-type: none"> ▪ Court Executive/Administrative Officer ▪ Deputy Court Executive or Court Administrative Officer ▪ Secretarial and administrative support for the above. <p>Includes costs for services provided to judicial officers.</p>
Fiscal Services	<p>Includes the Chief Financial Officer and personnel associated with the development of court budgets, including accounting and all aspects of financial management.</p>
Human Resources	<p>Includes the following:</p> <ul style="list-style-type: none"> ▪ Personnel Director, Training Officer, staff responsible for the recruitment and retention of qualified court employees, and staff charged with employee relations, including labor relations and collective bargaining ▪ Includes costs relating to in-house education and training for judicial officers and court staff (CJER, local programs, and all other providers, as well as consultant costs)
Business and Facilities Services	<p>Activities and services include the following:</p> <ul style="list-style-type: none"> ▪ Personnel and costs associated with building maintenance, providing business services and supplies, and procurement

Element or Component	Definitions
	<ul style="list-style-type: none"> ▪ Telecommunication costs ▪ Contractual perimeter security services to control facility access ▪ Costs associated with legal and contractual services, intergovernmental charges and other charges associated with the courts, and any other administrative costs ▪ Activities associated with the management of court fixed assets
Information Technology	<p>The ability of trial courts to provide adequate services to the public depends upon effective and timely case processing and provision of case data. Adequate court management systems allow courts to comply with existing statutes, rules of court, and other mandates concerning public access to court information, records management, and electronic filing. In addition, adequate case management systems enable the courts to share data and information with local, state, and federal partners in the justice system.</p> <p>Includes costs for the following:</p> <ul style="list-style-type: none"> ▪ Chief Information Officer and support personnel ▪ Computer equipment and activities needed to support the business of the court, including Case Management Systems, Criminal Justice Information Systems, and electronic communication between law enforcement agencies and other courts. ▪ Technology consulting services ▪ Technology training activities for judicial and non-judicial employees

FY 2006-2007 Quarterly Financial Statement Expenditures at the Program Component Level and Higher
Combined Trial Court Trust Fund* and Non-Trial Court Trust Fund Expenditures
*Includes Trial Court Improvement Fund and Judicial Administration Efficiency and Modernization Fund expenditures

Table with columns: Court, Adjudication Element, Case-Type Services Element, Trial Court Operations Program, Non-Court Operations Program, Court Administration Program, and Total. Rows include courts like Alameda, Alameda, Alameda, etc., and a final 'Statewide' row.

FY 2006-2007 Quarterly Financial Statement Expenditures at the Program Component Level and Higher
Includes Trial Court Improvement Fund and Judicial Administration Efficiency and Modernization Fund expenditures

Table with columns for Court, Adjudication Element, Case-Type Services Element, Trial Court Operations Program, Operational Support Element, Non-Court Operations Program, and Court Administration Program. Rows list various courts and categories like Judges and Courtroom Support, Criminal, Civil, Family and Children, etc., with corresponding financial values.

FY 2006-2007 Quarterly Financial Statement Expenditures at the Program Component Level and Higher
Non-Trial Court Trust Fund Expenditures

Court	Trial Court Operations Program										Non-Court Operations Program										Court Administration Program												
	Adjudication Element			Case-Type Services Element			Operational Support Element				Security			Other Non-Court Operations				Executive Office			Fiscal Services			Human Resources			Business & Facilities Services			Information Technology			Total
	Judges and Courtroom Support	Criminal	Civil	Family and Children	Other Support Operations	Court Interpreters	Jury Services	Security	Enhanced Collections	Other Non-Court Operations	Executive Office	Fiscal Services	Human Resources	Business & Facilities Services	Information Technology	Total																	
Alameda	76,796	971,243	3,239	4,965	28,726	1,270	177,121	1,324	-	140,886	922	8,025	4,226	924,176	837,713	3,180,632																	
Alpine	3,801	-	-	-	-	-	440	-	-	-	-	-	-	-	-	4,241																	
Amador	2,018	4,958	3,369	510	7,879	-	2,654	-	-	-	1,477	28,111	1,176	6,086	106,648	624,362																	
Butte	138	-	-	45,643	-	-	2,984	-	-	-	250	2,761	4,500	4,082	-	209,310																	
Colusa	-	-	-	-	-	-	-	-	-	1,179	403	-	-	-	-	1,582																	
Contra Costa	-	267,707	-	40,124	68,137	-	37,787	-	61,340	282	13,401	(2,431)	-	1,156,610	35,446	1,678,404																	
Del Norte	384	-	-	-	-	-	-	-	-	-	-	-	-	-	-	384																	
El Dorado	(1,554)	74,300	184,214	444	-	-	17,792	-	13,800	12,000	-	19,418	-	219,950	44,185	1,779,934																	
Fresno	9,214	23,389	5,669	17,720	4,253	773	1,357	462	1,151,970	-	2,831	3,545	2,838	4,153	1,415	77,158																	
Glenn	-	-	-	(14)	(299)	-	29,895	-	-	-	-	-	-	-	-	29,582																	
Humboldt	77	55,992	7,473	12,200	119,722	-	11,800	-	55,674	71,518	23,316	-	-	-	-	357,772																	
Imperial	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,750																	
Inyo	450,014	416,895	68,078	37,024	40,051	-	55,502	-	1,772,382	-	12,367	4,295	-	220,373	328,622	3,393,295																	
Kern	2,536	26	-	-	-	-	5,756	-	135,684	8,400	-	-	90	144,464	-	303,568																	
Lake	-	-	-	-	-	-	-	-	43,663	-	-	-	-	23,969	-	5,756																	
Lassen	2,033	-	-	-	-	-	3,135	-	-	-	-	-	-	72,800	-	72,800																	
Los Angeles	11,155,060	9,022,084	-	4,764,334	4,141,690	-	1,417,687	-	2,218,202	-	8,867	8,867	86,356	194,334	4,828,195	37,750,472																	
Madera	-	-	-	-	31,000	-	16,519	-	-	-	5,999	-	-	16,159	64,363	222,397																	
Main	77	-	372	-	-	-	27,078	-	-	-	-	-	-	-	-	27,526																	
Mariposa	529	-	152	-	5	-	181	-	-	-	-	-	-	5,282	-	6,149																	
Mendocino	11,517	142,479	-	-	-	-	12,228	-	-	-	(1,508)	-	-	69,560	112,510	348,294																	
Merced	710,000	-	-	205,390	-	-	16,626	-	-	-	-	-	-	-	-	930,509																	
Modoc	-	5	-	-	-	-	796	-	-	-	-	-	-	-	-	801																	
Mono	-	-	-	-	-	-	-	-	-	270	-	-	-	-	-	270																	
Monterey	189,391	183,323	6,000	-	-	-	34,724	-	-	-	153	9,442	-	18,839	-	421,872																	
Napa	-	37,126	4,396	9,278	-	-	8,553	-	-	-	8,730	-	18,202	28,220	152,722	287,227																	
Nevada	924	-	-	-	-	-	5,246	-	175,977	-	-	-	-	4,560	-	186,707																	
Orange	348,708	59,560	6,399,894	519,060	-	-	425,365	-	1,994,036	8,744,189	995	-	-	15,893	4,058,697	21,986,782																	
Placer	156,251	21,773	-	-	-	-	69,849	-	-	-	-	-	-	-	-	2,242,892																	
Plumas	263	179	105	21	17	-	359	-	-	-	75	95	23	-	48	1,183																	
Riverside	402,011	100,921	311,289	1,099,862	177,962	15,006	246,591	1,006,338	4,593,860	1,227,021	831,235	3,690	251,037	97,083	52,490	10,416,396																	
Sacramento	374,526	64,036	172,670	240,436	92,032	50,783	187,819	-	845,927	860,937	545,519	46,222	-	-	4,140,101	7,621,006																	
San Benito	-	-	-	-	-	-	2,044	-	-	-	2,800	-	-	-	-	4,844																	
San Bernardino	1,422,392	78,235	152,024	216,737	115,450	-	150,467	-	9,684,588	374,198	29,086	354,966	-	746,174	277,211	3,916,940																	
San Diego	1,851,690	1,287,467	-	-	463,006	-	(20,979)	-	-	1,519,687	-	509,179	-	1,526,881	(7,964)	16,813,555																	
San Francisco	515,864	-	-	-	-	-	322,170	-	589,077	-	-	-	-	-	-	2,743,527																	
San Joaquin	396,798	230,456	4,480	11,526	-	-	67,427	-	-	244,993	-	17,697	-	216,424	35	793,039																	
San Luis Obispo	342,568	978,449	181,774	146,170	21,129	-	31,042	652,662	-	1,884	-	86,427	-	29,646	38,140	2,509,891																	
San Mateo	485,420	204,599	109,699	251,050	54,515	31,986	287,079	-	-	-	152,917	402,768	12,940	677,240	966,984	3,587,197																	
Santa Barbara	63,949	171,260	149,912	6,911	-	-	(0)	-	-	907,216	13,905	1,021	3,488	551,531	6,740	2,768,029																	
Santa Clara	-	-	342,626	388,111	325,935	-	186,615	-	892,098	973,610	95	-	-	1,090,303	-	3,307,295																	
Santa Cruz	(445)	131,173	46,117	30,900	83,187	-	22,627	-	53,769	38,258	-	947	-	40,338	34,214	393,990																	
Shasta	-	-	14,850	-	-	-	-	-	970,412	454,627	-	-	-	3,504	169	1,549,376																	
Sierra	-	-	-	-	44,601	-	-	-	62,784	-	-	-	-	-	-	154,085																	
Siskiyou	2,548	3,050	565	11,146	1,100	33	3,699	-	47,460	-	886	43,182	282	9,641	997	124,589																	
Solano	50,768	16,807	52,354	(1,993)	-	-	29,778	-	851,214	-	2,516	(1,955)	-	13,805	-	183,906																	
Sonoma	365,979	-	27,500	2,463	-	-	80,776	-	-	-	-	-	-	-	-	1,325,978																	
Stanislaus	63,912	252,582	155,234	191,575	1,586	570	38,580	-	57,692	40,687	203,304	144,600	101,545	-	1,035,567	2,287,413																	
Sutter	-	-	0	-	-	-	5,381	-	195,777	-	-	-	-	-	-	245,662																	
Tehama	10,192	1,992	129	1,660	902	483	-	-	-	-	720	453	71	-	-	29,829																	
Trinity	40,615	-	-	-	-	-	-	-	-	-	-	-	-	-	-	40,615																	
Tulare	26,205	-	-	-	(9,540)	-	29,980	1,760,675	2,011,038	-	-	-	-	-	-	40,615																	
Tuolumne	135,651	1,333	10,408	8,585	133,499	-	1,606	-	-	71,462	3,750	8,165	83,390	2,150	199,128	3,889,820																	
Ventura	77,471	342,223	-	-	-	-	82,877	-	4,149,273	-	-	0	-	1,059,642	4,878,167	597,656																	
Yolo	263,705	83,680	-	3,996	-	-	3,763	10	711,541	-	-	43,583	-	793,341	-	1,903,640																	
Yuba	-	-	-	-	-	-	2,783	-	-	-	-	-	-	83,300	-	86,083																	
Statewide	19,593,196	15,564,328	8,447,716	9,239,502	5,946,544	100,904	4,256,701	3,421,471	33,856,525	15,756,088	1,843,779	1,742,964	572,152	11,406,488	22,247,230	154,015,588																	